

## Homework 16

### Problem 1. 10 points, 2D distribution function and RMS beam sizes

For the case of fully coupled transverse oscillations with eigen vectors

$$Y_1 = \begin{bmatrix} w_{1x} e^{i\varphi_{1x}} \\ \left( u_{1x} + i \frac{q}{w_{1x}} \right) e^{i\varphi_{1x}} \\ w_{1y} e^{i\varphi_{1y}} \\ \left( u_{1y} + i \frac{1-q}{w_{1y}} \right) e^{i\varphi_{1y}} \end{bmatrix}; \quad Y_2 = \begin{bmatrix} w_{2x} e^{i\varphi_{2x}} \\ \left( u_{2x} + i \frac{1-q}{w_{2x}} \right) e^{i\varphi_{2x}} \\ w_{2y} e^{i\varphi_{2y}} \\ \left( u_{2y} + i \frac{q}{w_{2y}} \right) e^{i\varphi_{2y}} \end{bmatrix}$$

and known values of eigen emittances  $\varepsilon_{1,2} \equiv I_{1,2} = \frac{\langle a_{1,2}^2 \rangle}{2}$  of stationary Gaussian distribution

(solution of Fokker-Plank equation)

- (a) **6 points;** Write explicit expression for the distribution function in terms of  $x$ ,  $P_x$ ,  $y$  and  $P_y$ .
- (b) **4 points;** Write expression of the RMS beam sizes

$$\sigma_x = \sqrt{\langle x^2 \rangle}; \sigma_y = \sqrt{\langle y^2 \rangle}$$

using beam emittances and necessary components of eigen vectors.

**Solution:** (a) The normalized distribution function in phase-action variable is

$$f(I, \varphi) = \frac{1}{(2\pi)^2 \varepsilon_1 \varepsilon_2} \exp\left(-\frac{I_1}{\varepsilon_1} - \frac{I_2}{\varepsilon_2}\right);$$

$$\int_0^\infty dI_1 \int_0^\infty dI_2 \int_0^{2\pi} d\varphi_1 \int_0^{2\pi} d\varphi_2 \cdot f(I, \varphi) = 1.$$

Now we need to find explicit expression for  $I_{1,2}$  using

$$Y_1 = \begin{bmatrix} w_{1x} e^{i\varphi_{1x}} \\ (u_{1x} + i v_{1x}) e^{i\varphi_{1x}} \\ w_{1y} e^{i\varphi_{1y}} \\ (u_{1y} + i v_{1y}) e^{i\varphi_{1y}} \end{bmatrix}; \quad Y_2 = \begin{bmatrix} w_{2x} e^{i\varphi_{2x}} \\ (u_{2x} + i v_{2x}) e^{i\varphi_{2x}} \\ w_{2y} e^{i\varphi_{2y}} \\ (u_{2y} + i v_{2y}) e^{i\varphi_{2y}} \end{bmatrix};$$

$$v_{1x} = \frac{q}{w_{1x}}; v_{1y} = \frac{1-q}{w_{1y}}; v_{2x} = \frac{1-q}{w_{2x}}; v_{2y} = \frac{q}{w_{2y}}.$$

were c.c. stand for complex conjugate components:

$$X = \text{Re}(a_1 Y_1 e^{i\psi_1} + a_2 Y_2 e^{i\psi_2}) = \frac{1}{2}(a_1 Y_1 e^{i\psi_1} + a_2 Y_2 e^{i\psi_2} + c.c.); Y_j^{*T} S Y_k = 2i \delta_{jk}; Y_j^{*T} S Y_k^* = 0;$$

$$Y_k^{*T} S X = \frac{1}{2} a_k Y_k^{*T} S Y_k; Y_k^{*T} S Y_k = 2i \rightarrow a_i = -i Y_i^{*T} S X; I_k = \frac{|a_k|^2}{2} = \frac{|Y_k^{*T} S X|^2}{2} \equiv \frac{|X^T S Y_k|^2}{2};$$

$$X^T S = \begin{bmatrix} x, P_x, y, P_y \end{bmatrix} \begin{bmatrix} 0 & 1 & 0 & 0 \\ -1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & -1 & 0 \end{bmatrix} = \begin{bmatrix} -P_x, x, -P_y, y \end{bmatrix};$$

$$v_{kx} = \frac{q_{kx}}{w_{kx}}; v_{ky} = \frac{q_{ky}}{w_{ky}}; q_{1x} = q_{2y} = q; q_{2x} = q_{1y} = 1 - q;$$

$$X^T S Y_k = e^{i\varphi_{kx}} (x(u_{kx} + iv_{kx}) - w_{kx} P_x) + e^{i\varphi_{ky}} (y(u_{ky} + iv_{ky}) - w_{ky} P_y);$$

$$|X^T S Y_k|^2 = f_{kx} + f_{ky} + f_{kxy}$$

$$f_{kx} = |x(u_{kx} + iv_{kx}) - w_{kx} P_x|^2; f_{ky} = |y(u_{ky} + iv_{ky}) - w_{ky} P_y|^2$$

$$f_{kxy} = 2 \text{Re} e^{i(\varphi_{kx} - \varphi_{ky})} (x(u_{kx} + iv_{kx}) - w_{kx} P_x) (y(u_{ky} + iv_{ky}) - w_{ky} P_y)$$

with explicit expression being (as expected) long and ugly:

$$f_{kx} = |x(u_{kx} + iv_{kx}) - w_{kx} P_x|^2 = x^2 v_{kx}^2 + (u_{kx} x - w_{kx} P_x)^2 = x^2 (v_{kx}^2 + u_{kx}^2) - 2u_{kx} w_{kx} x P_x + w_{kx}^2 P_x^2$$

$$f_{ky} = |y(u_{ky} + iv_{ky}) - w_{ky} P_y|^2 = y^2 v_{ky}^2 + (u_{ky} y - w_{ky} P_y)^2 = y^2 (v_{ky}^2 + u_{ky}^2) - 2u_{ky} w_{ky} y P_y + w_{ky}^2 P_y^2$$

$$f_{kxy} = 2 \text{Re} e^{i(\varphi_{kx} - \varphi_{ky})} (A + iB)$$

$$A = \left\{ (xu_{kx} - w_{kx} P_x)(yu_{ky} - w_{ky} P_y) + xyv_{kx} v_{ky} \right\}$$

$$B = \left\{ xv_{kx} (yu_{ky} - w_{ky} P_y) - yv_{ky} (xu_{kx} - w_{kx} P_x) \right\}$$

$$f_{kxy} = 2 \cos(\varphi_{kx} - \varphi_{ky}) \left\{ (xu_{kx} - w_{kx} P_x)(yu_{ky} - w_{ky} P_y) + xyv_{kx} v_{ky} \right\}$$

$$- 2 \sin(\varphi_{kx} - \varphi_{ky}) \left\{ xv_{kx} (yu_{ky} - w_{ky} P_y) - yv_{ky} (xu_{kx} - w_{kx} P_x) \right\}$$

with 4D distribution function:

$$f(X) = \frac{1}{(2\pi)^2 \varepsilon_1 \varepsilon_2} e^{-\frac{f_{1x} + f_{1y} + f_{1xy}}{2\varepsilon_1}} e^{-\frac{f_{2x} + f_{2y} + f_{2xy}}{2\varepsilon_2}};$$

$$\int \int \int \int f(X) dx dP_x dy dP_y = \int dX^4 = 1.$$

One can try to introduce  $\alpha$  and  $\beta$  components similar to 1D motion

$$f_{kx} = \frac{x^2 (q_{kx}^2 + \alpha_{kx}^2)}{\beta_{kx}} + 2\alpha_{kx} P_x + \beta_{kx} P_x^2; \beta_{kx} = w_{kx}^2; \alpha_{kx} = -w_{kx} u_{kx};$$

$$f_{ky} = \frac{y^2 (q_{ky}^2 + \alpha_{ky}^2)}{\beta_{ky}} + 2\alpha_{ky} P_y + \beta_{ky} P_y^2; \beta_{ky} = w_{ky}^2; \alpha_{ky} = -w_{ky} u_{ky};$$

but it does not make expressions better, especially for  $f_{xy}$ .

Another way of writing it is to use real and imaginary parts of the eigen vectors

$$Y_k = R_k + iQ_k;$$

$$|a_k|^2 = |X^T S R_k + iX^T S Q_k|^2 = (X^T S R_k)^2 + (X^T S Q_k)^2;$$

$$|a_k|^2 = (x r_{k2} - P_x r_{k1} + y r_{k4} - P_y r_{k3})^2 + (x q_{k2} - P_x q_{k1} + y q_{k4} - P_y q_{k3})^2;$$

$$f(X) = f_1(X) f_2(X);$$

$$f_k(X) = \frac{1}{2\pi \varepsilon_k} \exp \left( -\frac{(x r_{k2} - P_x r_{k1} + y r_{k4} - P_y r_{k3})^2 + (x q_{k2} - P_x q_{k1} + y q_{k4} - P_y q_{k3})^2}{2\varepsilon_k} \right).$$

(b) This is much easier task:

$$x = a_1 w_{1x} \cos \varphi_{1x} + a_2 w_{2x} \cos \varphi_{2x};$$

$$y = a_1 w_{1y} \cos \varphi_{1y} + a_2 w_{2y} \cos \varphi_{2y};$$

$$\langle x^2 \rangle = a_1^2 w_{1x}^2 \langle \cos^2 \varphi_{1x} \rangle + 2a_1 w_{1x} a_2 w_{2x} \langle \cos \varphi_{1x} \cos \varphi_{2x} \rangle + a_2^2 w_{2x}^2 \langle \cos^2 \varphi_{2x} \rangle;$$

$$\langle y^2 \rangle = a_1^2 w_{1y}^2 \langle \cos^2 \varphi_{1y} \rangle + 2a_1 w_{1y} a_2 w_{2y} \langle \cos \varphi_{1y} \cos \varphi_{2y} \rangle + a_2^2 w_{2y}^2 \langle \cos^2 \varphi_{2y} \rangle;$$

Since phases of oscillation are random are not correlated between to modes, we have

$$\langle \cos^2 \varphi_{kx} \rangle = \langle \cos^2 \varphi_{ky} \rangle = \frac{1}{2}; \langle \cos \varphi_{kx} \cos \varphi_{kx} \rangle = 0;$$

$$\langle x^2 \rangle = \frac{a_1^2 w_{1x}^2 + a_2^2 w_{2x}^2}{2} = \varepsilon_1 \beta_{1x} + \varepsilon_2 \beta_{2x}; \sigma_x = \sqrt{\varepsilon_1 \beta_{1x} + \varepsilon_2 \beta_{2x}};$$

$$\langle y^2 \rangle = \frac{a_1^2 w_{1y}^2 + a_2^2 w_{2y}^2}{2} = \varepsilon_1 \beta_{1y} + \varepsilon_2 \beta_{2y}; \sigma_y = \sqrt{\varepsilon_1 \beta_{1y} + \varepsilon_2 \beta_{2y}}.$$

**Problem 2. 10 points, 3D distribution function and RMS beam sizes**

(a) 5 points: For the case of fully coupled transverse oscillations with eigen vectors

$$Y_k(s) = \begin{bmatrix} w_{kx} e^{i\chi_{kx}} \\ \left( v_{kx} + i \frac{q_{kx}}{w_{kx}} \right) e^{i\chi_{kx}} \\ w_{ky} e^{i\chi_{ky}} \\ \left( v_{ky} + i \frac{q_{ky}}{w_{ky}} \right) e^{i\chi_{ky}} \\ w_{k\tau} e^{i\chi_{k\tau}} \\ \left( v_{k\tau} + i \frac{q_{k\tau}}{w_{k\tau}} \right) e^{i\chi_{k\tau}} \end{bmatrix}; k = 1, 2, 3$$

and known values of eigen emittances  $\varepsilon_k \equiv I_k = \frac{\langle a_k^2 \rangle}{2}; k = 1, 2, 3$  of stationary Gaussian distribution, write expression of the RMS beam sizes

$$\sigma_x = \sqrt{\langle x^2 \rangle}; \sigma_y = \sqrt{\langle y^2 \rangle}; \sigma_\tau = \sqrt{\langle \tau^2 \rangle}$$

using the beam emittances and necessary components of eigen vectors.

(b) 5 points: For the case of slow synchrotron oscillations and approximate expressions for the eigen vectors:

$$Y_k = \begin{bmatrix} Y_{k\beta} \\ y_{k\tau} \\ 0 \end{bmatrix} = \begin{bmatrix} w_{kx} e^{i\chi_{kx}} \\ \left( v_{kx} + \frac{iq_k}{w_{kx}} \right) e^{i\chi_{kx}} \\ w_{ky} e^{i\chi_{ky}} \\ \left( v_{ky} + \frac{i(1-q_k)}{w_{ky}} \right) e^{i\chi_{ky}} \\ y_{k\tau} = \eta^T S Y_{k\beta} \\ 0 \end{bmatrix}; k = 1, 2; Y_\delta = \begin{bmatrix} \eta \\ \chi_\tau \\ 1 \end{bmatrix} = \begin{bmatrix} \eta_x \\ \eta_{px} \\ \eta_y \\ \eta_{py} \\ \chi_\tau \\ 1 \end{bmatrix};$$

and known values of eigen emittances  $\varepsilon_k \equiv I_k = \frac{\langle a_k^2 \rangle}{2}; k = 1, 2$  and RMS values of the relative energy spread  $\sigma_\delta = \sqrt{\langle \delta^2 \rangle}$  write expressions for transverse beam sizes:

$$\sigma_x = \sqrt{\langle x^2 \rangle}; \sigma_y = \sqrt{\langle y^2 \rangle}$$

**Solution:**

(a) Treatment is identical to the RMS beam sizes in the previous problem:

$$x = a_1 w_{1x} \cos \varphi_{1x} + a_2 w_{2x} \cos \varphi_{2x} + a_3 w_{3x} \cos \varphi_{3x};$$

$$y = a_1 w_{1y} \cos \varphi_{1y} + a_2 w_{2y} \cos \varphi_{2y} + a_3 w_{3y} \cos \varphi_{3y};$$

$$\tau = a_1 w_{1\tau} \cos \varphi_{1\tau} + a_2 w_{2\tau} \cos \varphi_{2\tau} + a_3 w_{3\tau} \cos \varphi_{3\tau};$$

$$\sigma_x = \sqrt{\langle x^2 \rangle} = \sqrt{\frac{a_1^2 w_{1x}^2 + a_2^2 w_{2x}^2 + a_3^2 w_{3x}^2}{2}} = \sqrt{\varepsilon_1 w_{1x}^2 + \varepsilon_2 w_{2x}^2 + \varepsilon_3 w_{3x}^2};$$

$$\sigma_y = \sqrt{\langle y^2 \rangle} = \sqrt{\frac{a_1^2 w_{1y}^2 + a_2^2 w_{2y}^2 + a_3^2 w_{3y}^2}{2}} = \sqrt{\varepsilon_1 w_{1y}^2 + \varepsilon_2 w_{2y}^2 + \varepsilon_3 w_{3y}^2};$$

$$\sigma_\tau = \sqrt{\langle \tau^2 \rangle} = \sqrt{\frac{a_1^2 w_{1\tau}^2 + a_2^2 w_{2\tau}^2 + a_3^2 w_{3\tau}^2}{2}} = \sqrt{\varepsilon_1 w_{1\tau}^2 + \varepsilon_2 w_{2\tau}^2 + \varepsilon_3 w_{3\tau}^2};$$

(b) In this specific case we have

$$Y_k = \begin{bmatrix} Y_{k\beta} \\ y_{k\tau} \\ 0 \end{bmatrix} = \begin{bmatrix} w_{kx} e^{i\chi_{kx}} \\ \left( v_{kx} + \frac{i q_k}{w_{kx}} \right) e^{i\chi_{kx}} \\ w_{ky} e^{i\chi_{ky}} \\ \left( v_{ky} + \frac{i(1-q_k)}{w_{ky}} \right) e^{i\chi_{ky}} \\ y_{k\tau} = \eta^T S Y_{k\beta} \\ 0 \end{bmatrix}; k = 1, 2; Y_\delta = \begin{bmatrix} \eta \\ \chi_\tau \\ 1 \end{bmatrix} = \begin{bmatrix} \eta_x \\ \eta_{px} \\ \eta_y \\ \eta_{py} \\ \chi_\tau \\ 1 \end{bmatrix}$$

$$\sigma_x^2 = \varepsilon_1 w_{1x}^2 + \varepsilon_2 w_{2x}^2 + \eta_x^2 \sigma_\delta^2$$

$$\sigma_y^2 = \varepsilon_1 w_{1y}^2 + \varepsilon_2 w_{2y}^2 + \eta_y^2 \sigma_\delta^2$$

$$\sigma_\tau^2 = \varepsilon_1 (\eta^T S Y_{1\beta})^2 + \varepsilon_2 (\eta^T S Y_{2\beta})^2 + \chi_\tau^2 \sigma_\delta^2;$$