### **Free Electron Lasers**

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# Outline

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  - Applications and some FEL facilities
  - Basic setup
  - Different types of FEL
- How FEL works
  - Electrons' trajectory and resonant condition
  - Analysis of FEL process at small gain regime (Oscillator)
  - Analysis of FEL process at high gain regime (Amplifier)

### Introduction I: What is free electron lasers

- A free-electron laser (FEL), is a type of laser whose lasing medium consists of very-high-speed electrons moving freely through a magnetic structure, hence the term free electron.
- The free-electron laser was invented by John Madey in 1971 at Stanford University.
- Advantages:
  - ✓ Wide frequency range
  - ✓ Tunable frequency
  - ✓ May work without a mirror (SASE)
- Disadvantages: large, expensive

#### Introduction II: Applications and FEL facilities





The European X-Ray Laser Project

European X-Ray Free Electron Laser (XFEL



• Medical, Chemistry, Biology (small wavelength and short pulse are required for imaging proteins), materials, Military (~Mwatts)...

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• FEL Facilities (~33):

FREE ELECTRON LASERS							
LOCATION	NAME	WAVELENGTHS	TYPE	STATUS			
RIKEN (Japan)	SACLA FEL	0.63 - 3 Å	Linac	operating user facility			
SLAC-SSRL (USA)	LCLS FEL	1.2 - 15 Å	Linac	operating user facility			
DESY (Germany)	FLASH FEL	4.1 - 45 nm	SC Linac	operating user facility			
ELETTRA Trieste, Italy	FERMI	4 - 100 nm	Linac	operating user facility			
SDL(NSLS) Brookhaven (USA)	HGHG FEL	193 nm	Linac	operating experiment			
Duke Univ. NC (USA)	ок-4	193 - 400 nm	storage ring	operating user facility			
iFEL (Japan)	3 2 1 4 5	230 nm - 1.2 µm 1 - 6 µm 5 - 22 µm 20 - 60 µm 50 - 100 µm	linac	operating user facility			
Univ. of Hawaii (USA)	MK-V	1.7 - 9.1 µm	linac	operating experiment			
Vanderbilt TN (USA)	MK-III	2.1 - 9.8 µm	linac	no longer operating			
Radboud University (Netherlands)	FLARE FELIX1 FELIX2	327 - 420 μm 3.1 - 35 μm 25 - 250 μm	linac	operating user facility			
Stanford CA (USA)	SCA-FEL FIREFLY	3-10 μm 15-65 μm	SC-linac	no longer operating			
LURE - Orsay (France)	CLIO	3 - 150 µm	linac	operating user facility			
Jefferson Lab VA (USA)		3.2 - 4.8 µm 363 - 438 nm	SC-linac	operating user facility			
Science Univ. of Tokyo (Japan)	FEL-SUT	5 - 16 µm	linac	operating user facility			

Commissioned and in operation since 2017

	(Germany)		18-250 µm		user facility
	UCSB CA (USA)	FIR-FEL MM-FEL 30 µ-FEL	63 - 340 µm 340 µm - 2.5 mm 30 - 63 µm	electrostatic	operating user facility
	ENEA - Frascati (Italy)		3.6 - 2.1mm	microtron	operating user facility
	ETL - Tsukuba (Japan)	NIJI-IV	228 nm	storage ring	operating experiment
	I <u>MS</u> - Okazaki (Japan)	UVSOR	239 nm	storage ring	operating experiment
	Dortmund, Univ. (Germany)	Felicita 1	470 nm	storage ring	operating expriment
	LANL NM (USA)	AFEL RAFEL	4 - 8 μm 16 μm	linac	operating experiment
	Darmstadt Univ. (Germany)	IR-FEL	6.6 - 7.8 µm	SC-linac	operating experiment
	IHEP (China)	Beijing FEL	5 - 25 µm	linac	operating experiment
	CEA - Bruyeres (France)	ELSA	18-24 µm	linac	operating experiment
	ISIR - Osaka (Japan)		21-126 µm	linac	operating experiment
	JAERI (Japan)		22 µm 6 mm	SC-linac induction linac	operating experiment
	Univ. of Tokyo (Japan)	UT-FEL	43 µm	linac	operating experiment
	ILE - Osaka (Japan)		47 µm	linac	operating experiment
	LASTI (Japan)	LEENA	65 - 75 μm	linac	operating experiment
	KAERI (Korea)		80 - 170 µm 10 mm	microtron electrostatic	operating experiment
	Budker Inst. Novosibirsk, Russia		110 - 240 µm	linac	operating experiment
	Univ. of Twente (Netherlands)	TEU-FEL	200-500 µm	linac	operating experiment
	FOM (Netherlands)	Fusion FEM			no longer operating
	Tel Aviv Univ. (Israel)		3 mm	electrostatic	operating experiment
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operation

<sup>1</sup>So far only operating FEL oscillators with wavelength < 10 mm are included. <sup>2</sup><sup>a</sup>user facility<sup>a</sup> means a dedicated scientific research facility open to outside researchers <sup>3</sup>Order is first by type of facility and second roughly by wavelength.

### Introduction III: Basic Setup



### Introduction IV: different types of FEL



# FEL Oscillator (Low Gain)



Unperturbed Electron motion in helical wiggler  
(in the absence of radiation field)  

$$\vec{B}_{w}(x, y, z) = B_{w} \Big[ \cos(k_{w}z) \hat{x} - \sin(k_{w}z) \hat{y} \Big]$$

$$\vec{F}(x, y, z) = -e\vec{v} \times \vec{B} = -ev_{z} \hat{x} \times \vec{B} = -ev_{z} B_{w} \Big[ \cos(k_{w}z) \hat{y} + \sin(k_{w}z) \hat{x} \Big]$$

$$\frac{d(m\gamma v_{x})}{dt} = m\gamma \frac{dv_{x}}{dt} = -ev_{z} B_{w} \sin(k_{w}z)$$

$$\frac{d(m\gamma v_{y})}{dt} = m\gamma \frac{dv_{y}}{dt} = -ev_{z} B_{w} \cos(k_{w}z)$$

$$\gamma = \frac{1}{\sqrt{1 - v^{2}/c^{2}}} \quad v = \sqrt{v_{x}^{2} + v_{y}^{2} + v_{z}^{2}} \quad \tilde{v} \equiv v_{x} + iv_{y} \Big|$$

$$m\gamma \frac{d\tilde{v}}{dt} = -iev_{z} B_{w} (\cos(k_{w}z) - i\sin(k_{w}z)) = -iev_{z} B_{w} e^{-ik_{w}z} \Big|$$

$$K = \frac{eB_{w} \lambda_{w}}{2\pi mc}$$
Electron rotation angle  
in undulator:  

$$\frac{\tilde{v}(z)}{c} = \frac{-ieB_{w}}{mc\gamma} \int e^{-ik_{w}t_{1}} dz_{1} = \frac{eB_{w}}{mc\gamma k_{w}} e^{-ik_{w}z} = \frac{K}{\gamma} e^{-ik_{w}z} + Assume the initial velocity of the electron make the integral constant vanishing.}$$

$$\vec{v}_{\perp}(z) = \frac{cK}{\gamma} \Big[ \cos(k_{w}z) \hat{x} - \sin(k_{w}z) \hat{y} \Big] \quad v_{z} = const.$$

$$\vec{x}(z) = \frac{i}{v} \vec{v}(t_{1}) d_{t} + \vec{x}(z=0)$$

#### Energy change of electrons due to radiation field

$$\vec{v}_{\perp}(z) = \frac{cK}{\gamma} \Big[ \cos(k_w z) \,\hat{x} - \sin(k_w z) \,\hat{y} \Big]$$

Consider a circularly polarized electromagnetic wave (plane wave is an assumption for 1D analysis, which is usually valid for near axis analysis) propogating along z direction

$$\vec{E}_{\perp}(z,t) = E\left[\cos(kz - \omega t)\hat{x} + \sin(kz - \omega t)\hat{y}\right] \qquad E_{z} = 0$$
$$= E\left[\cos(k(z - ct))\hat{x} + \sin(k(z - ct))\hat{y}\right] \qquad \omega = kc$$

Energy change of an electron is given by

$$\frac{d\mathcal{E}}{dt} = \vec{F} \cdot \vec{v} = -e\vec{v}_{\perp} \cdot \vec{E}_{\perp}$$

$$\frac{d\mathcal{E}}{dz} = -eE\theta_s \frac{c}{v_z} \cos(\psi) \approx -eE\theta_s \cos(\psi)$$
Pondermotive phase:  
 $\psi = k_w z + k(z - ct)$ 

To the leading order, electrons move with constant velocity and hence  $z = v_z (t - t_0)$ 

## **Resonant Radiation Wavelength**

$$\frac{d\mathcal{E}}{dz} = -eE\theta_s \cos\left[\left(k_w + k - k\frac{c}{v_z}\right)z + \psi_0\right]$$

We define the resonant radiation wavelength such that

$$\frac{1}{dz} = -eE\theta_s \cos\left[\left(\frac{k_w + k - k_w}{v_z}\right)z + \psi_0\right] \text{ Detuning parameter:}$$
  
The the resonant radiation wavelength such that
$$c \equiv k_w + k - \frac{kc}{v_z(\mathcal{E}_0)}$$

$$k_w + k_0 - k_0 \frac{c}{v_z} = 0 \Longrightarrow \lambda_0 = \lambda_w \left(\frac{c}{v_z} - 1\right) \approx \frac{\lambda_w}{2\gamma_z^2}$$

$$\gamma_z^{-2} \equiv 1 - v_z^2 / c^2 = 1 - \left(v_z^2 + v_\perp^2\right) / c^2 + v_\perp^2 / c^2 = \gamma^{-2} + \theta_s^2 = \gamma^{-2} \left(1 + K^2\right)$$

FEL resonant frequency:

$$\lambda_0 \approx \frac{\lambda_w \left( 1 + K^2 \right)}{2\gamma^2} \qquad \qquad K \equiv \frac{eB_w \lambda_w}{2\pi mc}$$

At resonant frequency, the rotation of the electron and the radiation field is synchronized in the x-y plane and hence the energy exchange between them is most efficient.

#### Helicity of radiation at synchronization

The synchronization requires opposite helicity of radiation with respect to the electrons' trajectories.





# Longitudinal equation of motion

In the presence of the radiation field, the longitudinal equation of motion of an electron read

$\frac{d\mathcal{E}}{dz}$	$\psi = -eE\theta_s \cos(\psi)$ $\psi = k_w z + k(z - ct)$	$\mathcal{E}_0$ is the average energy of the beam.
$\frac{d}{dz}$	$\psi = k_w + k - \frac{\omega}{v_z(\mathcal{E})}$	$\frac{d}{d\mathcal{E}}\frac{1}{v_z} = \frac{1}{mc^3}\frac{d}{d\gamma}\frac{1}{\beta_z} = \frac{1}{mc^3}\frac{d\gamma_z}{d\gamma}\frac{d}{d\gamma_z}\frac{1}{\beta_z}$
	$\approx k_{w} + k - \omega \left[ \frac{1}{v_{z}(\mathcal{E}_{0})} + (\mathcal{E} - \mathcal{E}_{0}) \frac{d}{d\mathcal{E}} \frac{1}{v_{z}} \right]$	$\left  \gamma_z^2 = \frac{\gamma^2}{\left(1 + K^2\right)} \qquad \frac{d\gamma_z}{d\gamma} = \frac{\gamma}{\gamma_z \left(1 + K^2\right)} \right $
	$\approx k_w + k - \frac{\omega}{v_z(\mathcal{E}_0)} + \frac{\omega}{\gamma_z^2 c} \frac{(\mathcal{E} - \mathcal{E}_0)}{\mathcal{E}_0}$	$\frac{d}{d\gamma_z}\frac{1}{\beta_z} = -\frac{1}{2\beta_z^3}\frac{d}{d\gamma_z}\left(1-\frac{1}{\gamma_z^2}\right) = -\frac{1}{\beta_z^3\gamma_z^3}$
	$\frac{dP}{dz} = -eE\theta_s \cos(\psi) \qquad \text{Energy deviation}$	$P \equiv \mathcal{E} - \mathcal{E}_0$
	$\frac{d}{dz}\psi \approx C + \frac{\omega}{\gamma_z^2 c\mathcal{E}_0}P \qquad \text{Detuning parameters}$	eter: $C \equiv k_w + k - \frac{\omega}{v_z(\mathcal{E}_0)}$

### Low Gain Regime: Pendulum Equation

$$\frac{dP}{dz} = -eE\theta_s \cos(\psi)$$
  
$$\frac{d}{dz}\psi = C + \frac{\omega}{\gamma_z^2 c\mathcal{E}_0}P$$
$$\Rightarrow \qquad \frac{d^2}{dz^2}\psi + \frac{eE\theta_s\omega}{\gamma_z^2 c\mathcal{E}_0}\cos(\psi) = 0$$

We assume that the change of the amplitude of the radiation field, E, is negligible and treat it as a constant over the whole interaction.

$$\frac{d^2}{d\hat{z}^2}\psi + \hat{u}\cos(\psi) = 0 \qquad \hat{u} = \frac{l_w^2 e E \theta_s \omega}{\gamma_z^2 c \mathcal{E}_0} \qquad \hat{z} = \frac{z}{l_w}$$

Pendulum equation:

$$\frac{d^2}{d\hat{z}^2}\left(\psi + \frac{\pi}{2}\right) + \hat{u}\sin\left(\psi + \frac{\pi}{2}\right) = 0$$

#### Low Gain Regime: Similarity to Synchrotron Oscillation

FEL

 $\psi$  is the angle between the transverse velocity vector and the radiation field vector and hence there is no energy kick for  $\psi = \pi/2$ 

Synchrotron Oscillation

$$\frac{d\tau}{ds} = \eta_{\tau} \pi_{\tau}; \quad \frac{d\pi_{\tau}}{ds} = \frac{1}{C} \frac{eV_{RF}}{p_o c} \sin\left(k_o h_{rf} \tau\right);$$



#### Low Gain Regime: Qualitative Observation





The average energy of the electrons is right at resonant energy:

$$\lambda_0 \approx \frac{\lambda_w (1+K^2)}{2\gamma^2} \implies \gamma = \gamma_0 = \sqrt{\frac{\lambda_w (1+K^2)}{2\lambda_0}}$$

\*Plots are taken from talk slides by Peter Schmuser.

The average energy of the electrons is slightly above the resonant energy:

$$\gamma = \gamma_0 + \Delta \gamma$$

With positive detuning, there is net energy loss by electrons.

#### Low Gain Regime: Derivation of FEL Gain

Change in radiation power density (energy gain per seconds per unit area):

$$\Delta \Pi_r = c \varepsilon_0 (E_{ext} + \Delta E)^2 - c \varepsilon_0 E_{ext}^2 \approx 2c \varepsilon_0 E_{ext} \Delta E$$

Average change rate in electrons' energy per unit beam area:



Assuming radiation has the same cross section area as the electron beam, we obtain the change in electric field amplitude:

$$\Delta \Pi_{r} + \Delta \Pi_{e} = 0 \Longrightarrow \left[ \Delta E = -\frac{j_{0} \langle P \rangle}{2c \varepsilon_{0} E_{ext} e} \right]$$
$$\frac{dP}{dz} = -eE\theta_{s} \cos(\psi)$$
$$\frac{d}{dz} \psi = C + \frac{\omega}{\gamma_{z}^{2} c \varepsilon_{0}} P \right] \Rightarrow \langle P \rangle = -eEl_{w} \theta_{s} \left\langle \int_{0}^{1} \cos\left[\psi(\hat{z})\right] d\hat{z} \right\rangle$$
$$\hat{z} = \frac{z}{l_{w}}$$

#### Low Gain Regime: Derivation of FEL Gain

$$\frac{d^{2}}{d\hat{z}^{2}}\psi + \hat{u}\cos\psi = 0$$
  
$$\psi(\hat{z}) = \psi(0) + \psi'(0)\hat{z} - \hat{u}\int_{0}^{\hat{z}} d\hat{z}_{1}\int_{0}^{\hat{z}_{1}} \cos\psi(\hat{z}_{2})d\hat{z}_{2} \qquad (1)$$

Assuming that all electrons have the same energy and uniformly distributed in the Pondermotive phase at the entrance of FEL:  $P_0 = 0$  and  $f(\psi_0) = \frac{1}{2\pi}$ .

The zeroth order solution for phase evolution is given by ignoring the effects from FEL interaction:

$$\frac{dP}{dz} = -eE\theta_s \cos(\psi)$$

$$\frac{d}{dz}\psi = C + \frac{\omega}{\gamma_z^2 c\mathcal{E}_0}P$$

$$\Rightarrow \frac{d}{d\hat{z}}\psi = \hat{C} \Rightarrow \begin{cases} \psi(\hat{z}) = \psi_0 + \hat{C}\hat{z} \\ \psi'(0) = \hat{C} \end{cases}$$

$$\hat{C} \equiv Cl_w$$

Inserting the zeroth order solution back into eq. (1) yields the 1<sup>st</sup> order solution:

$$\boldsymbol{\psi}(\hat{z}) = \boldsymbol{\psi}_0 + \hat{C}\hat{z} + \Delta \boldsymbol{\psi}(\boldsymbol{\psi}_0, \hat{z}) \qquad \Delta \boldsymbol{\psi}(\boldsymbol{\psi}_0, \hat{z}) \equiv -\hat{u} \int_0^z d\hat{z}_1 \int_0^{z_1} \cos[\boldsymbol{\psi}_0 + \hat{C}\hat{z}_2] d\hat{z}_2$$

# Low Gain Regime: Derivation of FEL Gain $\Delta \psi(\psi_0, \hat{z}) \equiv -\hat{u} \int_0^{\hat{z}} d\hat{z}_1 \int_0^{\hat{z}_1} \cos[\psi_0 + \hat{C}\hat{z}_2] d\hat{z}_2$ $= -\frac{\hat{u}}{\hat{C}^2} \left\{ \int_0^{\hat{C}\hat{z}} \sin(\psi_0 + x_1) dx_1 - \hat{C}\hat{z} \sin\psi_0 \right\} = \frac{\hat{u}}{\hat{C}^2} \left[ \cos(\psi_0 + \hat{C}\hat{z}) - \cos\psi_0 + \hat{C}\hat{z} \sin\psi_0 \right]$

$$\langle P \rangle = -eEl_{w}\theta_{s} \left\langle \int_{0}^{1} \cos\left[\psi_{0} + \hat{C}\hat{z} + \Delta\psi(\psi_{0}, \hat{z})\right]d\hat{z} \right\rangle$$
 Average energy loss of electrons  

$$= eE\theta_{s}l_{w} \left\langle \int_{0}^{1} \sin\left[\psi_{0} + \hat{C}\hat{z}\right]\sin(\Delta\psi(\psi_{0}, \hat{z}))d\hat{z} \right\rangle - eE\theta_{s}l_{w} \left\langle \int_{0}^{1} \cos\left[\psi_{0} + \hat{C}\hat{z}\right]\cos(\Delta\psi(\psi_{0}, \hat{z}))d\hat{z} \right\rangle$$

$$\approx eE\theta_{s}l_{w} \left\langle \int_{0}^{1} \Delta\psi(\psi_{0}, \hat{z})\sin\left[\psi_{0} + \hat{C}\hat{z}\right]d\hat{z} \right\rangle - \frac{eE\theta_{s}l_{w}}{2\pi} \int_{0}^{1} d\hat{z} \int_{0}^{2\pi} \cos\left[\psi_{0} + \hat{C}\hat{z}\right]d\tilde{\psi}_{0}^{-}$$

$$= \frac{eE\theta_{s}l_{w}}{2\pi} \frac{\hat{u}}{\hat{C}^{2}} \int_{0}^{1} d\hat{z} \left\{ \hat{C}\hat{z}\cos(\hat{C}\hat{z}) \int_{0}^{2\pi} \sin^{2}\psi_{0}d\psi_{0} - \sin(\hat{C}\hat{z}) \int_{0}^{2\pi} \cos^{2}\psi_{0}d\psi_{0} \right\}$$

$$= -eE\theta_{s}l_{w} \frac{\hat{u}}{\hat{C}^{3}} \left\{ 1 - \frac{\hat{C}}{2}\sin(\hat{C} - \cos\hat{C}) \right\}$$

#### Low Gain Regime: Derivation of FEL Gain

Growth in the amplitude of radiation field:

$$\Delta E = -\frac{j_0 \langle P \rangle}{2c\varepsilon_0 E_{ext} e} = \frac{\pi j_0 \theta_s^2 \omega}{c\gamma_z^2 \gamma} \frac{l_w^3 E_{ext}}{I_A} \frac{2}{\hat{C}^3} \left( 1 - \frac{\hat{C}}{2} \sin \hat{C} - \cos \hat{C} \right)$$

$$\hat{u} = \frac{l_w^2 e E_{ext} \theta_s \omega}{\gamma_z^2 c \, \gamma m c^2}$$

$$I_A = \frac{4\pi\varepsilon_0 mc^3}{e}$$

The gain is defined as the relative growth in radiation power:

$$g_{s} = \frac{\left(E_{ext} + \Delta E\right)^{2} - E_{ext}^{2}}{E_{ext}^{2}} \approx \frac{2\Delta E}{E_{ext}} = \tau \cdot f(\hat{C})$$

As observed earlier, there is no gain if the electrons has resonant energy.



### High Gain Regime: Concept

 Energy kick from radiation field + dispersion/drift -> electron density bunching;



\*The plots are for illustration only. The right plot actually shows somewhere close to saturation.

2. Electron density bunching makes more electrons radiates coherently -> higher radiation field;

3. Higher radiation fields leads to more density bunching through 1 and hence closes the positive feedback loop -> FEL instability.







The positive feedback loop between radiation field and electron density bunching is the underlying mechanism of high gain FEL regime.