

# Coherent electron Cooling

Jun Ma

Collider-Accelerator Department  
Brookhaven National Laboratory

USPAS  
February 2, 2023

## 1 Introduction

## 2 Modulator

- Theory
- Simulation
- FEL-based CeC
- PCA-based CeC
- MBEC-based CeC

## 3 Amplifier

- FEL-based CeC
- PCA-based CeC
- MBEC-based CeC

## 4 Kicker

- Single pass
- Cooling time

## 1 Introduction

## 2 Modulator

- Theory
- Simulation
- FEL-based CeC
- PCA-based CeC
- MBEC-based CeC

## 3 Amplifier

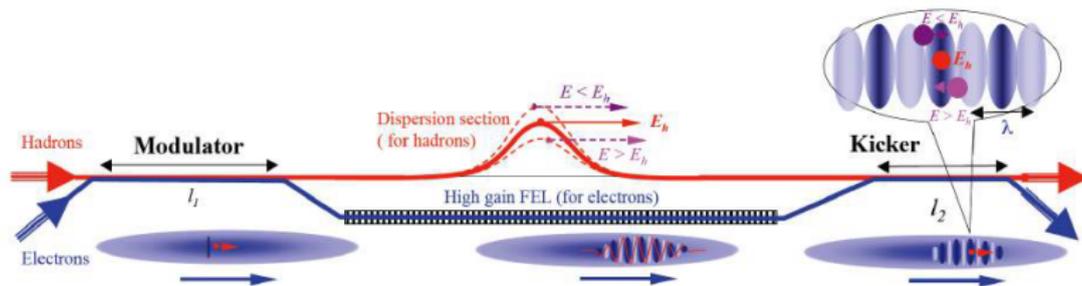
- FEL-based CeC
- PCA-based CeC
- MBEC-based CeC

## 4 Kicker

- Single pass
- Cooling time

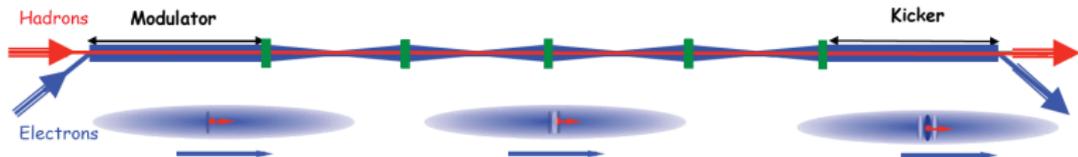
# Introduction

- In the Electron-Ion Collider (EIC), Strong Hadron Cooling (SHC) is needed to reach high luminosity. Present baseline approach for SHC is based on Coherent electron Cooling (CeC).
- A general CeC scheme consists of three main sections: Modulator, Amplifier, Kicker

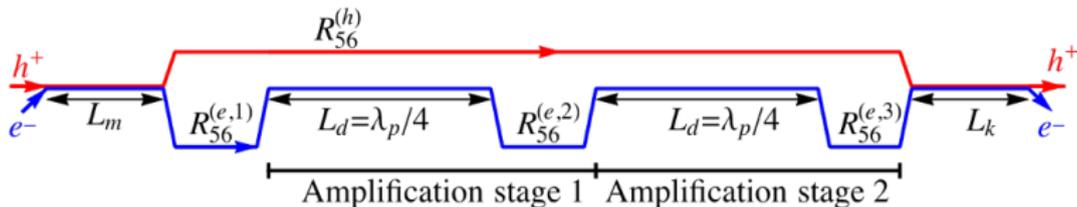


(a) CeC with free electron laser (FEL) amplifier

# Other implementations of amplifier

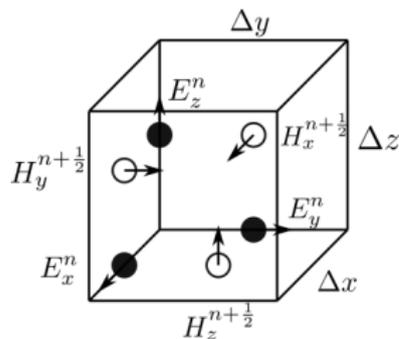


(a) Plasma cascade amplifier (PCA)



(b) Microbunched coherent electron cooling (MBEC)

- The SPACE code is a parallel, relativistic, three-dimensional (3D) electromagnetic (EM) Particle-in-Cell (PIC) code. Finite-difference time-domain (FDTD) or Yee's method



Uniform mesh, adaptive mesh, adaptive Particle-in-Cloud

- The GENESIS code is a three-dimensional, time-dependent code developed for high-gain FEL simulations.

## 1 Introduction

## 2 Modulator

- Theory
- Simulation
- FEL-based CeC
- PCA-based CeC
- MBEC-based CeC

## 3 Amplifier

- FEL-based CeC
- PCA-based CeC
- MBEC-based CeC

## 4 Kicker

- Single pass
- Cooling time

Cold uniform electron beam ©V. N. Litvinenko

$$q = -Ze \cdot (1 - \cos \varphi_1) \quad \varphi_1 = \omega_p l_1 / c\gamma_0$$

(a) Density modulation

$$\left\langle \frac{\delta E}{E} \right\rangle \cong -2Z \frac{r_e}{a^2} \cdot \frac{L_{pol}}{\gamma} \cdot \left( \frac{z}{|z|} - \frac{z}{\sqrt{a^2 / \gamma^2 + z^2}} \right)$$

(b) Energy modulation

G. Wang, and M. Blaskiewicz. Physical Review E 78.2 (2008): 026413.  
Linearized Vlasov Equation

$$\frac{\partial}{\partial t} f_1(\vec{x}, \vec{v}, t) + \vec{v} \cdot \frac{\partial}{\partial \vec{x}} f_1(\vec{x}, \vec{v}, t) - \frac{e\vec{E}}{m_e} \cdot \frac{\partial}{\partial \vec{v}} f_0(\vec{v}) = 0$$

$$\vec{\nabla} \cdot \vec{E}(\vec{x}, t) = \frac{\rho(\vec{x}, t)}{\epsilon_0}$$

$$\rho(\vec{x}, t) = Z_i e \delta(\vec{x}) - e \tilde{n}_1(\vec{x}, t)$$

$$\tilde{n}_1(\vec{x}, t) = \int f_1(\vec{x}, \vec{v}, t) d^3v$$

## Fourier transform

$$\frac{\partial}{\partial t} f_1(\vec{k}, \vec{v}, t) + i\vec{k} \cdot \vec{v} f_1(\vec{k}, \vec{v}, t) + i \frac{e\Phi(\vec{k}, t)}{m_e} \vec{k} \cdot \frac{\partial}{\partial \vec{v}} f_0(\vec{v}) = 0$$

$$\Phi(\vec{k}, t) = \frac{e}{\epsilon_0 k^2} [Z_i - \tilde{n}_1(\vec{k}, t)]$$

Multiply both sides by  $e^{i\vec{k} \cdot \vec{v} t}$

$$\frac{\partial}{\partial t} [e^{i\vec{k} \cdot \vec{v} t} f_1(\vec{k}, \vec{v}, t)] = -i \frac{e}{m_e} \Phi(\vec{k}, t) e^{i\vec{k} \cdot \vec{v} t} \left( \vec{k} \cdot \frac{\partial}{\partial \vec{v}} f_0(\vec{v}) \right)$$

# Analytical tools for modulation process

Initial condition  $f_1(\vec{k}, 0) = 0$

$$f_1(\vec{k}, \vec{v}, t) = -i \frac{e}{m_e} \int_0^t \Phi(\vec{k}, t_1) e^{i\vec{k} \cdot \vec{v}(t_1-t)} \vec{k} \cdot \frac{\partial}{\partial \vec{v}} f_0(\vec{v}) dt_1$$

Note relation

$$i \int \frac{\vec{k}}{k^2} \cdot \frac{\partial}{\partial \vec{v}} f_0(\vec{v}) e^{i\vec{k} \cdot \vec{v} \tau} d^3 v = \int f_0(\vec{v}) e^{i\vec{k} \cdot \vec{v} \tau} \tau d^3 v$$

We have

$$\tilde{n}_1(\vec{k}, t) = \omega_p^2 \int_0^t [\tilde{n}_1(\vec{k}, t_1) - Z_i](t_1 - t) g(\vec{k}(t - t_1)) dt_1$$

$$g(\vec{u}) \equiv \frac{1}{n_0} \int f_0(\vec{v}) e^{-i\vec{u} \cdot \vec{v}} d^3v$$

$$\omega_p = \sqrt{n_0 e^2 / m_e \epsilon_0}$$

For cold electrons, the velocity distribution in the rest frame of the ion reads  $f_0(\vec{v}) = n_0 \delta^3(\vec{v})$ , which gives  $g(\vec{u}) = 1$

The integral equation reduces to 2nd order ODE

$$\frac{d^2}{dt^2} \tilde{n}_1(\vec{k}, t) = -\omega_p^2 \tilde{n}_1(\vec{k}, t) + Z_i \omega_p^2$$

# Analytical tools for modulation process

Without ion, with initial perturbation

$$\frac{d^2}{dt^2} \tilde{n}_1(\vec{k}, t) = -\omega_p^2 \tilde{n}_1(\vec{k}, t)$$

$$\Rightarrow \tilde{n}_1(\vec{k}, t) = \tilde{n}_1(\vec{k}, 0) \cos(\omega_p t) + \frac{\dot{\tilde{n}}_1(\vec{k}, 0)}{\omega_p} \sin(\omega_p t)$$

With ion, without initial perturbation

$$\tilde{n}_1(\vec{k}, t) = Z_i \left[ 1 - \cos(\omega_p t) \right]$$

# Analytical tools for modulation process

Warm uniform electron beam with  $\kappa - 2$  velocity distribution:

$$f_0(\vec{v}) = \frac{1}{\pi^2 \beta_x \beta_y \beta_z} \left( 1 + \frac{v_x^2}{\beta_x^2} + \frac{v_y^2}{\beta_y^2} + \frac{v_z^2}{\beta_z^2} \right)^{-2}$$

(a)  $\kappa - 2$

G. Wang, and M. Blaskiewicz. Physical Review E 78.2 (2008): 026413.

$$\tilde{n}_1(\vec{x}, t) = \frac{Z_i}{\pi^2 a_x a_y a_z} \int_0^{\omega_p t} \frac{\tau \sin \tau \cdot d\tau}{\left[ \tau^2 + \left( \frac{x}{a_x} + \frac{v_{0,x}}{\beta_x} \tau \right)^2 + \left( \frac{y}{a_y} + \frac{v_{0,y}}{\beta_y} \tau \right)^2 + \left( \frac{z}{a_z} + \frac{v_{0,z}}{\beta_z} \tau \right)^2 \right]^2}$$

(a) Density modulation

# Analytical tools for modulation process

Warm uniform electron beam with  $\kappa = 2$  velocity distribution. G. Wang, V. N. Litvinenko, and M. Blaskiewicz. "Energy Modulation in Coherent Electron Cooling." Proceedings of IPAC (2013).

$$\left\langle \frac{\delta E}{E_0} \right\rangle = \frac{\langle v_z \rangle}{c} = - \frac{1}{en_0 \pi a^2 c} I_d \left( \gamma_0 z_l, \frac{L_{\text{mod}}}{\beta_0 \gamma_0 c} \right)$$

(a) Energy modulation

$$I_d(z, t) = - \frac{Z_i e \omega_p^2}{\pi} \int_0^t d\tau (z + v_{0,z} \tau) \left\{ \frac{a_z \sin(\omega_p \tau)}{\left[ \bar{\beta}^2 \tau^2 + (z + v_{0,z} \tau)^2 \right] \left[ 1 + \bar{\beta}^2 \tau^2 + (z + v_{0,z} \tau)^2 / a^2 \right]} - \cos(\omega_p \tau) \left[ \frac{\arctan(|z + v_{0,z} \tau| / (\bar{\beta} \tau))}{|z + v_{0,z} \tau|} - \frac{\arctan\left(\sqrt{(z + v_{0,z} \tau)^2 + a^2} / (\bar{\beta} \tau)\right)}{\sqrt{(z + v_{0,z} \tau)^2 + a^2}} \right] \right\}$$

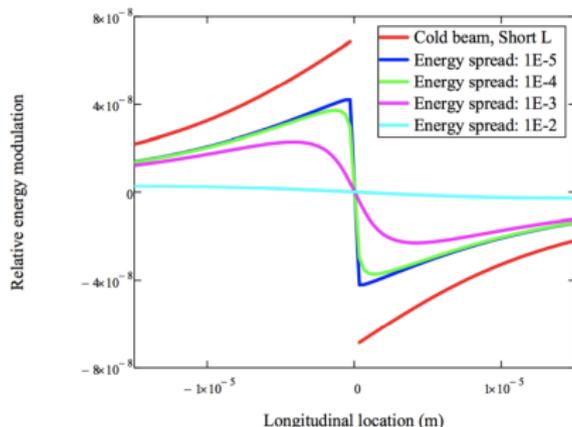
(b) Energy modulation

# Analytical tools for modulation process

The warm beam result reduces to the previously derived cold beam result at the corresponding limits

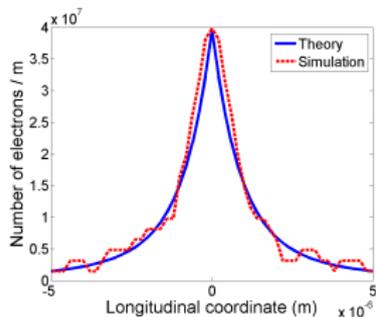
$$\bar{\beta} = 0 \quad v_{0,z} = 0 \quad L_{\text{mod}} \ll \beta_0 \gamma_0 c / \omega_p$$

(a)

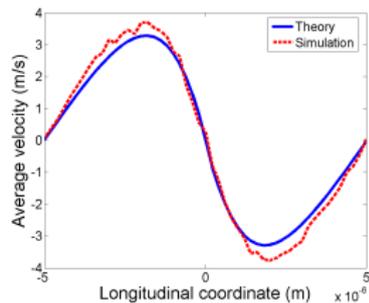


(b) Energy modulation

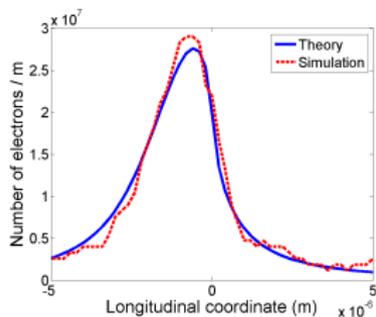
# Simulation using uniform beam



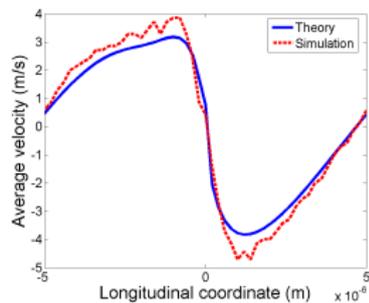
(a) Density, stationary ion



(b) Velocity, stationary ion



(c) Density, moving ion



(d) Velocity, moving ion

Continuous focusing field

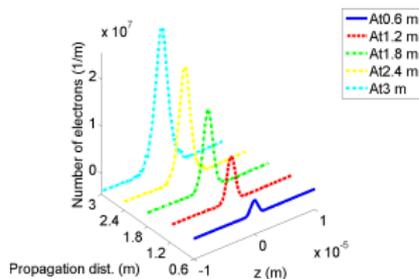
$$\vec{E}_1(\vec{r}) = \frac{m_e \sigma_v^2}{e \sigma_r^2} (\vec{r} - \vec{r}_0)$$

$$\vec{E}_2(\vec{r}) = \frac{q}{2\pi\epsilon_0 |\vec{r} - \vec{r}_0|} \left( 1 - e^{-|\vec{r} - \vec{r}_0|^2 / 2\sigma_r^2} \right)$$

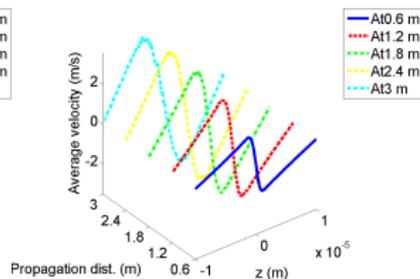
where  $\vec{r} = (x, y)$  is the radial coordinate in transverse plane,  $\vec{r}_0 = (x_0, y_0)$  is the center of the Gaussian distribution,  $\sigma_r$  is the RMS of the Gaussian distribution in both horizontal and vertical directions and  $\sigma_v$  is the RMS velocity of the electron distribution.

Transverse beam size is constant in the modulator.

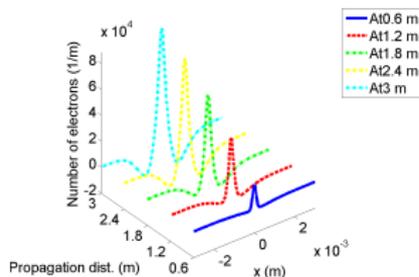
# Simulation using Gaussian beam, continuous focusing



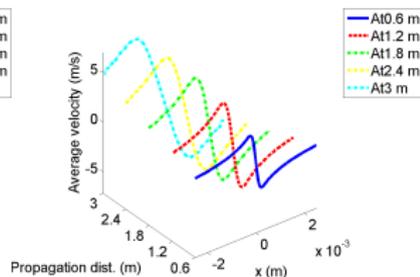
(a) Longitudinal density



(b) Longitudinal velocity

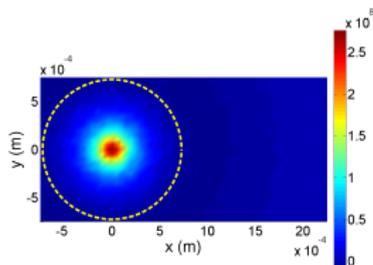


(c) Transverse density

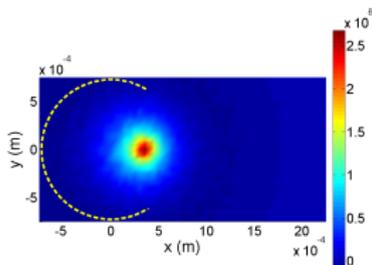


(d) Transverse velocity

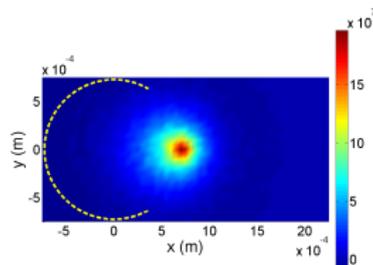
# Simulation using Gaussian beam, continuous focusing



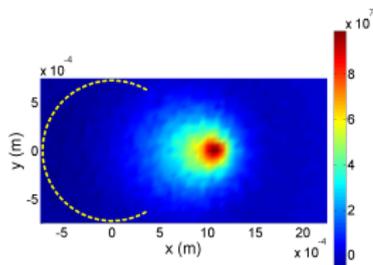
(a) Ion at center



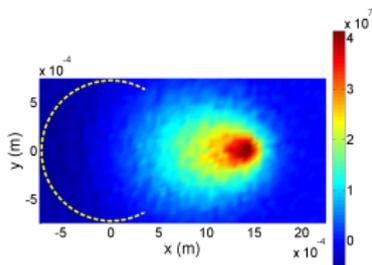
(b) Ion 0.5 $\sigma$  off center



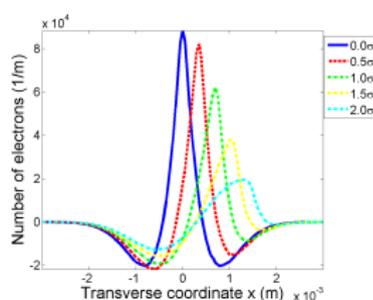
(c) Ion 1.0 $\sigma$  off center



(d) Ion 1.5 $\sigma$  off center

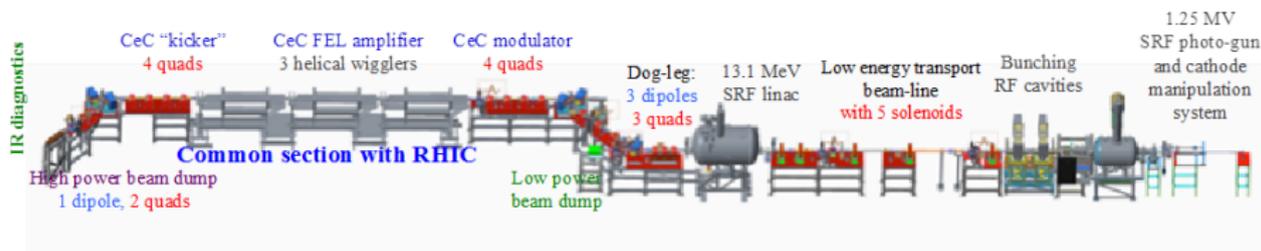


(e) Ion 2.0 $\sigma$  off center



(f) Transverse density

# FEL-based CeC experiment



# Modulator of FEL-based CeC experiment



Q4

Q3

Q2

Q1

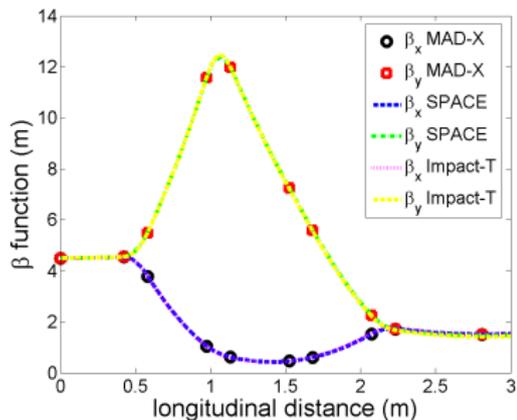
$$B_x = G \cdot y$$

$$B_y = G \cdot x$$

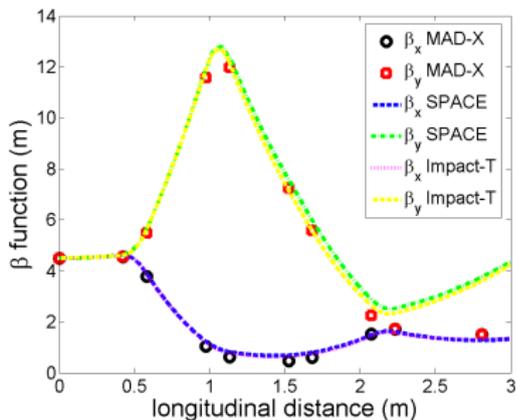
$$\kappa = \frac{G}{B\rho}$$

$$B\rho(T \cdot m) = 3.3356pc(GeV)$$

# Modulator, quadrupole beam line

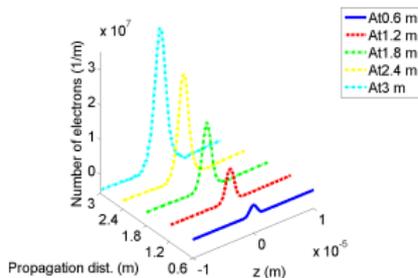


(a) No space charge

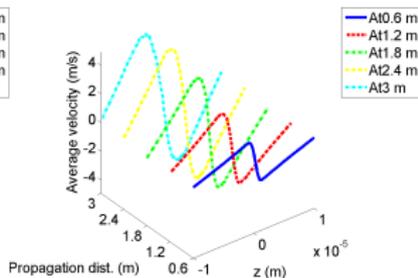


(b) With space charge

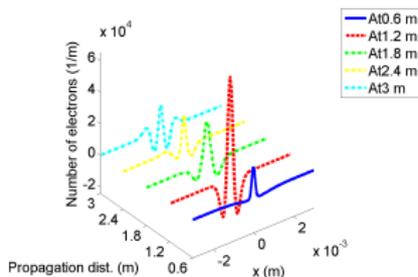
# Modulation, quadrupole beam line



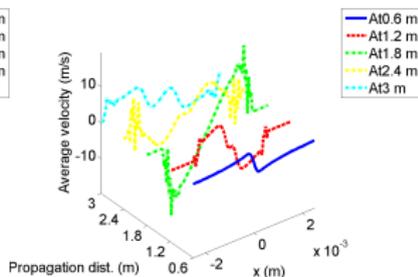
(a) Longitudinal density



(b) Longitudinal velocity



(c) Transverse density



(d) Transverse velocity

# Transport in quadrupole channel

$$\langle x_o \delta x'_o \rangle = -\varepsilon, \varepsilon > 0.$$

(a) Initial correlation

$$\begin{pmatrix} x(s) \\ x'(s) \end{pmatrix} = \begin{pmatrix} a(s) & b(s) \\ c(s) & d(s) \end{pmatrix} \begin{pmatrix} x_o \\ x'_o \end{pmatrix}, \quad ad - bc = 1 \quad \begin{pmatrix} \delta x(s) \\ \delta x'(s) \end{pmatrix} = \begin{pmatrix} a(s) & b(s) \\ c(s) & d(s) \end{pmatrix} \begin{pmatrix} 0 \\ \delta x'_o \end{pmatrix}$$

(b) Transport

(c) Transport

$$x = ax_o + bx'_o$$

$$\delta x' = d\delta x'_o$$

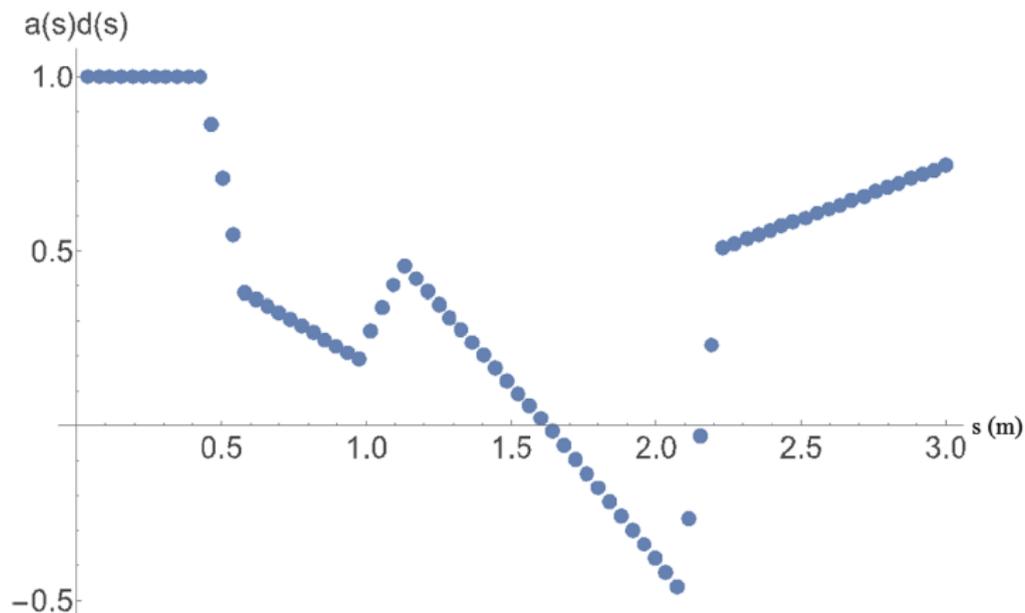
$$\langle x\delta x' \rangle = ad \cdot \langle x_o \delta x'_o \rangle$$

$$= -ad \cdot \varepsilon$$

(d) Final correlation

# Transport in quadrupole channel

J. Ma, et al. Physical Review Accelerators and Beams 21.11 (2018): 111001.



# Transverse phase advance in quadrupole beam line

(a) No space charge

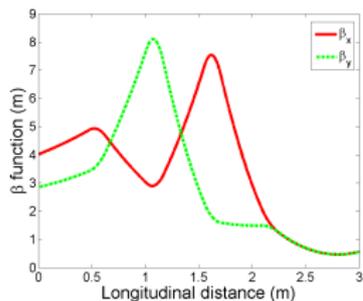
(b) With space charge

# Bunching factor

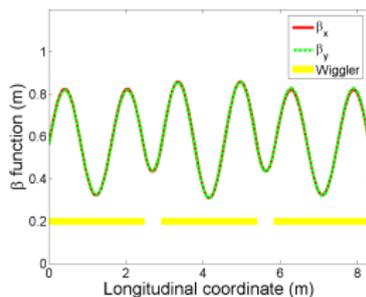
$$b \equiv \frac{1}{N_\lambda} \sum_{k=1}^{N_\lambda} e^{i \frac{2\pi}{\lambda_{opt}} z_k}, \quad -\frac{\lambda_{opt}}{2} \leq z_k \leq \frac{\lambda_{opt}}{2},$$

where  $\lambda_{opt}$  is the optical wavelength, the sum is taken over a slice of  $\lambda_{opt}$  width, centered at the location of the ion, and  $N_\lambda$  is the total number of electrons within that slice.

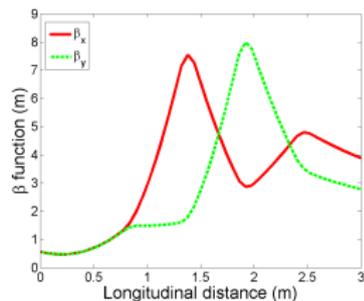
# Beam envelope in FEL-based CeC



(a) Modulator

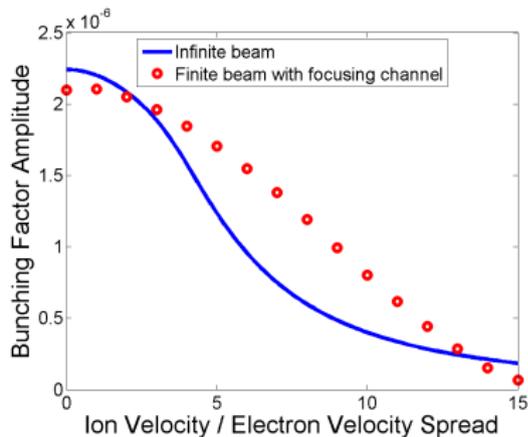
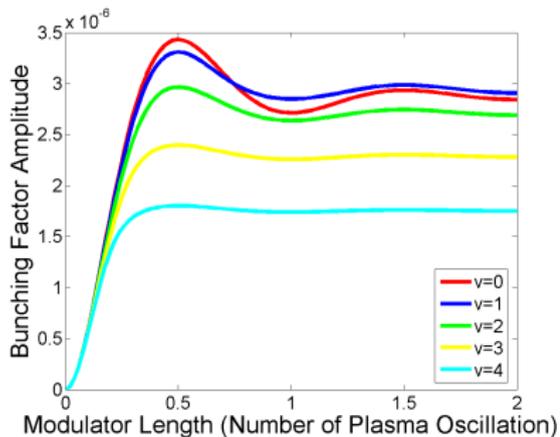


(b) FEL amplifier



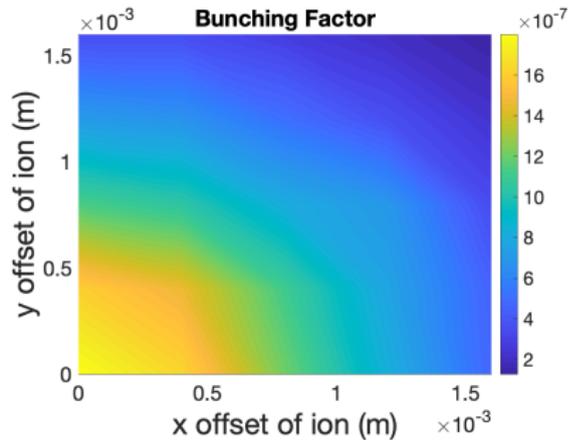
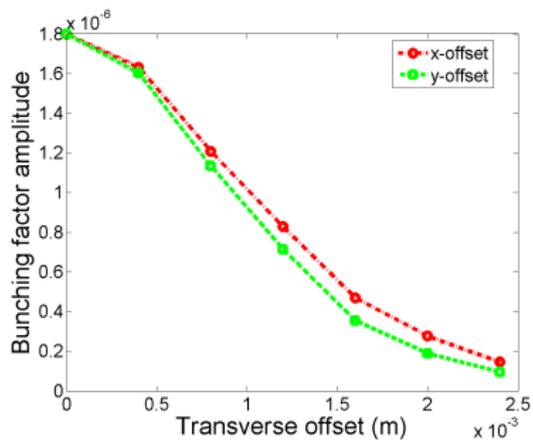
(c) Kicker

# Dependence on ion velocity and modulator length

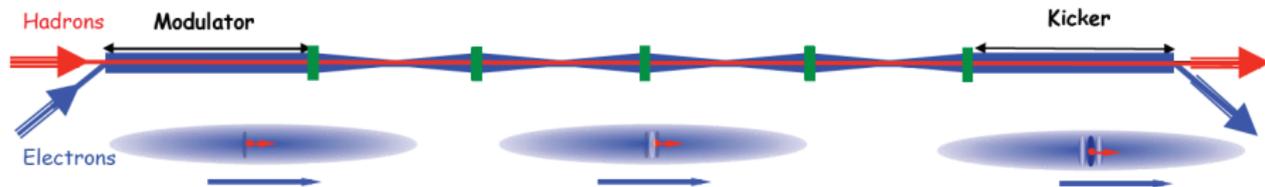
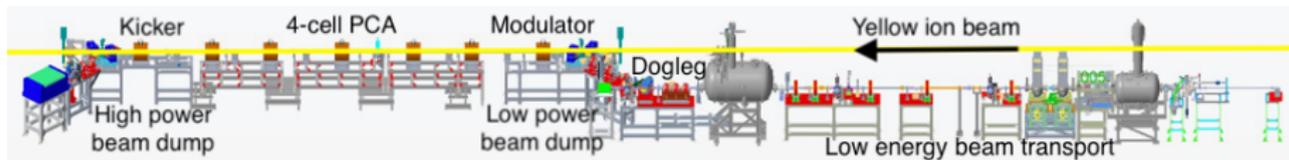


The ion velocity is in unit of electron longitudinal velocity spread.

# Dependence on ion transverse offset



# PCA-based CeC



An example of on-axis magnetic field:

$$B_{z,0} = \frac{B_0}{2} \left( \frac{L/2 - z}{\sqrt{(z - L/2)^2 + R^2}} + \frac{L/2 + z}{\sqrt{(z + L/2)^2 + R^2}} \right)$$

Off-axis magnetic field:

$$B_z(r) = B_{z,0} - \frac{r^2}{4} B_{z,0}'' + \frac{r^4}{64} B_{z,0}'''' - \frac{r^6}{2304} B_{z,0}'''''' \dots$$

$$B_r(r) = -\frac{r}{2} B_{z,0}' + \frac{r^3}{16} B_{z,0}''' - \frac{r^5}{384} B_{z,0}'''''' \dots$$

# Lorentz transformation of the fields

$$E_x^* = \gamma E_x - \gamma \beta c B_y$$

$$E_y^* = \gamma E_y + \gamma \beta c B_x$$

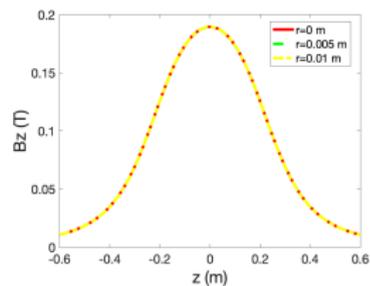
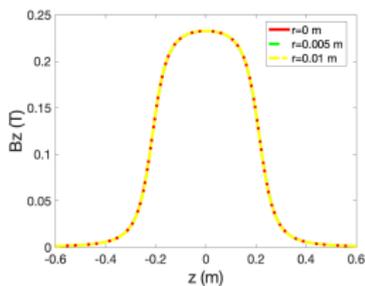
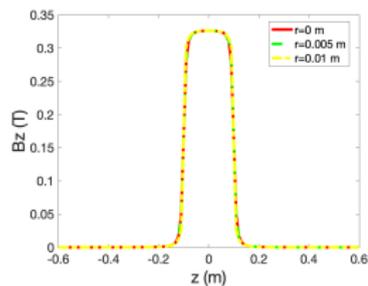
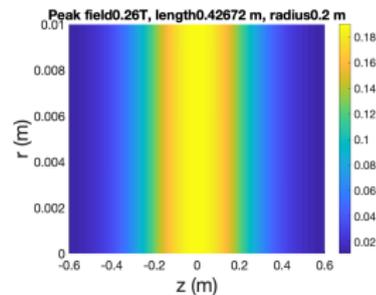
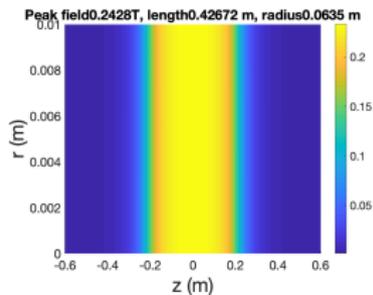
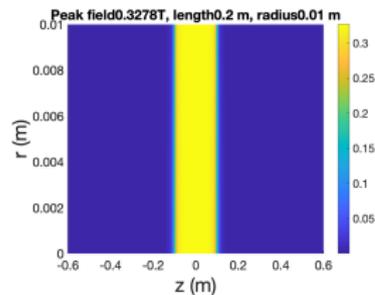
$$E_z^* = E_z$$

$$B_x^* = \gamma B_x + \frac{\gamma \beta}{c} E_y$$

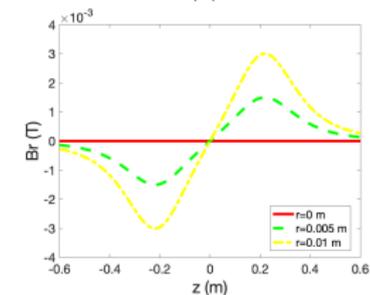
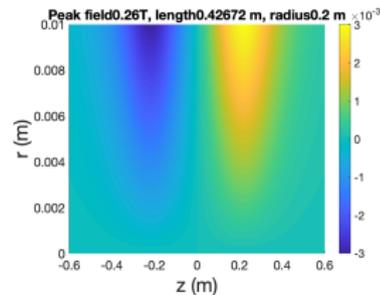
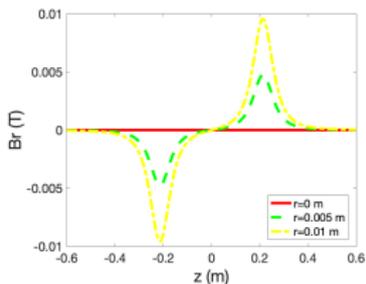
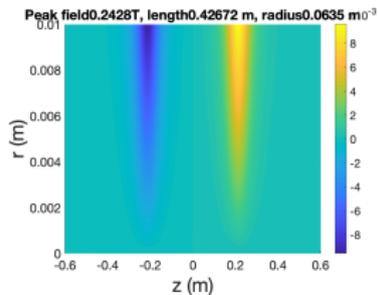
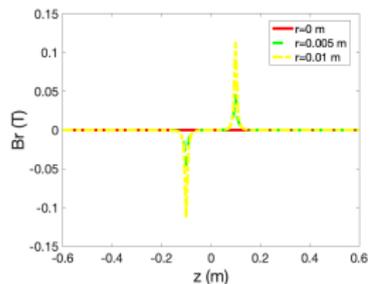
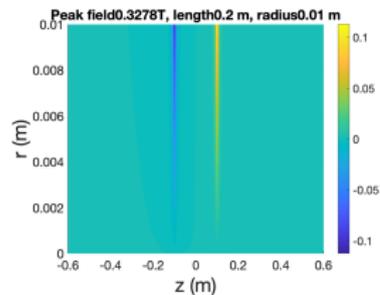
$$B_y^* = \gamma B_y - \frac{\gamma \beta}{c} E_x$$

$$B_z^* = B_z$$

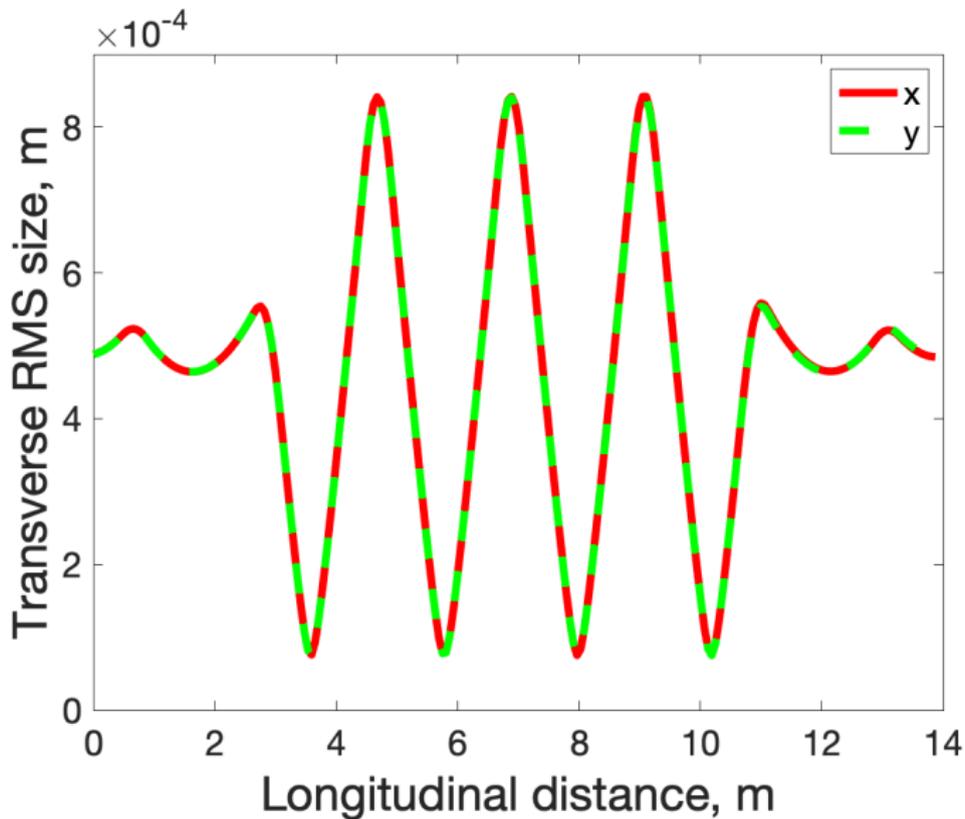
# Solenoid field $B_z$



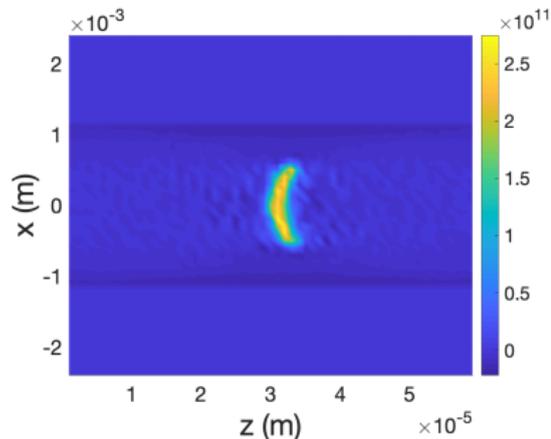
# Solenoid field $B_r$



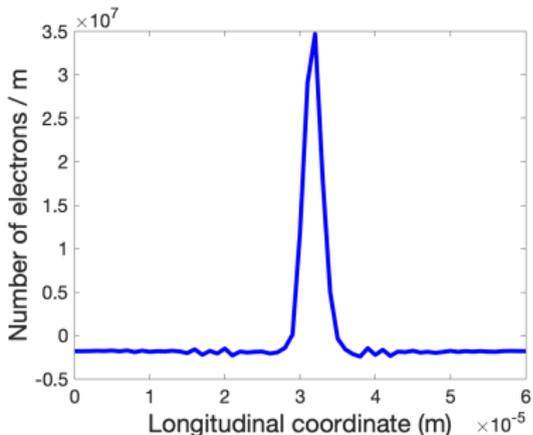
# Beam envelope in PCA-based CeC



# Density modulation in PCA-based CeC

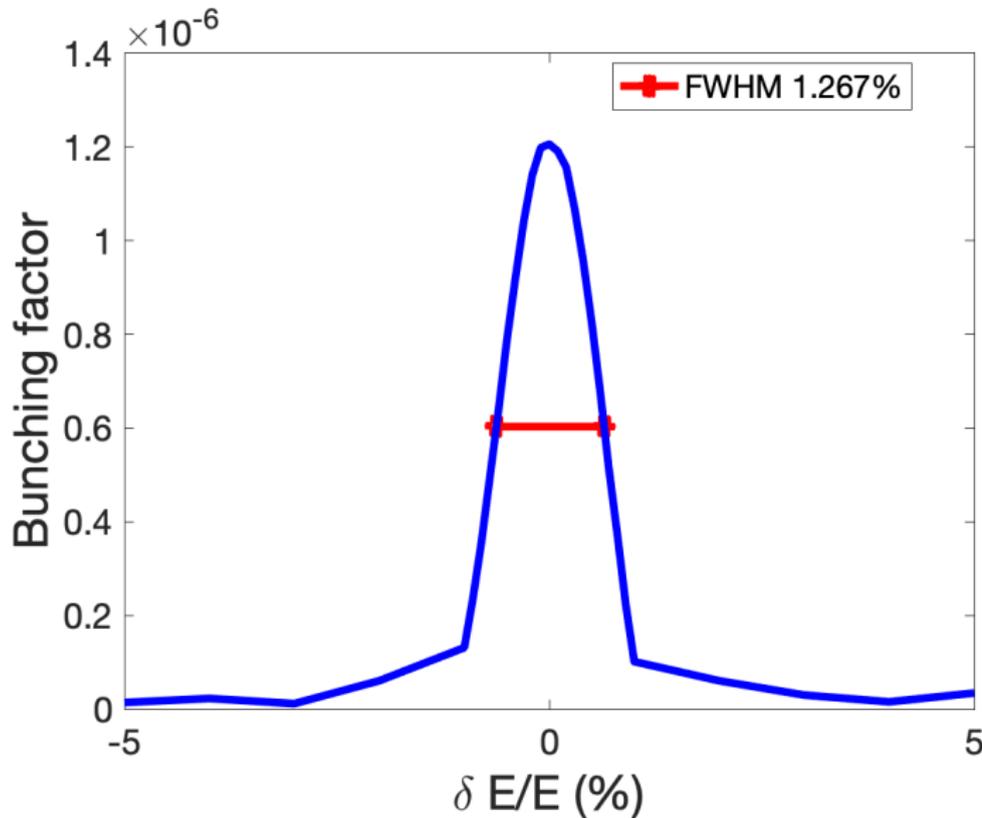


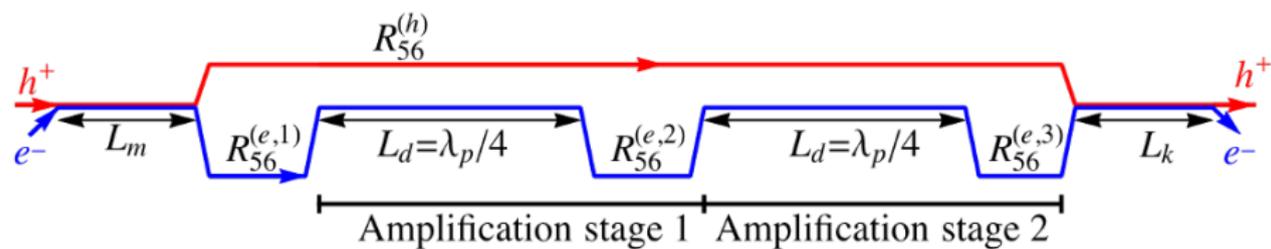
(a) 2D plot



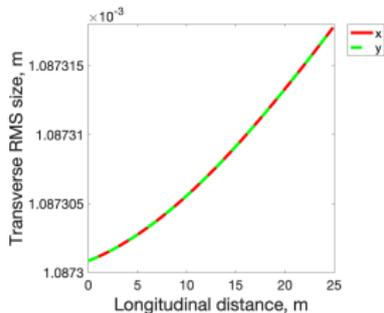
(b) 1D plot

# Dependence on energy difference

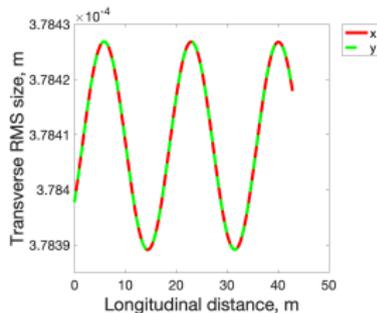




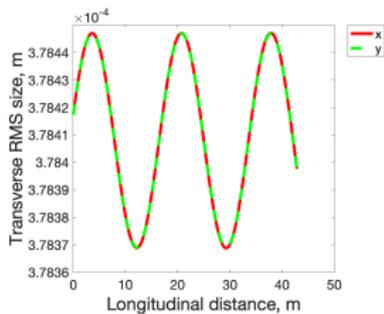
# Beam envelope in MBEC



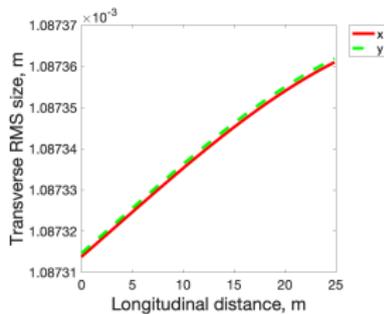
(a) Modulator



(b) First stage

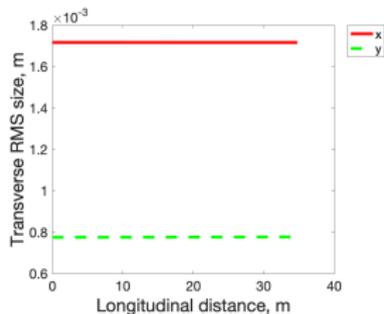


(c) Second stage

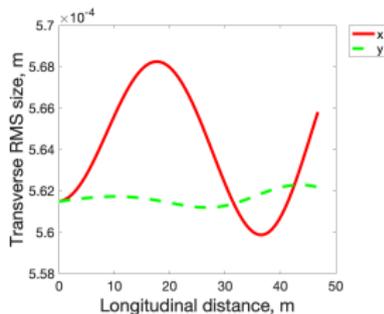


(d) Kicker

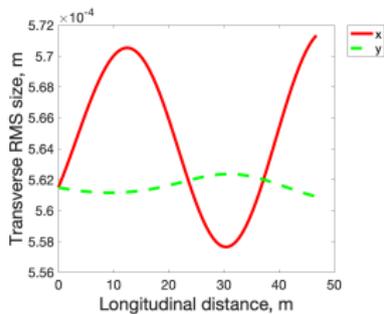
# Beam envelope in MBEC



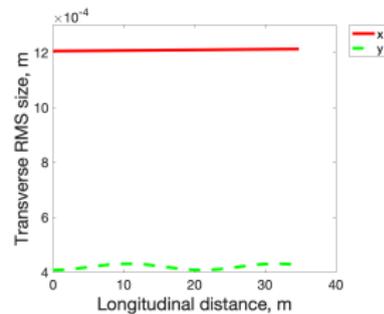
(a) Modulator



(b) First stage

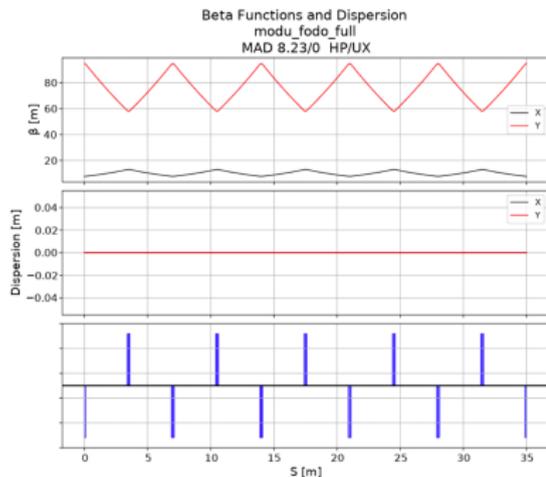


(c) Second stage

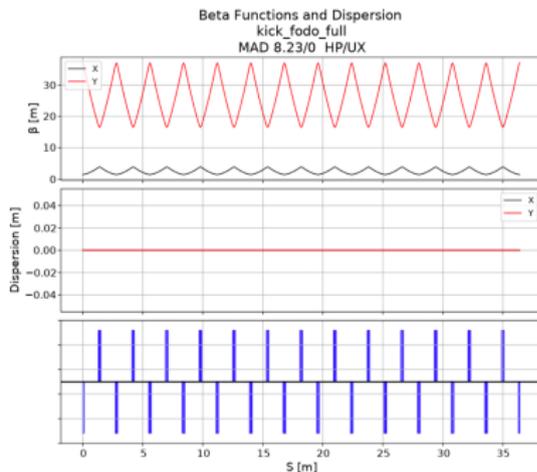


(d) Kicker

# Beam envelope in MBEF

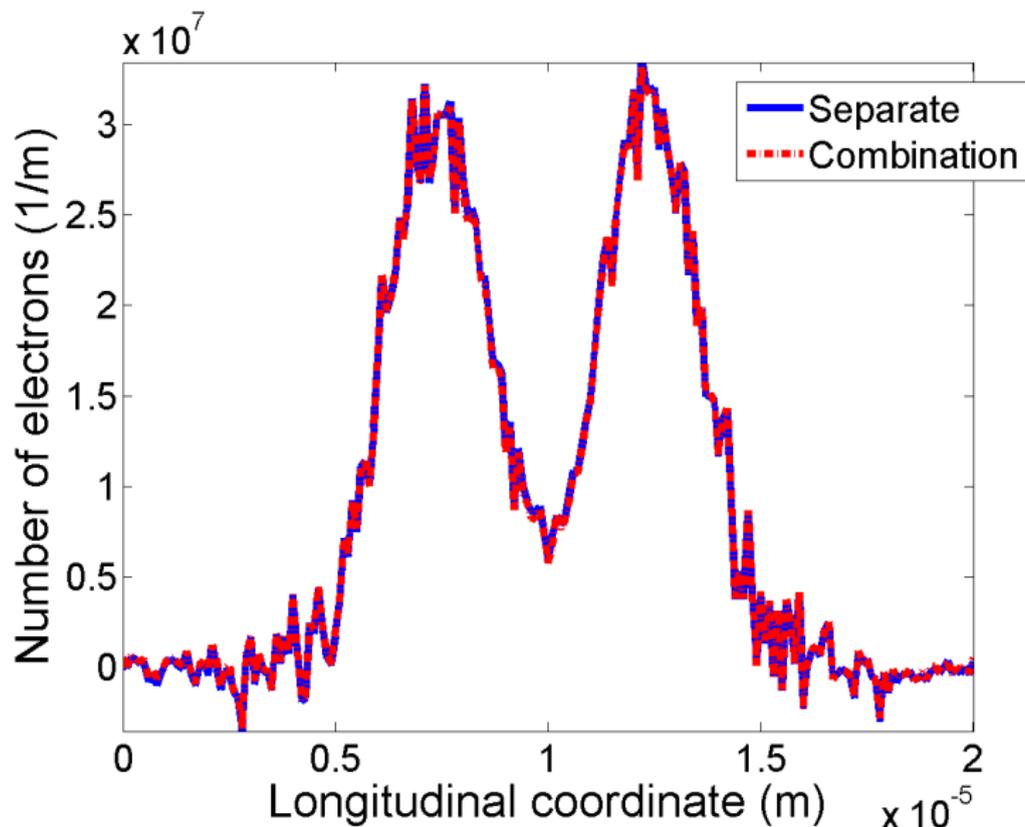


(a) Modulator



(b) Kicker

# Superposition principle in density modulation



## 1 Introduction

## 2 Modulator

- Theory
- Simulation
- FEL-based CeC
- PCA-based CeC
- MBEC-based CeC

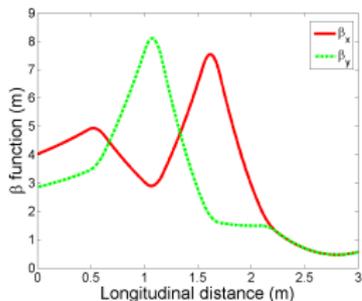
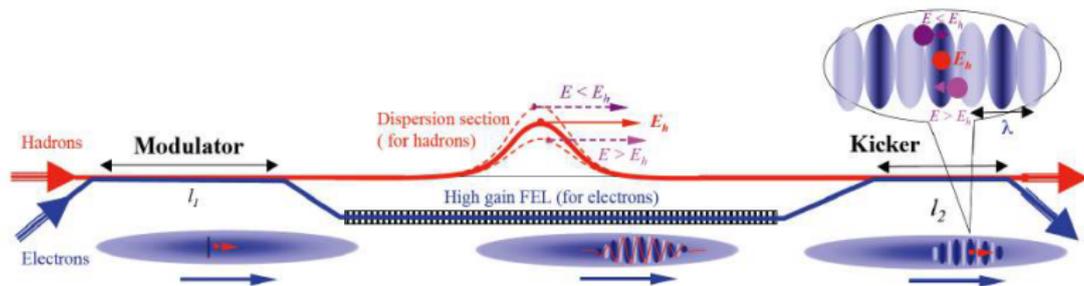
## 3 Amplifier

- FEL-based CeC
- PCA-based CeC
- MBEC-based CeC

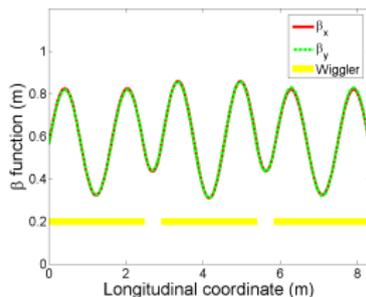
## 4 Kicker

- Single pass
- Cooling time

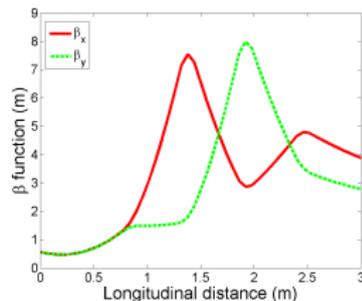
# FEL-based CeC



(a) Modulator

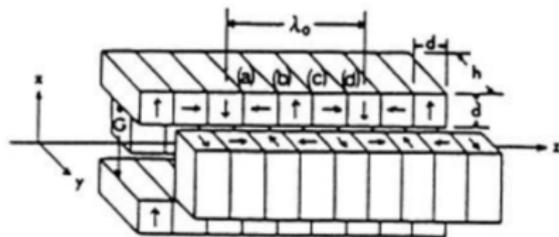


(b) FEL amplifier



(c) Kicker

# Helical undulator



$$B_x(x, y, z) = B_0 \cos(k_u z)$$

$$B_y(x, y, z) = B_0 \sin(k_u z)$$

# Electron motion in helical wiggler without radiation field

$$\vec{B}_w(x, y, z) = B_w [\cos(k_u z) \hat{x} - \sin(k_u z) \hat{y}]$$

$$\vec{F}(x, y, z) = -e\vec{v} \times \vec{B} = -ev_z \hat{z} \times \vec{B} = -ev_z B_w [\cos(k_u z) \hat{y} + \sin(k_u z) \hat{x}]$$

$$\frac{d(m\gamma v_x)}{dt} = m\gamma \frac{dv_x}{dt} = -ev_z B_w \sin(k_u z) \qquad \frac{d(m\gamma v_y)}{dt} = m\gamma \frac{dv_y}{dt} = -ev_z B_w \cos(k_u z)$$

$$\gamma = \frac{1}{\sqrt{1 - v^2/c^2}} \qquad v = \sqrt{v_x^2 + v_y^2 + v_z^2} \qquad \tilde{v} \equiv v_x + iv_y$$

$$m\gamma \frac{d\tilde{v}}{dt} = -iev_z B_w (\cos(k_u z) - i \sin(k_u z)) = -iev_z B_w e^{-ik_u z}$$

$$m\gamma \frac{d\tilde{v}}{dt} = m\gamma \frac{dz}{dt} \frac{d\tilde{v}}{dz} = -iev_z B_w e^{-ik_u z} \Rightarrow m\gamma \frac{d\tilde{v}}{dz} = -ieB_w e^{-ik_u z}$$

# Electron motion in helical wiggler without radiation field

$$\frac{\tilde{v}(z)}{c} = \frac{-ieB_w}{mc\gamma} \int e^{-ik_u z_1} dz_1 = \frac{eB_w}{mc\gamma k_u} e^{-ik_u z} = \frac{K}{\gamma} e^{-ik_u z}$$

$$\vec{v}_\perp(z) = \frac{cK}{\gamma} [\cos(k_u z) \hat{x} - \sin(k_u z) \hat{y}] \quad v_z = \text{const.}$$

$$K \equiv \frac{eB_w \lambda_w}{2\pi mc} \quad \theta_s = K / \gamma$$

# Energy change of electrons due to radiation field

$$\vec{v}_{\perp}(z) = \frac{cK}{\gamma} \left[ \cos(k_u z) \hat{x} - \sin(k_u z) \hat{y} \right]$$

$$\begin{aligned} \vec{E}_{\perp}(z,t) &= E \left[ \cos(kz - \omega t) \hat{x} + \sin(kz - \omega t) \hat{y} \right] & E_z &= 0 \\ &= E \left[ \cos(k(z - ct)) \hat{x} + \sin(k(z - ct)) \hat{y} \right] & \omega &= kc \end{aligned}$$

$$\frac{d\mathcal{E}}{dt} = \vec{F} \cdot \vec{v} = -e\vec{v}_{\perp} \cdot \vec{E}_{\perp}$$

$$\frac{d\mathcal{E}}{dz} = -eE\theta_s \cos \left[ \left( k_w + k - k \frac{c}{v_z} \right) z + \psi_0 \right]$$

# Resonant radiation wavelength

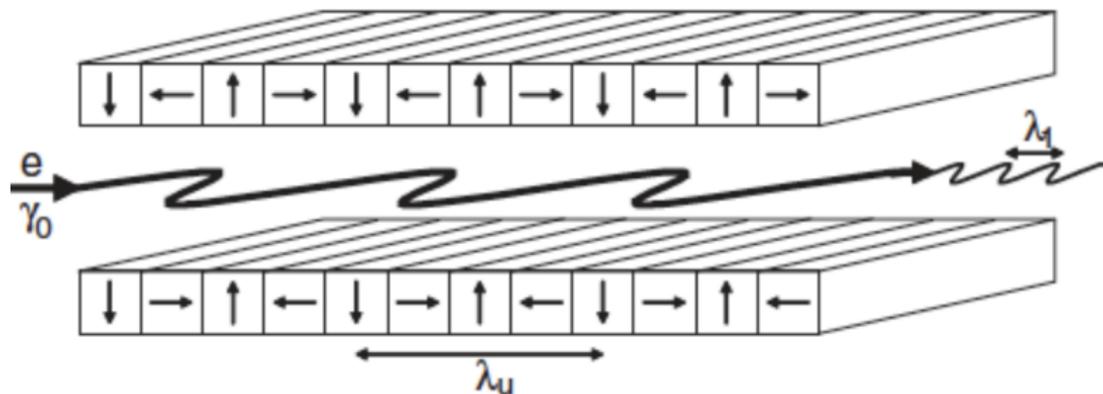
$$k_w + k_0 - k_0 \frac{c}{v_z} = 0 \Rightarrow \lambda_0 = \lambda_w \left( \frac{c}{v_z} - 1 \right) \approx \frac{\lambda_w}{2\gamma_z^2}$$

$$\gamma_z^{-2} \equiv 1 - v_z^2 / c^2 = 1 - (v_z^2 + v_\perp^2) / c^2 + v_\perp^2 / c^2 = \gamma^{-2} + \theta_s^2 = \gamma^{-2} (1 + K^2)$$

$$\lambda_0 \approx \frac{\lambda_w (1 + K^2)}{2\gamma^2}$$

$$K \equiv \frac{eB_w \lambda_w}{2\pi mc}$$

# Planar undulator



$$B_y(x, y, z) = B_0 \sin(k_u z)$$

$$\lambda_0 = \frac{\lambda_w}{2\gamma^2} \left( 1 + \frac{K^2}{2} \right)$$

## Low gain

$$g_l = \frac{(E_{ext} + \Delta E)^2 - E_{ext}^2}{E_{ext}^2} \approx \frac{2\Delta E}{E_{ext}} = \tau \cdot f(\hat{C}_l) = 2\Gamma^3 l_w^3 f_l(\hat{C}_l)$$

$$f_l(\hat{C}_l) = \frac{2}{\hat{C}_l^3} \left( 1 - \cos \hat{C}_l - \frac{\hat{C}_l}{2} \sin \hat{C}_l \right)$$

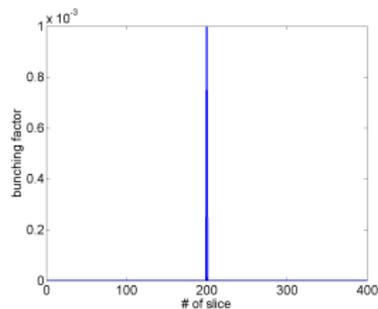
## High gain

$$g_h(\hat{C}_l) = \frac{\tilde{E}^2 - E_{ext}^2}{E_{ext}^2} = \left| \frac{\lambda_2 \lambda_3 e^{\lambda_1 \hat{i}_w}}{(\lambda_1 - \lambda_2)(\lambda_1 - \lambda_3)} + \frac{\lambda_1 \lambda_3 e^{\lambda_2 \hat{i}_w}}{(\lambda_2 - \lambda_3)(\lambda_2 - \lambda_1)} + \frac{\lambda_1 \lambda_2 e^{\lambda_3 \hat{i}_w}}{(\lambda_3 - \lambda_1)(\lambda_3 - \lambda_2)} \right|^2 - 1$$

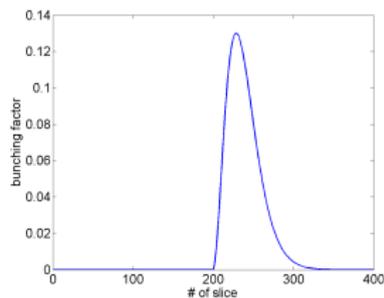
$$= 2\Gamma^3 l_w^3 f_h(\hat{C}_l) \quad \hat{i}_w = l_w \Gamma$$

$$f_h(\hat{C}_l) = \frac{1}{2\hat{l}_w^3} \left\{ \left| \frac{\lambda_2 \lambda_3 e^{\lambda_1 \hat{i}_w}}{(\lambda_1 - \lambda_2)(\lambda_1 - \lambda_3)} + \frac{\lambda_1 \lambda_3 e^{\lambda_2 \hat{i}_w}}{(\lambda_2 - \lambda_3)(\lambda_2 - \lambda_1)} + \frac{\lambda_1 \lambda_2 e^{\lambda_3 \hat{i}_w}}{(\lambda_3 - \lambda_1)(\lambda_3 - \lambda_2)} \right|^2 - 1 \right\}$$

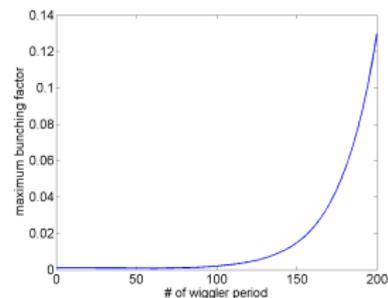
# FEL gain, no saturation



(a) Initial



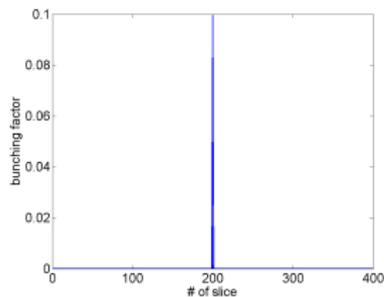
(b) Final



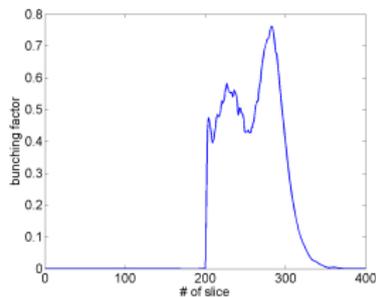
(c) Growth

# FEL gain, no saturation

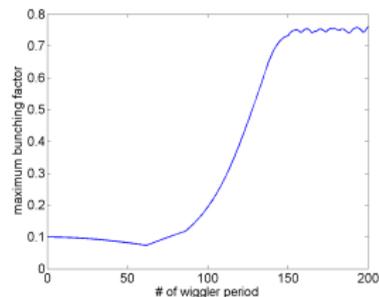
# FEL gain, saturation



(a) Initial



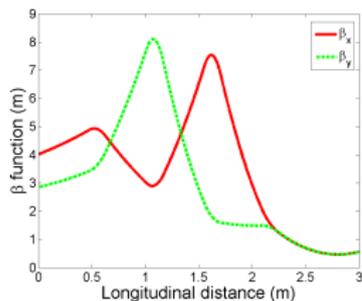
(b) Final



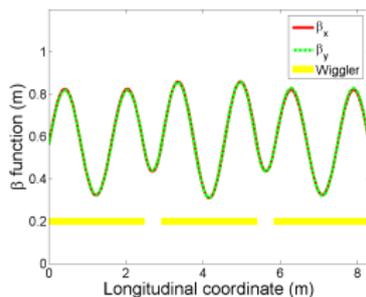
(c) Growth

# FEL gain, saturation

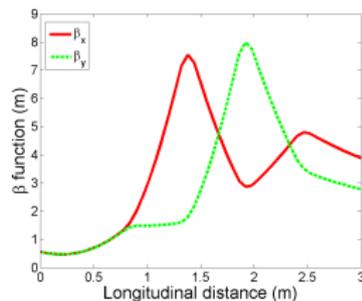
# Beam envelope in FEL-based CeC



(a) Modulator

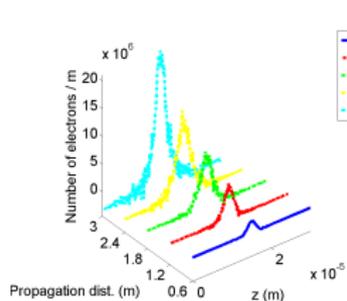


(b) FEL amplifier

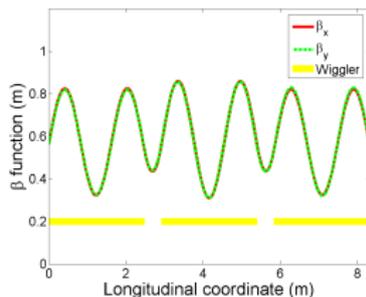


(c) Kicker

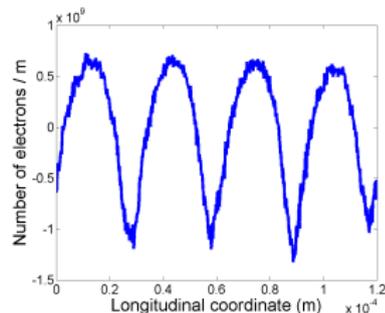
# Density modulation in FEL-based CeC



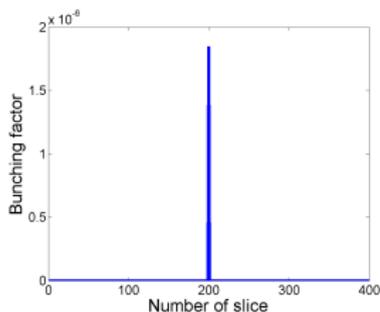
(a) Exit of modulator



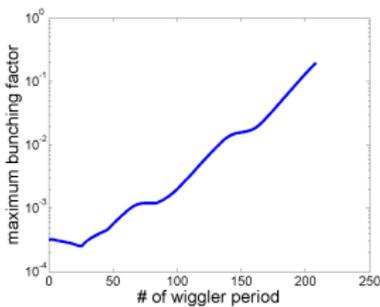
(b) FEL amplifier



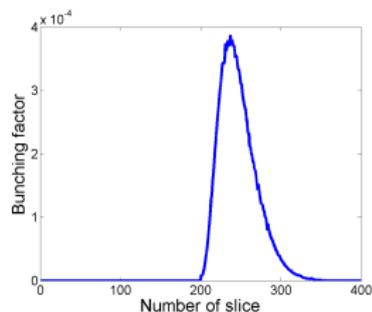
(c) Entrance of kicker



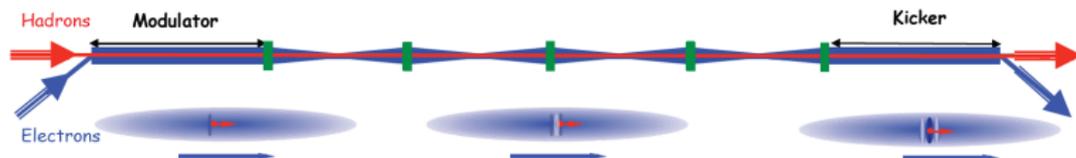
(d) Entrance of FEL



(e) FEL amplifier



(f) Exit of FEL



Working principle of PCA is the plasma cascade instability (PCI).  
Litvinenko, Vladimir N., et al. *Physical Review Accelerators and Beams* 24.1 (2021): 014402.

$$\frac{\partial n}{\partial t} + \text{div}(n\vec{v}) = 0.$$

$$\frac{\partial \vec{v}}{\partial t} = \frac{e\vec{E}}{m}; \quad \text{div}\vec{E} = 4\pi e\tilde{n} \rightarrow \text{div}\frac{\partial \vec{v}}{\partial t} = \frac{4\pi e^2}{m}\tilde{n},$$

$$\frac{\partial^2 \tilde{n}}{\partial t^2} + 4\pi \frac{e^2 n_o}{m} \tilde{n} = \left( \frac{\partial \vec{v}}{\partial t} \cdot \vec{\nabla} \tilde{n} + \frac{\partial \tilde{n}}{\partial t} \cdot \text{div} \vec{v} \right) = O\left(\left|\frac{\tilde{n}}{n_o}\right|^2\right);$$

$$\ddot{\tilde{n}} + \omega_p^2 \tilde{n} = 0,$$

$$\tilde{n} = \delta n(\vec{r}) \cdot \cos[\omega_p t + \varphi(\vec{r})];$$

$$\dot{\tilde{n}} = -\omega_p \delta n(\vec{r}) \cdot \sin[\omega_p t + \varphi(\vec{r})],$$

# Varying plasma frequency

$$\ddot{\tilde{n}} + \omega_p^2(t)\tilde{n} = 0$$

$$\begin{bmatrix} \tilde{n}(t_2) \\ \dot{\tilde{n}}(t_2) \end{bmatrix} = \mathbf{M}(t_1|t_2) \begin{bmatrix} \tilde{n}(t_1) \\ \dot{\tilde{n}}(t_1) \end{bmatrix},$$

$$\mathbf{M}(t_1|t_2) = \exp_{\text{ordered}} \left[ \int_{t_1}^{t_2} \mathbf{D}(t) dt \right]; \quad \mathbf{D}(t) = \begin{bmatrix} 0 & 1 \\ -\omega_p^2(t) & 0 \end{bmatrix}$$

$$\exp_{\text{ordered}} \left[ \int_{t_1}^{t_2} \mathbf{D}(t) dt \right] = \lim_{N \rightarrow \infty} \prod_{\substack{n=1 \\ \text{ordered}}}^N M_n \equiv M_N \dots M_2 M_1;$$

$$M_n = \exp[\mathbf{D}(t_n)\Delta t]$$

$$= \begin{bmatrix} \cos \omega_p(t_n)\Delta t & \frac{\sin \omega_p(t_n)\Delta t}{\omega_p(t_n)} \\ -\omega_p(t_n) \sin \omega_p(t_n)\Delta t & \cos \omega_p(t_n)\Delta t \end{bmatrix};$$

$$\Delta t = \frac{t_2 - t_1}{N}; \quad t_n \in \{t_1 + (n-1)\Delta t, t_1 + n\Delta t\}.$$

$$\det[\mathbf{M} - \lambda \mathbf{I}] = 0; \quad \mathbf{I} = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}; \quad \lambda_1 \lambda_2 = \det \mathbf{M} = 1.$$

Periodic system  $\omega_p(t + T) = \omega_p(T)$

$$\mathbf{M}_c = \mathbf{M}(0|T) = \begin{bmatrix} m_{11} & m_{12} \\ m_{21} & m_{22} \end{bmatrix}; \quad \mathbf{M}(0|nT) = \mathbf{M}_c^n;$$

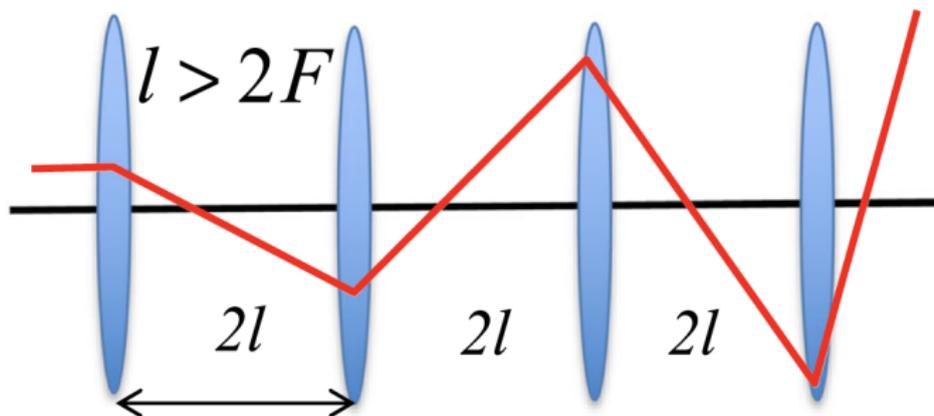
Stable

$$-2 < \text{Trace} M_c < 2$$

Unstable

$$|\text{Trace}M_c| > 2$$

$$\lambda_1 = \lambda_2^{-1} = \frac{\text{Trace}M_c}{2} \left( 1 + \sqrt{1 - \frac{4}{(\text{Trace}M_c)^2}} \right); \quad |\lambda_1| > 1;$$



$$\begin{aligned}\tilde{n}(nT) &= \tilde{n}(0) \frac{\lambda_1^n + \lambda_1^{-n}}{2} \\ &\quad + \left( m_{12} \dot{\tilde{n}}(0) + \frac{m_{11} - m_{22}}{2} \tilde{n}(0) \right) \frac{\lambda_1^n - \lambda_1^{-n}}{\lambda_1 - \lambda_1^{-1}}; \\ \dot{\tilde{n}}(nT) &= \dot{\tilde{n}}(0) \frac{\lambda_1^n + \lambda_1^{-n}}{2} \\ &\quad + \left( m_{21} \tilde{n}(0) + \frac{m_{22} - m_{11}}{2} \dot{\tilde{n}}(0) \right) \frac{\lambda_1^n - \lambda_1^{-n}}{\lambda_1 - \lambda_1^{-1}};\end{aligned}$$

$$\begin{aligned}\tilde{n}(nT) &\rightarrow \tilde{n}(0) \frac{\lambda_1^n}{2} + \left( m_{12} \dot{\tilde{n}}(0) + \frac{m_{11} - m_{22}}{2} \tilde{n}(0) \right) \\ &\quad \times \frac{\lambda_1^n}{\lambda_1 - \lambda_1^{-1}}; \\ \dot{\tilde{n}}(nT) &\rightarrow \dot{\tilde{n}}(0) \frac{\lambda_1^n}{2} + \left( m_{21} \tilde{n}(0) + \frac{m_{22} - m_{11}}{2} \dot{\tilde{n}}(0) \right) \\ &\quad \times \frac{\lambda_1^n}{\lambda_1 - \lambda_1^{-1}};\end{aligned}$$

Note the alternating sign when  $\lambda_1 < -1$

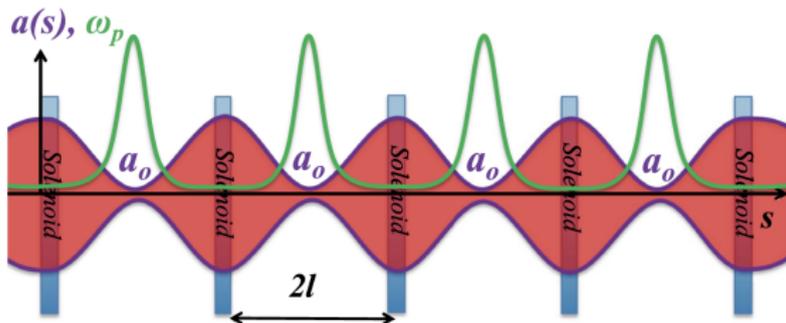
# Transverse Kapchinsky-Vladimirsky (KV) distribution

$$f_{\perp}(x, x', y, y') = N \cdot \delta\left(\frac{x^2 + y^2}{a(s)^2} + \frac{[a(s)x' - a'(s)x]^2 + [a(s)y' - a'(s)y]^2}{\epsilon_{KV}^2} - 1\right);$$

$$a'(s) = \frac{da(s)}{ds},$$

$$\epsilon_{KV} = 4\epsilon_{\text{rms}}$$

# Beam envelope



$$a'' + K(s)a - \frac{2}{\beta_o^3 \gamma_o^3} \frac{I_o}{I_A} \frac{1}{a} - \frac{\epsilon_{KV}^2}{a^3} = 0;$$

$$K(s) = \left( \frac{eB_{\text{sol}}(s)}{2p_o c} \right)^2 \equiv \left( \frac{eB_{\text{sol}}(s)}{2\beta_o \gamma_o m c^2} \right)^2,$$

$$\rho(z) = \frac{I_o}{e_o c} \frac{1}{\pi a^2(s)},$$

(a) Lab frame

$$n_o(t) = \frac{I_o}{e\beta_o\gamma_o c} \frac{1}{\pi a^2(\gamma_o\beta_o ct)},$$

(b) Beam frame

$$\frac{\partial}{\partial t} \tilde{f}_k + ikv\tilde{f}_k + \frac{eE_s}{m} \cdot \frac{\partial f_o}{\partial v} = 0;$$

$$ikE_s = 4\pi en_o(t) \cdot \int_{-\infty}^{\infty} \tilde{f}_k(v, t) dv$$

$$f_o(v) = \sigma_v / \pi(\sigma_v^2 + v^2)$$

$$\frac{d^2 \tilde{g}_k}{dt^2} + \omega_p^2(t) \tilde{g}_k = 0; \quad \tilde{g}_k = \tilde{f}_k e^{|k\sigma_v t|};$$

$$\rho_k(t) = \int_{-\infty}^{\infty} \tilde{f}_k(v, t) dv = \tilde{g}_k(t) \cdot \exp(-|k\sigma_v t|).$$

$$\frac{d^2 \hat{a}}{d\hat{s}^2} - k_{sc}^2 \hat{a}^{-1} - k_\beta^2 \hat{a}^{-3} = 0;$$

$$\frac{d^2}{d\hat{s}^2} \tilde{g}_k + 2 \frac{k_{sc}^2}{\hat{a}(\hat{s})^2} \cdot \tilde{g}_k = 0; \quad \hat{a} = \frac{a}{a_o} \geq 1;$$

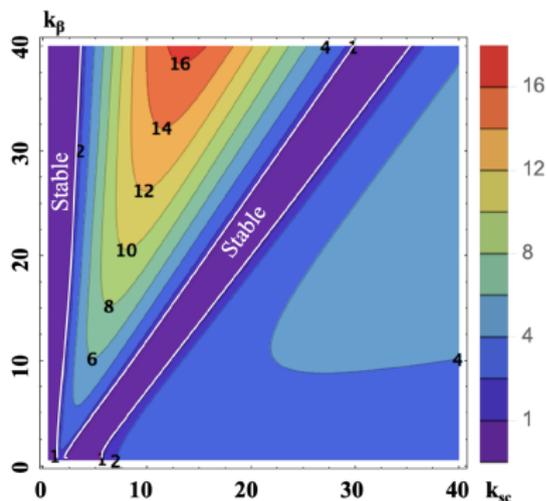
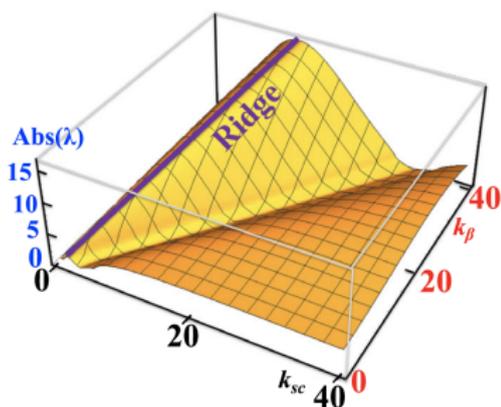
$$\hat{s} = \frac{s}{l}; \quad \hat{s} \in \{-1, 1\},$$

$$k_{sc} = \sqrt{\frac{2}{\beta_o^3 \gamma_o^3} \frac{I_o}{I_A} \frac{l^2}{a_o^2}}; \quad k_\beta = \frac{\epsilon l}{a_o^2}.$$

$$\ddot{\tilde{n}} + \omega_p^2(t) \tilde{n} = 0$$

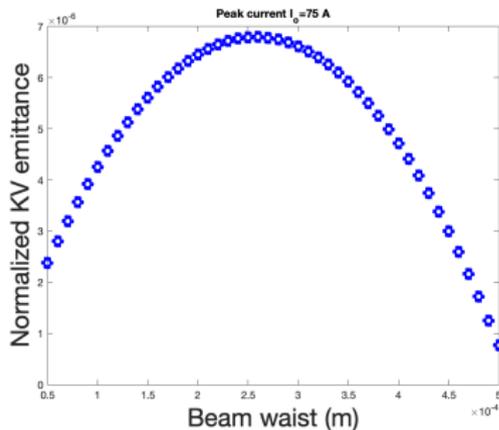
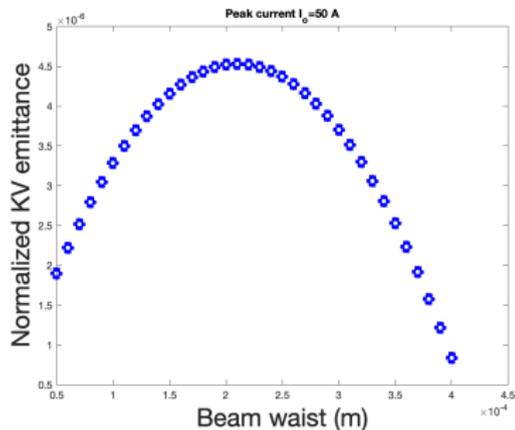
$$\mathbf{M}_c = \begin{bmatrix} m_{11} & m_{12} \\ m_{21} & m_{11} \end{bmatrix}; \quad \lambda_1 = \lambda_2^{-1} = m_{11} - \sqrt{m_{11}^2 - 1};$$

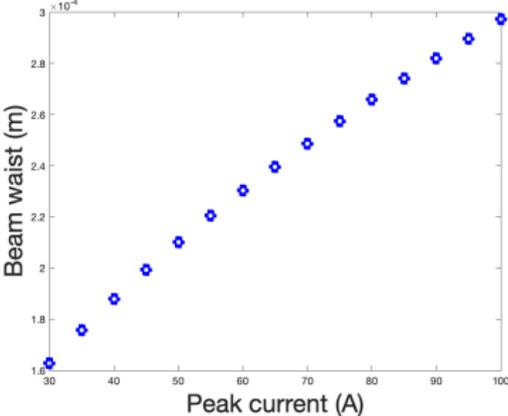
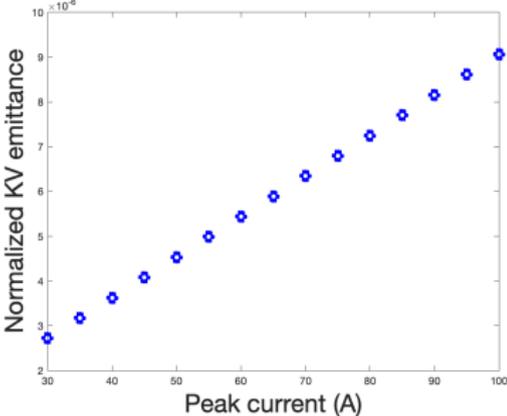
$$\tilde{g}_k(mT) = \frac{\lambda_1^m + \lambda_1^{-m}}{2} \tilde{g}_k(0) - \frac{m_{12}}{\sqrt{m_{11}^2 - 1}} \frac{\lambda_1^m - \lambda_1^{-m}}{2} \dot{\tilde{g}}_k(0),$$



$$k_{\beta} \approx 3 \cdot (k_{sc} - 1.2) \quad \lambda \propto 1.25k_{sc} \approx 1.5 + 0.413k_{\beta}$$

$$k_{sc} = \sqrt{\frac{2}{\beta_o^3 \gamma_o^3} \frac{I_o}{I_A} \frac{l^2}{a_o^2}}; \quad k_\beta = \frac{\epsilon l}{a_o^2}.$$



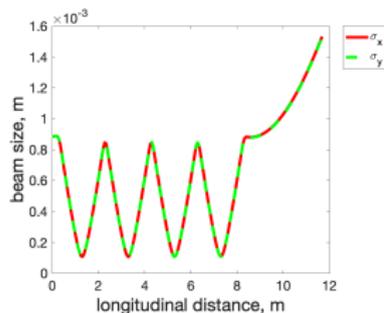


$$k_{\max} = \frac{\ln \lambda}{T \sigma_v}; \quad \omega_{\max} = \frac{v_o}{2l} \cdot \frac{\gamma_o^3}{\sigma_\gamma} \ln \lambda,$$

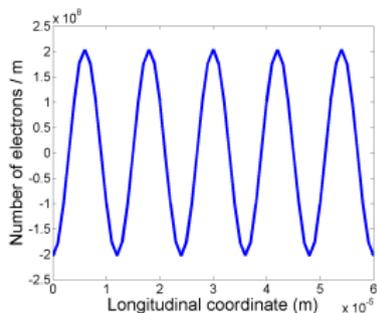
$$\begin{aligned} \tilde{\rho}_{\vec{k}}(t) = & e \int e^{-i\vec{k}\cdot\vec{\mathbf{B}}(t)\cdot\vec{P}} \tilde{f}_{\vec{k}(0)}(P,0) dP^3 - 4\pi e^2 n_o \beta_o(t) \int_0^t \frac{\tilde{\rho}_{\vec{k}}(\tau)}{\det \mathbf{A}(\tau) \beta_o^2(\tau)} \frac{d\tau}{\gamma_o(\tau)^2 [k^2(\tau) - k_z^2(\tau) \beta_o^2(\tau)]} \\ & \times \int e^{i(\vec{k}(\tau)\cdot\vec{\mathbf{B}}(\tau) - \vec{k}\cdot\vec{\mathbf{B}}(t))\cdot\vec{P}} F_o(P) dP^3, \end{aligned}$$

where  $\mathbf{U} = \mathbf{U}^T = \mathbf{A}^{-1} \mathbf{B}^T$  and

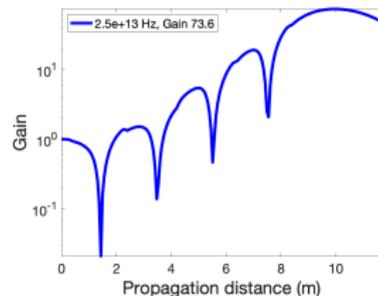
$$k \equiv \{k_x, k_y, k_z\} \equiv \{k_1, k_2, k_3\}; \quad k(t) = k(0) \mathbf{A}^{-1}(t)$$



(a) Beam size



(b) Initial signal at  $2.5 \times 10^{13}$  Hz



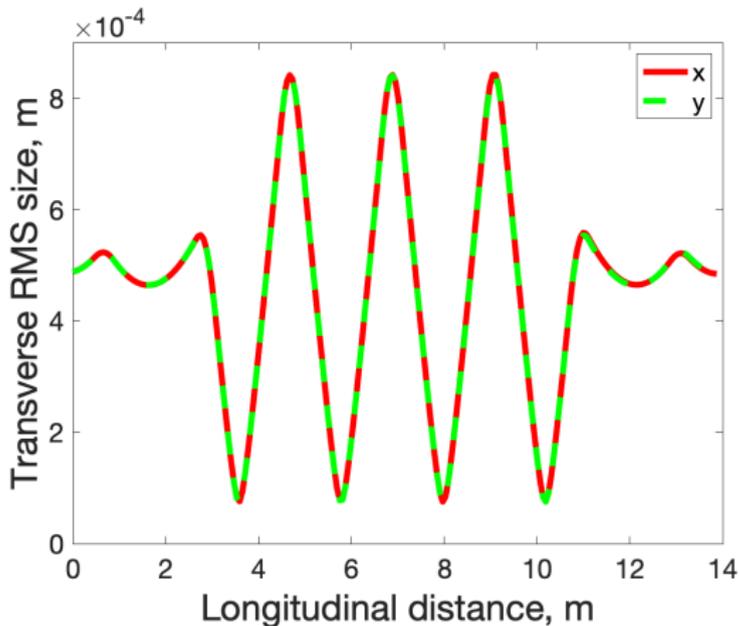
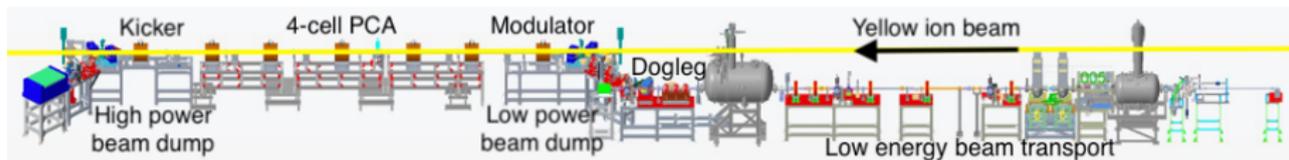
(c) Gain of  $2.5 \times 10^{13}$  Hz signal

# Evolution of density modulation

(a) z-x plot

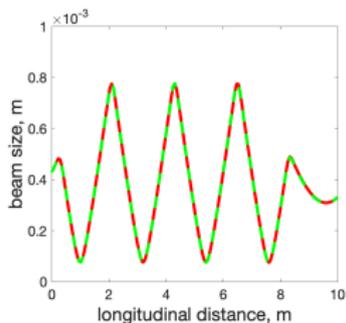
(b) z plot

# PCA-based CeC experiment

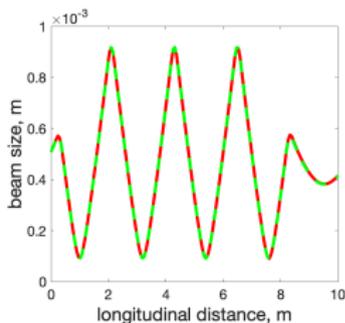


# CeC PCA lattice design

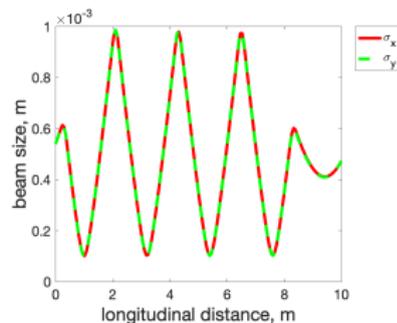
- $I=50$  A,  $a_{min}=1.5e-4$  m,  $\varepsilon_{n,KV}=5$   $\mu\text{m}$
- $I=75$  A,  $a_{min}=1.8e-4$  m,  $\varepsilon_{n,KV}=7$   $\mu\text{m}$
- $I=100$  A,  $a_{min}=2e-4$  m,  $\varepsilon_{n,KV}=8$   $\mu\text{m}$



(a) 50 A

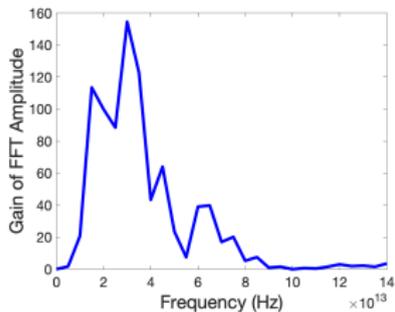


(b) 75 A

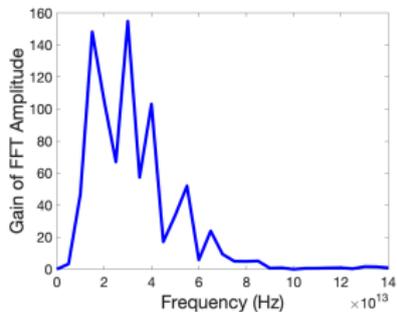


(c) 100 A

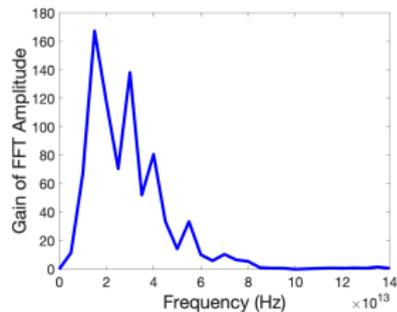
# CeC PCA gain



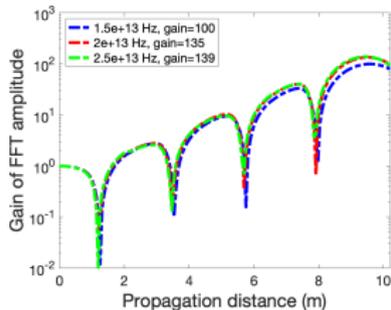
(a) 50 A



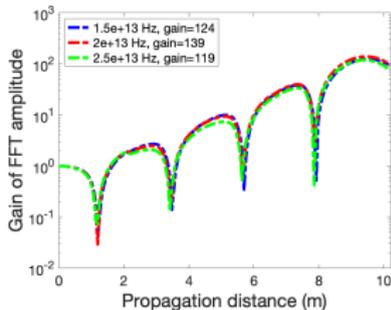
(b) 75 A



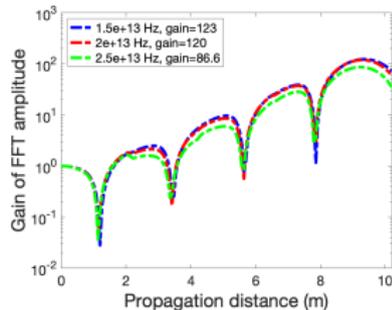
(c) 100 A



(d) 50 A

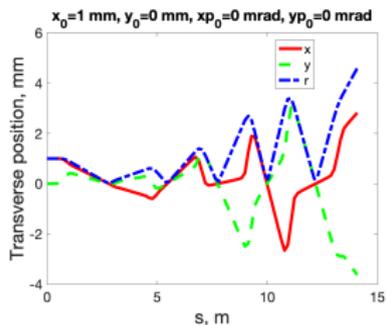


(e) 75 A

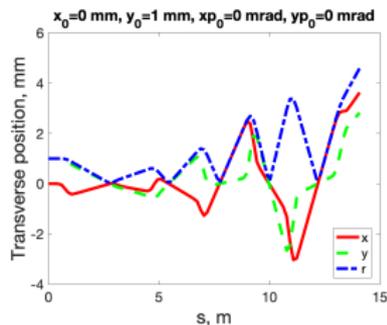


(f) 100 A

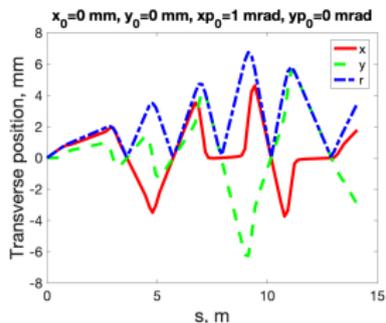
# Single particle orbit with initial offsets



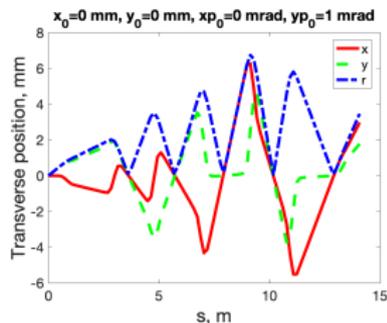
(a)  $x_0=1 \text{ mm}$



(b)  $y_0=1 \text{ mm}$

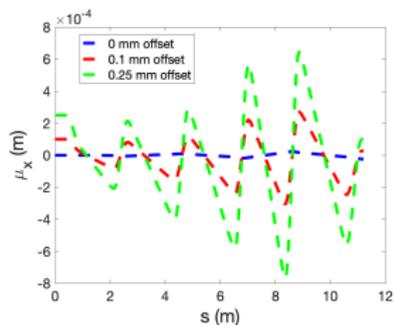


(c)  $x'_0=1 \text{ mrad}$

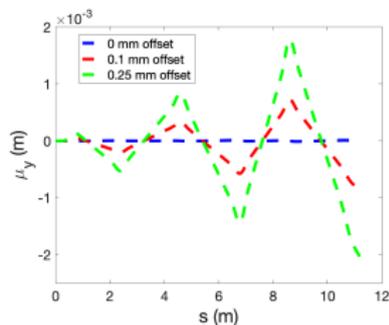


(d)  $y'_0=1 \text{ mrad}$

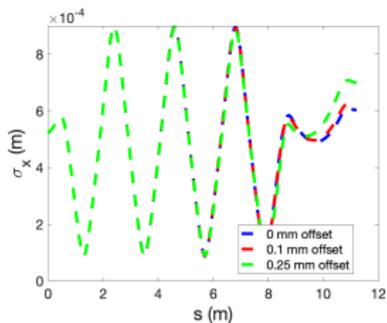
# Transverse beam position and size with initial offsets



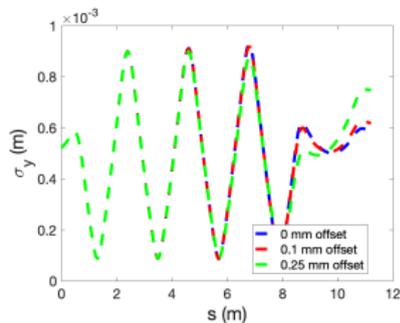
(a) x position



(b) y position

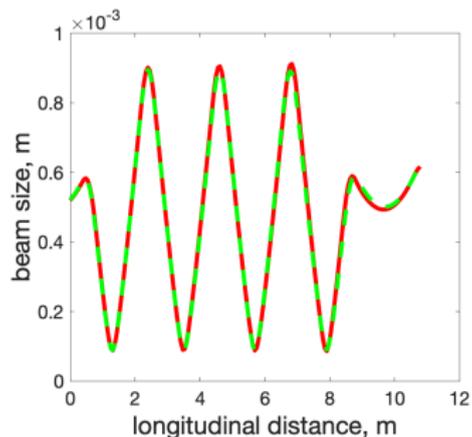


(c) x size

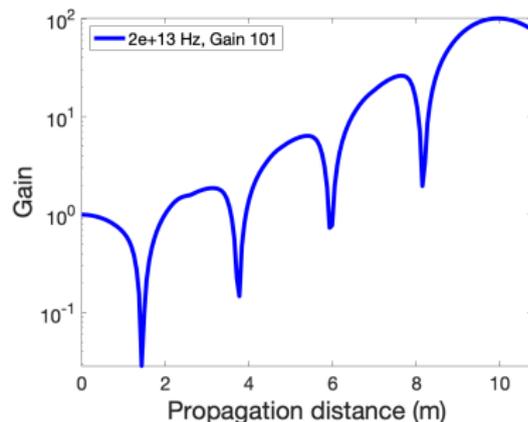


(d) y size

# Sensitivity study of orbit, no offset

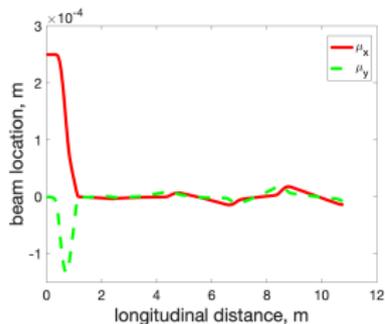


(a) Beam size

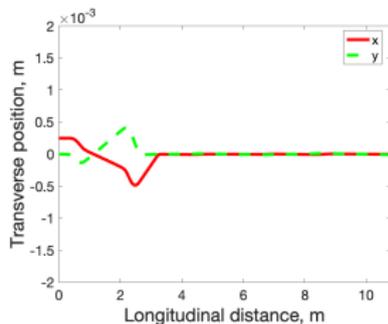


(b) PCA gain

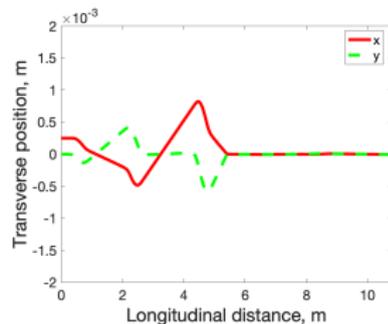
# Sensitivity study of orbit, with initial offset



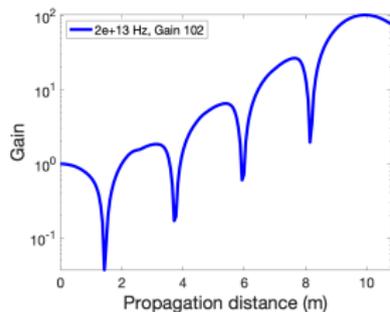
(a) Position



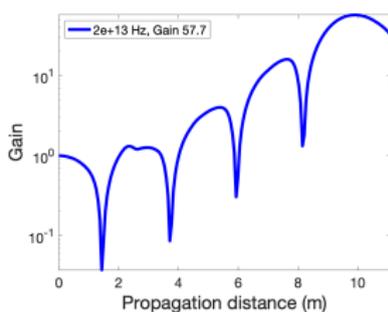
(b) Position



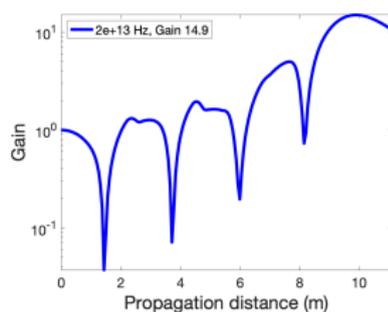
(c) Position



(d) PCA gain

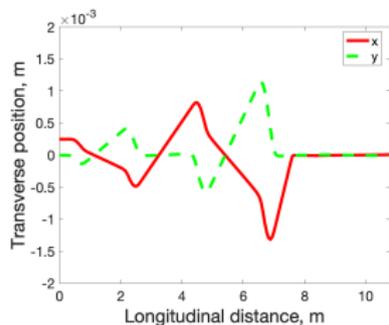


(e) PCA gain

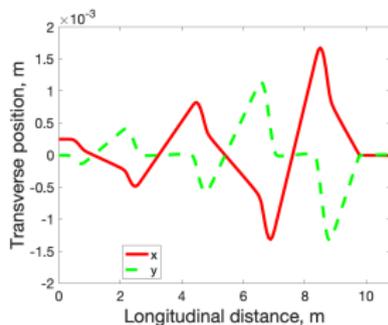


(f) PCA gain

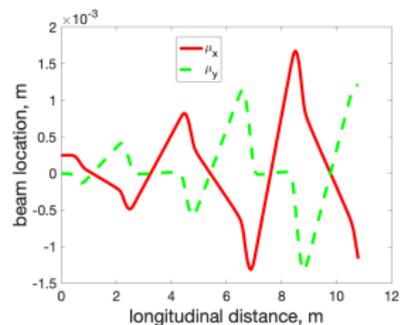
# Sensitivity study of orbit, with initial offset



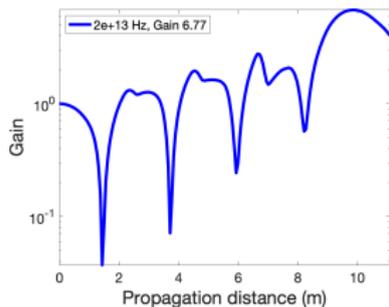
(a) Position



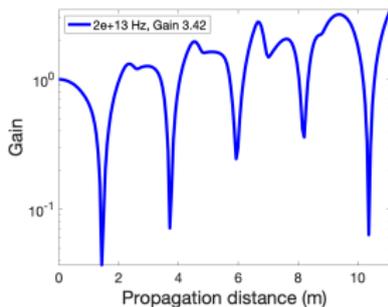
(b) Position



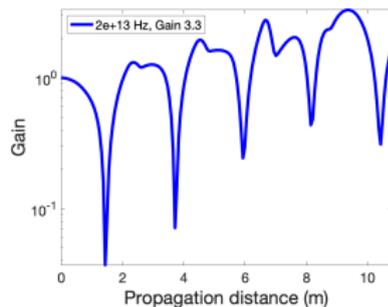
(c) Position



(d) PCA gain

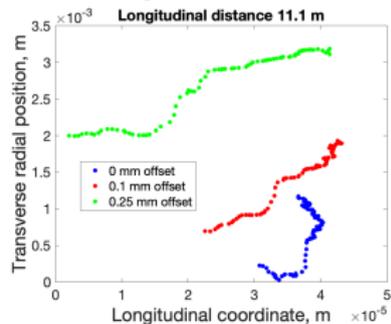
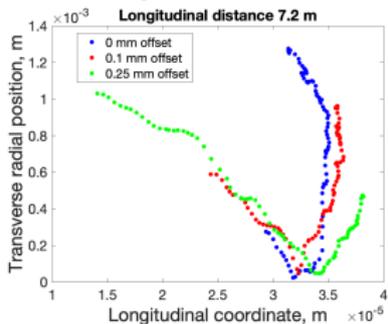
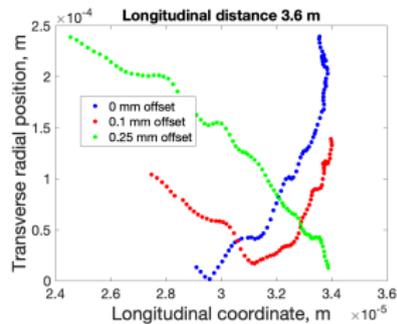
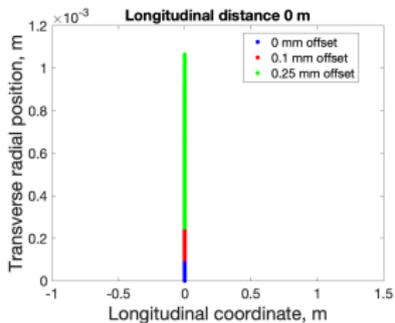


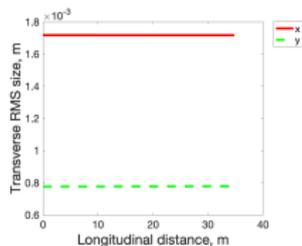
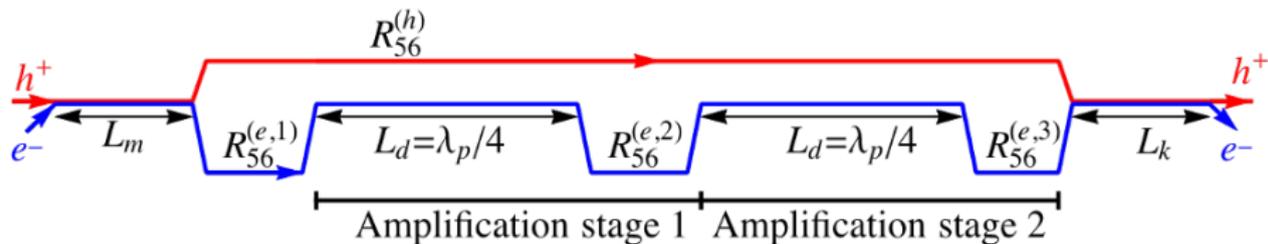
(e) PCA gain



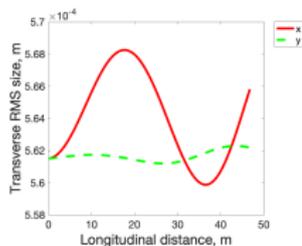
(f) PCA gain

# Track a line of particles

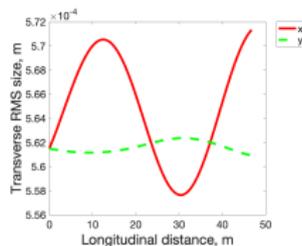




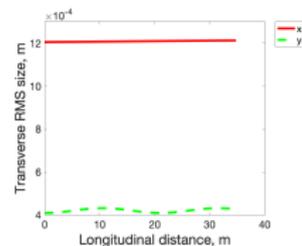
(a) Modulator



(b) First stage



(c) Second stage



(d) Kicker

G. Stupakov, and P. Baxevanis. Physical Review Accelerators and Beams 22.3 (2019): 034401.

$$f_z(z) = -\frac{Ze^2}{\Sigma^2} \Phi\left(\frac{z\gamma}{\Sigma}\right),$$

$$F_z(z) = \frac{e^2}{\Sigma_p^2} \Phi\left(\frac{\gamma z}{\Sigma_p}\right).$$

$$\Phi(x) = \frac{1}{2} \left[ \frac{x}{|x|} - \frac{x\sqrt{\pi}}{2} \exp\left(\frac{1}{4}x^2\right) \operatorname{erfc}\left(\frac{1}{2}|x|\right) \right],$$

$$f_z(z) = -\frac{Ze^2}{\Sigma^2} \Phi\left(\frac{z\gamma}{\Sigma}\right),$$

$$F_z(z) = \frac{e^2}{\Sigma_p^2} \Phi\left(\frac{\gamma z}{\Sigma_p}\right).$$

$$\Delta\eta(z) = -\frac{Zr_e L_m}{\gamma \Sigma^2} \Phi\left(\frac{z\gamma}{\Sigma}\right),$$

$$\Phi(x) = \frac{1}{2} \left[ \frac{x}{|x|} - \frac{x\sqrt{\pi}}{2} \exp\left(-\frac{1}{4}x^2\right) \operatorname{erfc}\left(\frac{1}{2}|x|\right) \right],$$

$$H(\kappa) = \frac{i}{2} \int_{-\infty}^{\infty} dx \Phi(x) e^{-i\kappa x} = \int_0^{\infty} dx \Phi(x) \sin(\kappa x).$$

$$\frac{\partial \delta f}{\partial t} + \frac{c\eta}{\gamma^2} \frac{\partial \delta f}{\partial z} + i\eta n_0 F'_0(\eta) = 0,$$

$$\dot{\eta} = \frac{1}{\gamma m_e c} \int_{-\infty}^{\infty} dz' \delta n(z', t) F_z(z - z'),$$

$$\delta n(z, t) = \int_{-\infty}^{\infty} d\eta \delta f(z, \eta, t)$$

$$\delta \hat{f}_k(\eta, t) = \int_{-\infty}^{\infty} dz e^{-ikz} \delta f(z, \eta, t),$$

$$\delta \hat{n}_k(t) = \int_{-\infty}^{\infty} dz e^{-ikz} \delta n(z, t),$$

$$\frac{\partial \delta \hat{f}_k}{\partial t} + \frac{ikc\eta}{\gamma^2} \delta \hat{f}_k + \zeta(k) \delta \hat{n}_k n_0 F'_0(\eta) = 0,$$

$$\zeta(k) = \frac{1}{\gamma mc} \int_{-\infty}^{\infty} d\xi e^{-ik\xi} F_z(\xi) = -\frac{2ie^2}{\Sigma_p \gamma^2 mc} H\left(\frac{k\Sigma_p}{\gamma}\right)$$

$$\frac{\partial \hat{\delta f}_k}{\partial t} + \frac{ikc\eta}{\gamma^2} \hat{\delta f}_k + \zeta(k) \delta \hat{n}_k n_0 F'_0(\eta) = 0,$$

Neglect second term

$$\hat{\delta f}_k(\eta, t) = \hat{\delta f}_k(\eta, 0) - \zeta(k) n_0 F'_0(\eta) \int_0^t dt' \delta \hat{n}_k(t').$$

$$\frac{\partial \delta \hat{f}_k}{\partial t} + \frac{ikc\eta}{\gamma^2} \delta \hat{f}_k + \zeta(k) \delta \hat{n}_k n_0 F'_0(\eta) = 0,$$

Integrate over  $\eta$

$$\frac{d\delta \hat{n}_k}{dt} + \frac{ikc}{\gamma^2} \delta \hat{q}_k = 0,$$

$$\delta \hat{q}_k = \int_{-\infty}^{\infty} d\eta \eta \delta \hat{f}_k$$

$$\frac{d\delta \hat{q}_k}{dt} - \zeta(k) \delta \hat{n}_k n_0 = 0,$$

$$\frac{d^2 \delta \hat{n}_k}{dt^2} + \frac{ikc}{\gamma^2} \zeta(k) n_0 \delta \hat{n}_k = 0,$$

$$\omega_p^2 = \frac{ikcn_0}{\gamma^2} \zeta(k) = \frac{2kn_0 e^2}{\Sigma_p \gamma^4 m} H\left(\frac{k\Sigma_p}{\gamma}\right) = 2\Omega^2 \chi_p H(\chi_p),$$

$$\Omega^2 = \frac{n_0 e^2}{m \Sigma_p^2 \gamma^3}$$

$$\delta \hat{n}_k = \delta \hat{n}_k(0) \cos(\omega_p t) - \frac{ikc}{\gamma^2 \omega_p} \delta \hat{q}_k(0) \sin(\omega_p t),$$

$$\frac{c\sigma_e}{\gamma\Omega\Sigma_p} \sim \sigma_e \sqrt{\frac{\gamma I_A}{I_e}} \ll 1,$$

$$\delta \hat{n}_k \approx \delta \hat{n}_k(0) \cos(\omega_p t).$$

$$\delta \hat{f}_k(\eta, t) = \delta \hat{f}_k(\eta, 0) - \frac{1}{\omega_p} \zeta(k) n_0 F'_0(\eta) \delta \hat{n}_k(0) \sin(\omega_p t).$$

$$\begin{aligned} \delta \hat{n}_k^{(2)} &= \int_{-\infty}^{\infty} d\eta \delta \hat{f}_k e^{-ikR_{56}^{(e,2)}\eta} \\ &= -\frac{1}{\omega_p} \zeta(k) n_0 \delta \hat{n}_k(0) g(k) \sin\left(\frac{\omega_p L_d}{c}\right), \end{aligned}$$

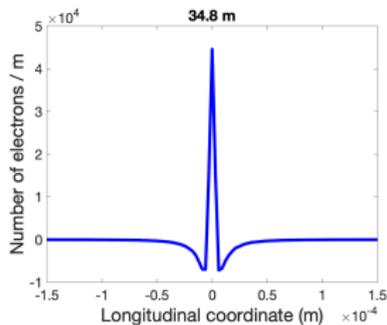
$$g(k) = \int_{-\infty}^{\infty} d\eta F'_0(\eta) e^{-ikR_{56}^{(e,2)}\eta} = ikR_{56}^{(e,2)} e^{-k^2(R_{56}^{(e,2)})^2\sigma_e^2/2}.$$

$$G = \delta \hat{n}_k^{(2)} / \delta \hat{n}_k(0)$$

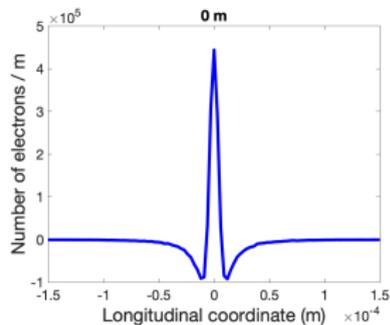
$$G = -\frac{1}{\sigma_e} \sqrt{\frac{2I_e}{\gamma I_A}} \sqrt{\chi_p H(\chi_p)} q_p e^{-\chi_p^2 q_p^2 / 2} \sin\left(\frac{\omega_p L_d}{c}\right),$$

$$G_{\max} = -\frac{1}{\sigma_e} \sqrt{\frac{2I_e}{\gamma I_A}} \sqrt{\frac{2H(\chi_p)}{e\chi_p}} \sin\left(\frac{\omega_p L_d}{c}\right),$$

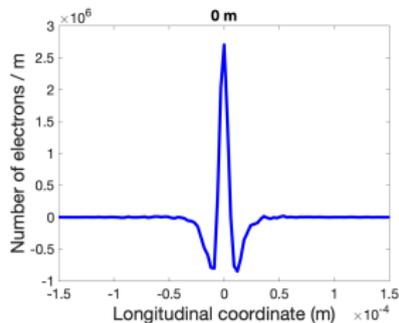
$$q_p = 1/\chi_p$$



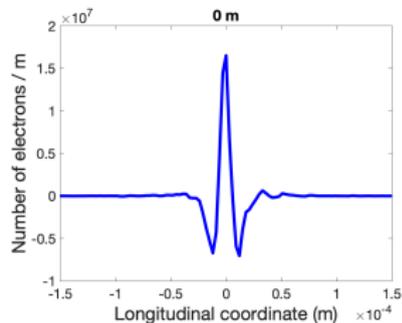
(a) Exit of modulator



(b) After 1st chicane



(c) After 2nd chicane



(d) After 3rd chicane

## 1 Introduction

## 2 Modulator

- Theory
- Simulation
- FEL-based CeC
- PCA-based CeC
- MBEC-based CeC

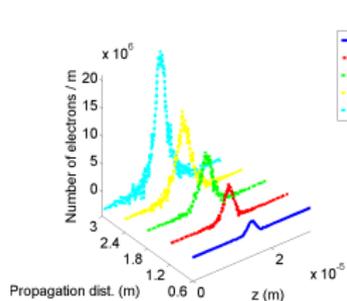
## 3 Amplifier

- FEL-based CeC
- PCA-based CeC
- MBEC-based CeC

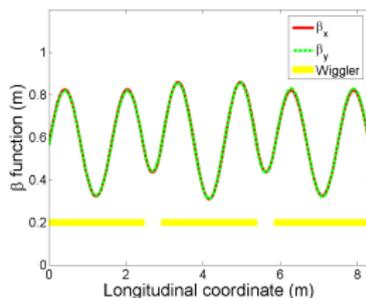
## 4 Kicker

- Single pass
- Cooling time

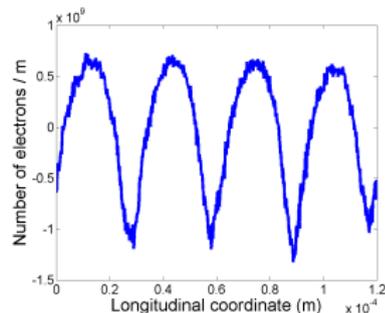
# Density modulation in FEL-based CeC



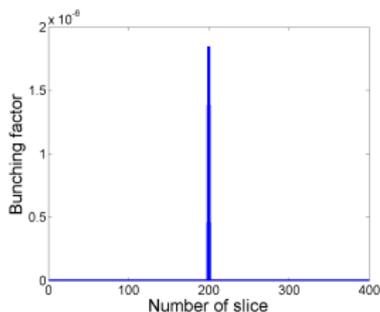
(a) Exit of modulator



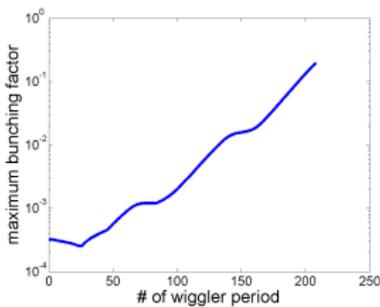
(b) FEL amplifier



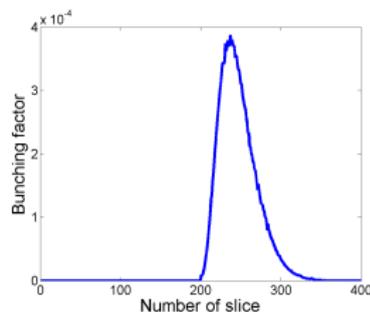
(c) Entrance of kicker



(d) Entrance of FEL

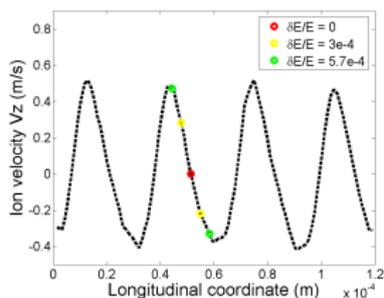


(e) FEL amplifier

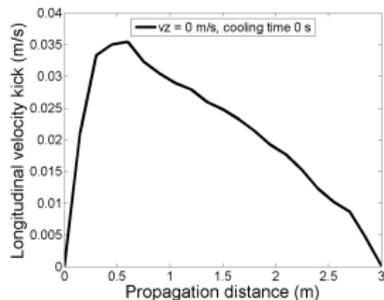


(f) Exit of FEL

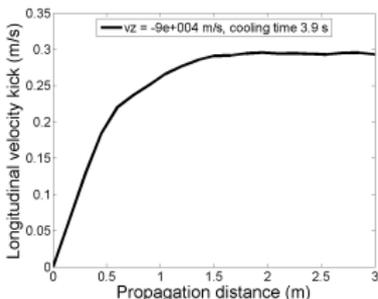
# Cooling force in FEL-based CeC



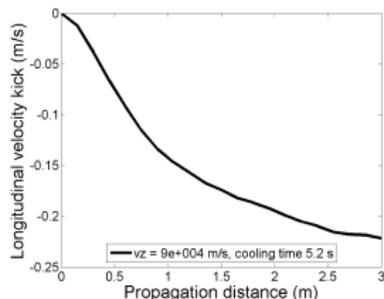
(a) Ions at different locations



(b) Ion with reference energy

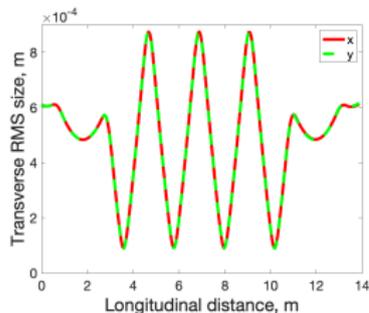


(c) Ion with lower energy

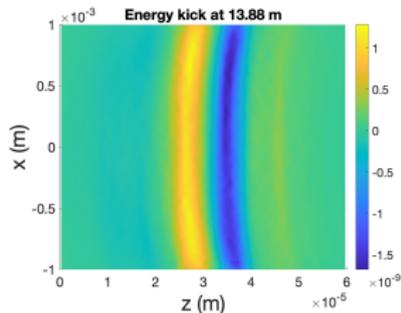


(d) Ion with higher energy

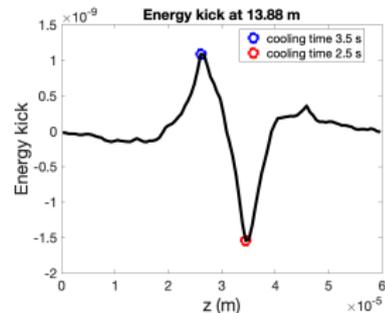
# PCA-based CeC, beam current 75 A



(a) Beam size

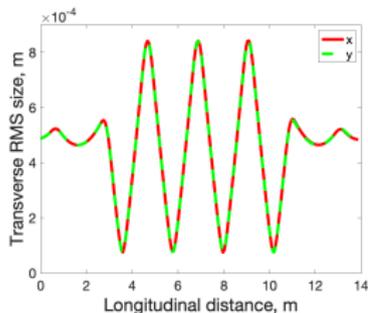


(b) Cooling force

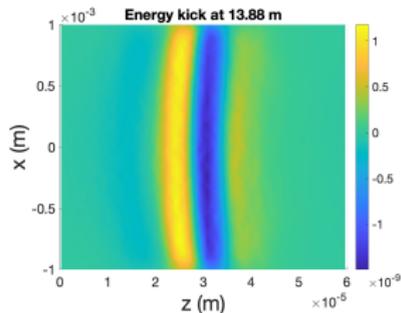


(c) Cooling force

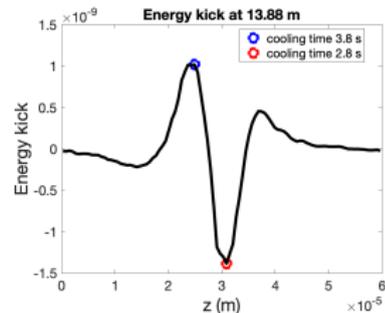
# PCA-based CeC, beam current 50 A



(a) Beam size

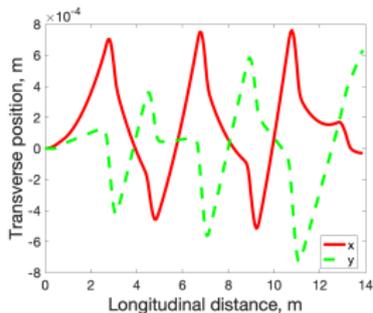


(b) Cooling force

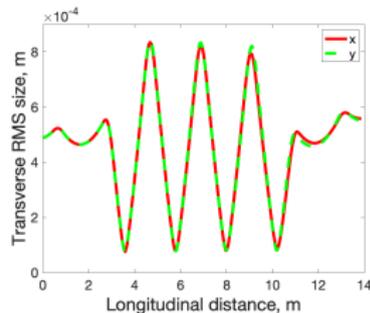


(c) Cooling force

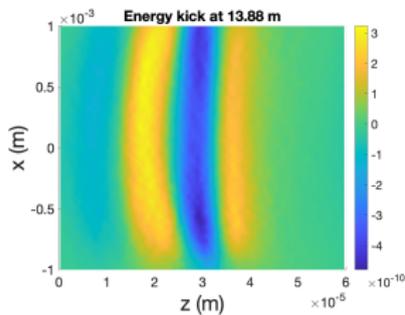
# PCA-based CeC, earth field



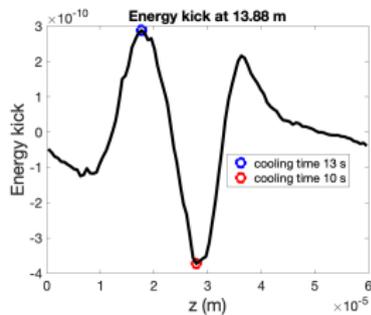
(a) Beam position



(b) Beam size

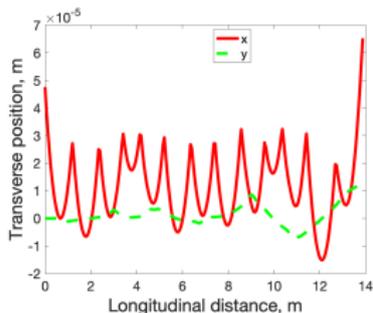


(c) Cooling force

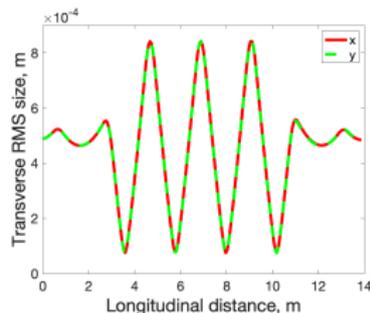


(d) Cooling force

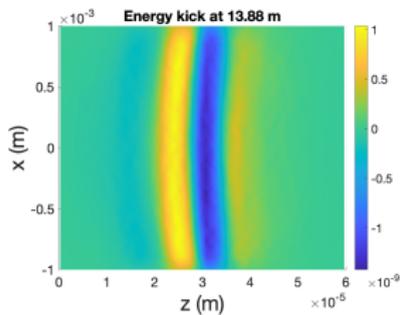
# PCA-based CeC, earth field, orbit correction



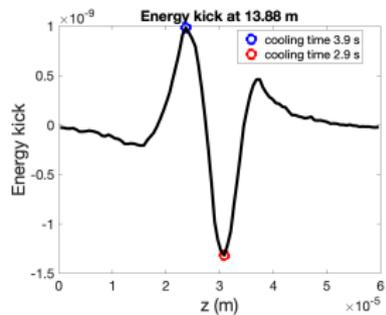
(a) Beam position



(b) Beam size

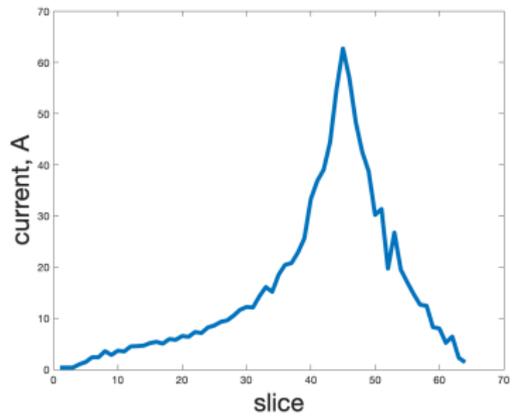


(c) Cooling force

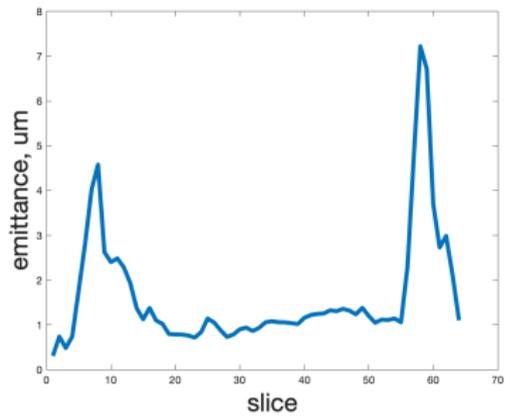


(d) Cooling force

- Beam dynamics simulations propagate beam starting from the gun.
- Take slice parameters from beam dynamics simulations at the entrance of modulator.

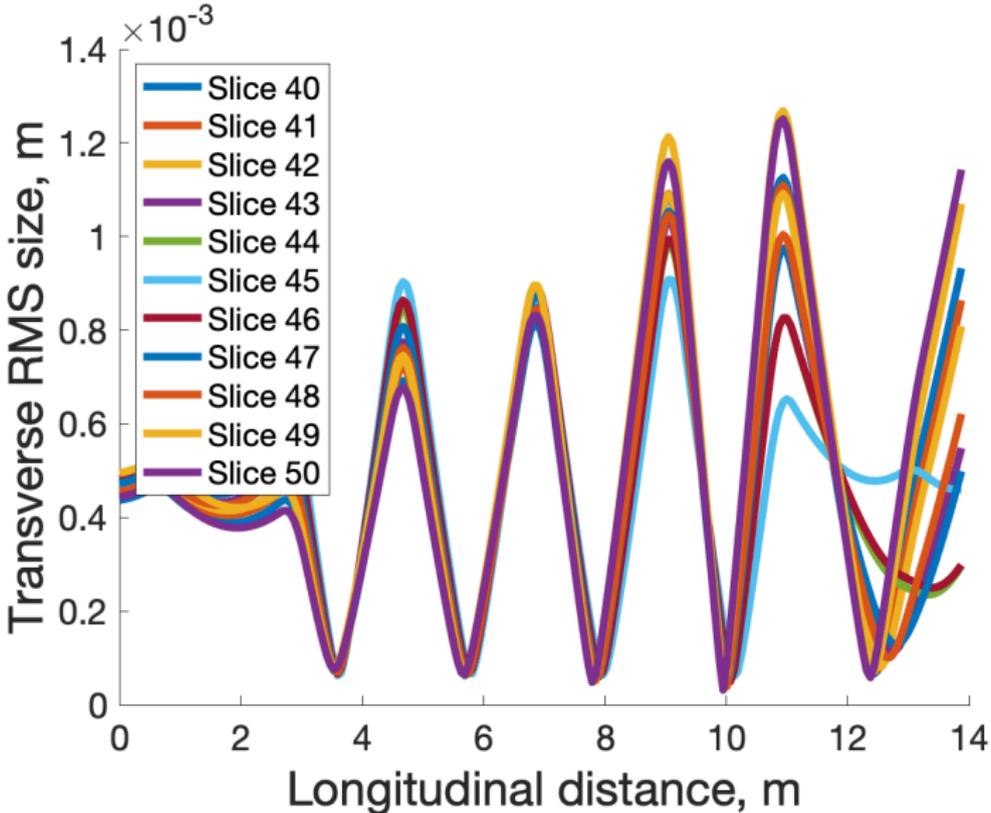


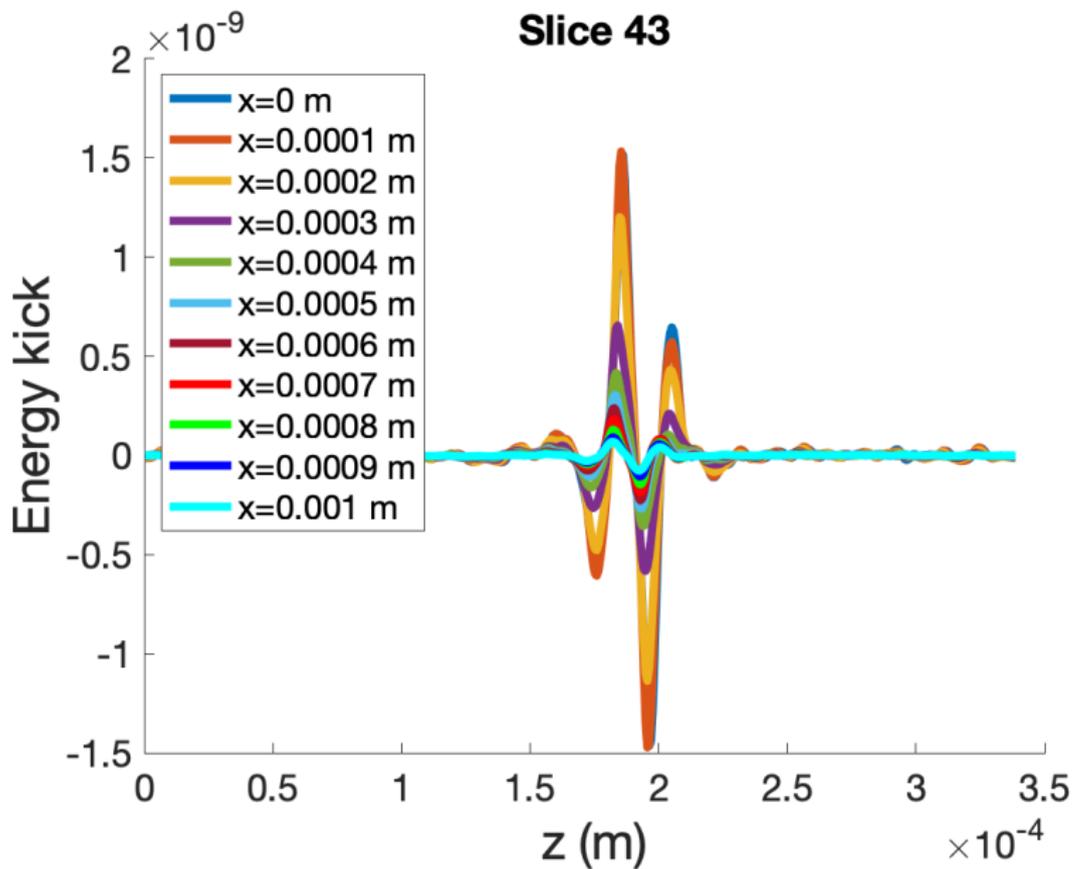
(a)



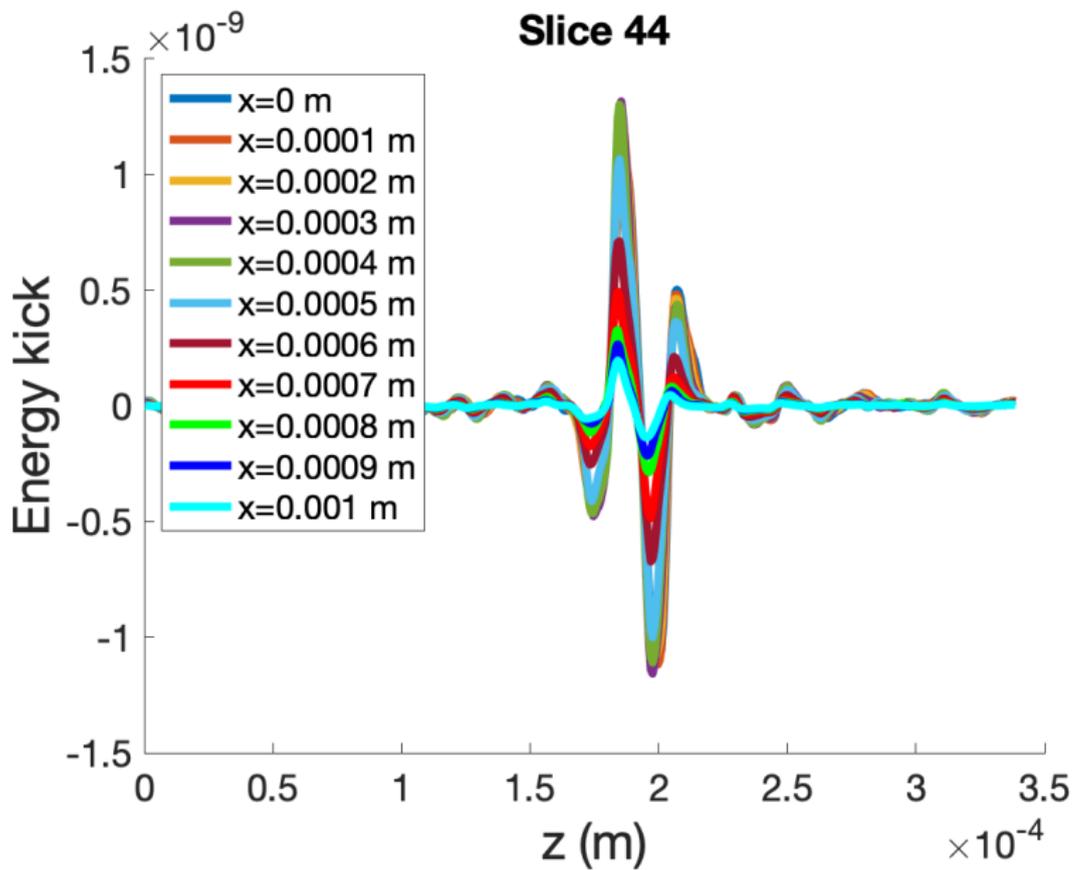
(b)

# Beam size

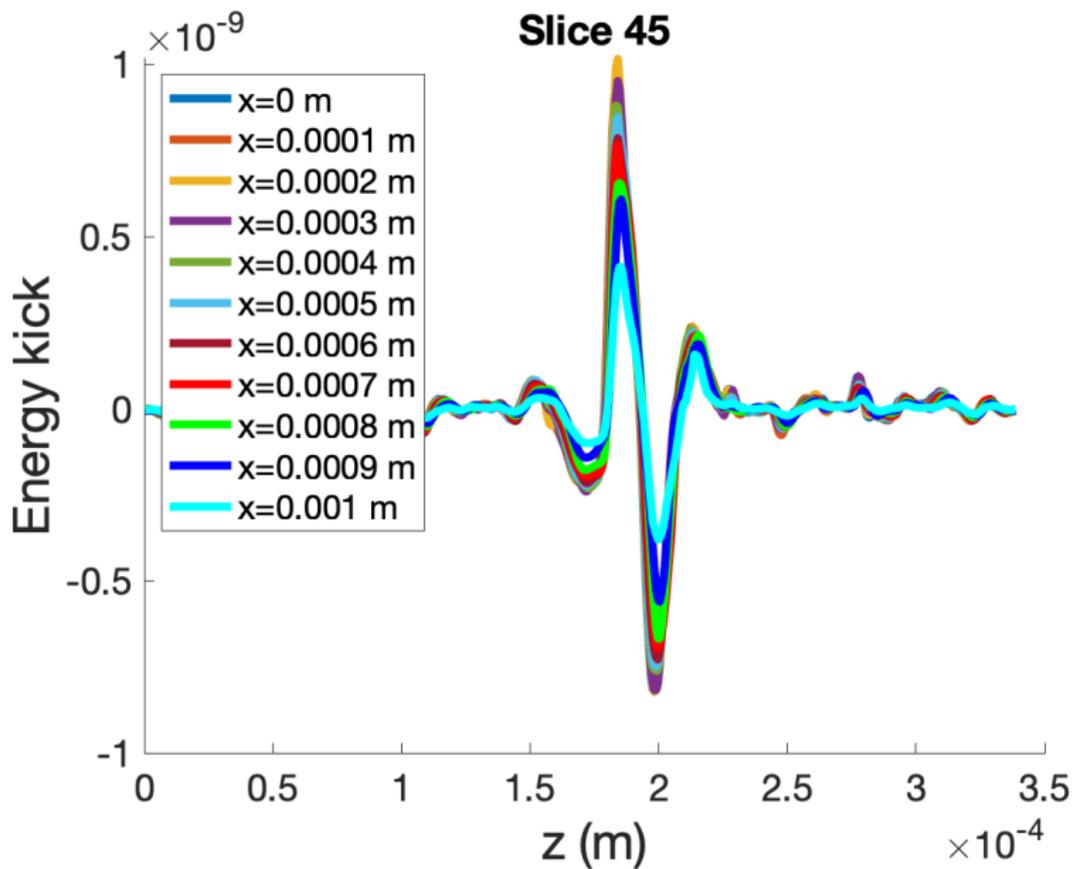




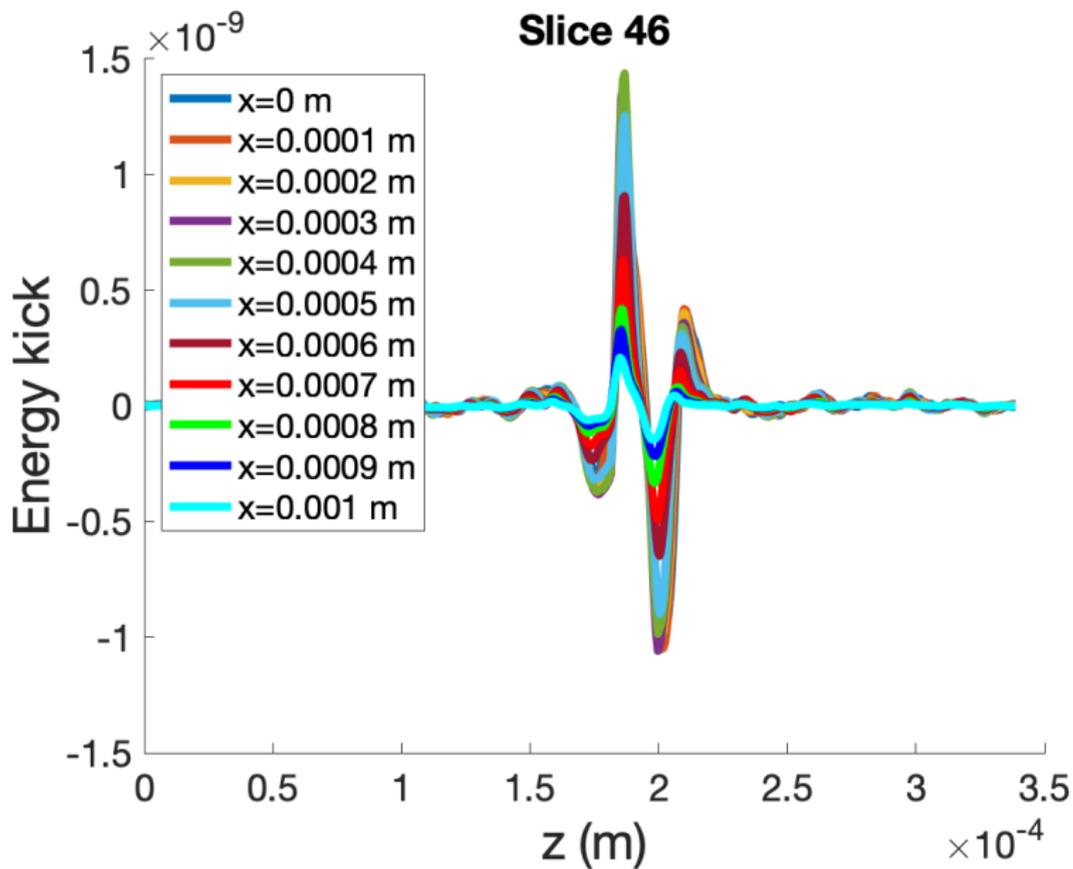
(a)



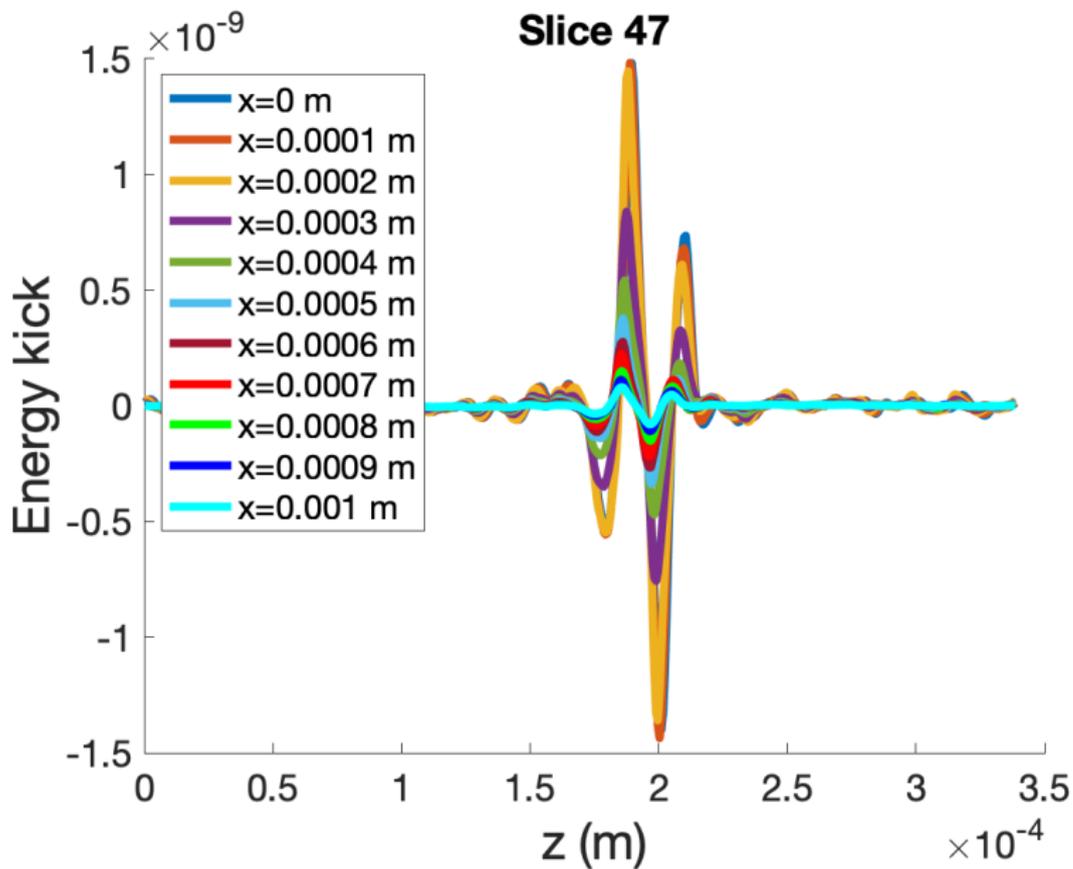
(a)



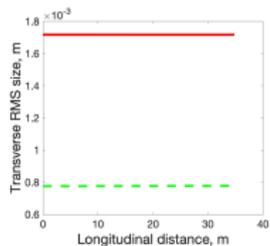
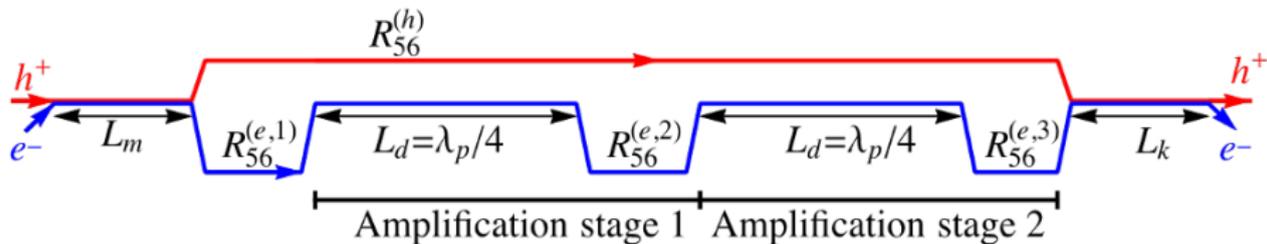
(a)



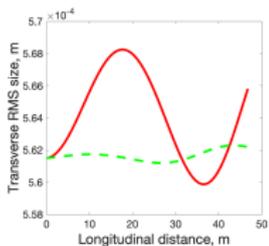
(a)



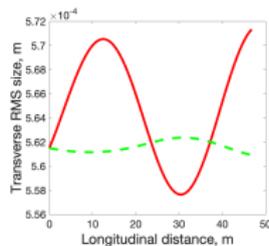
(a)



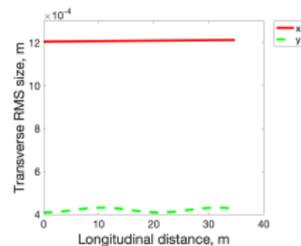
(a) Modulator



(b) First stage

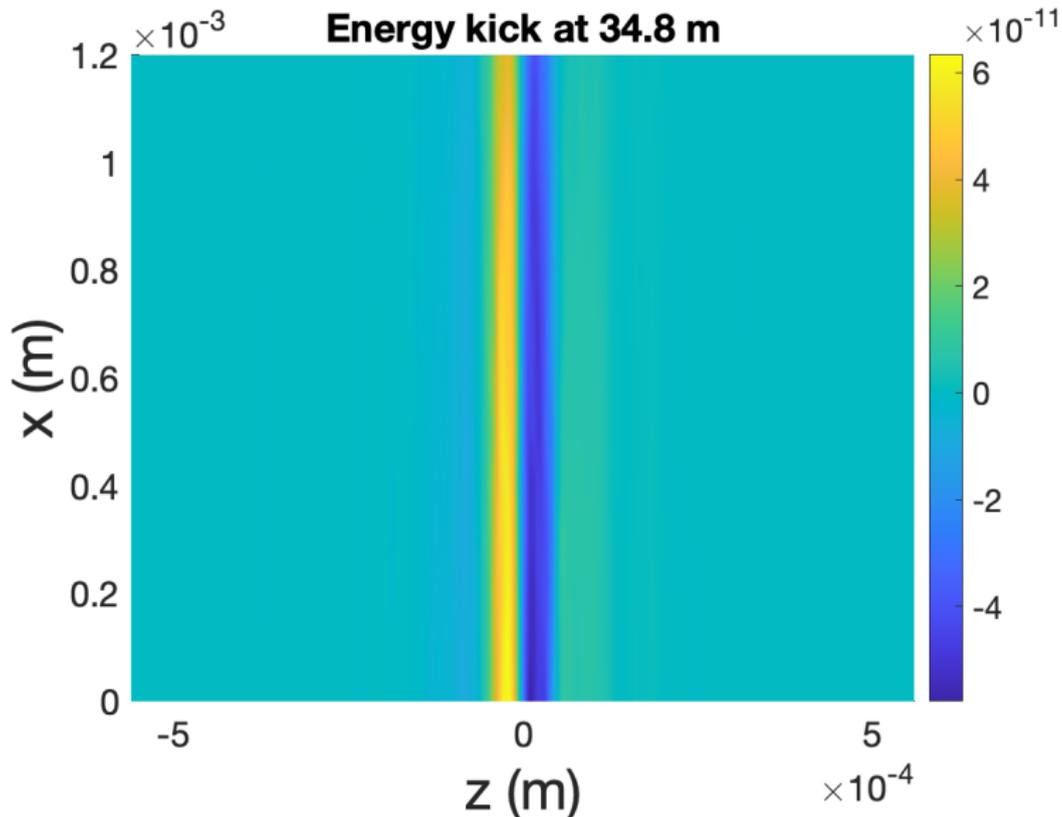


(c) Second stage

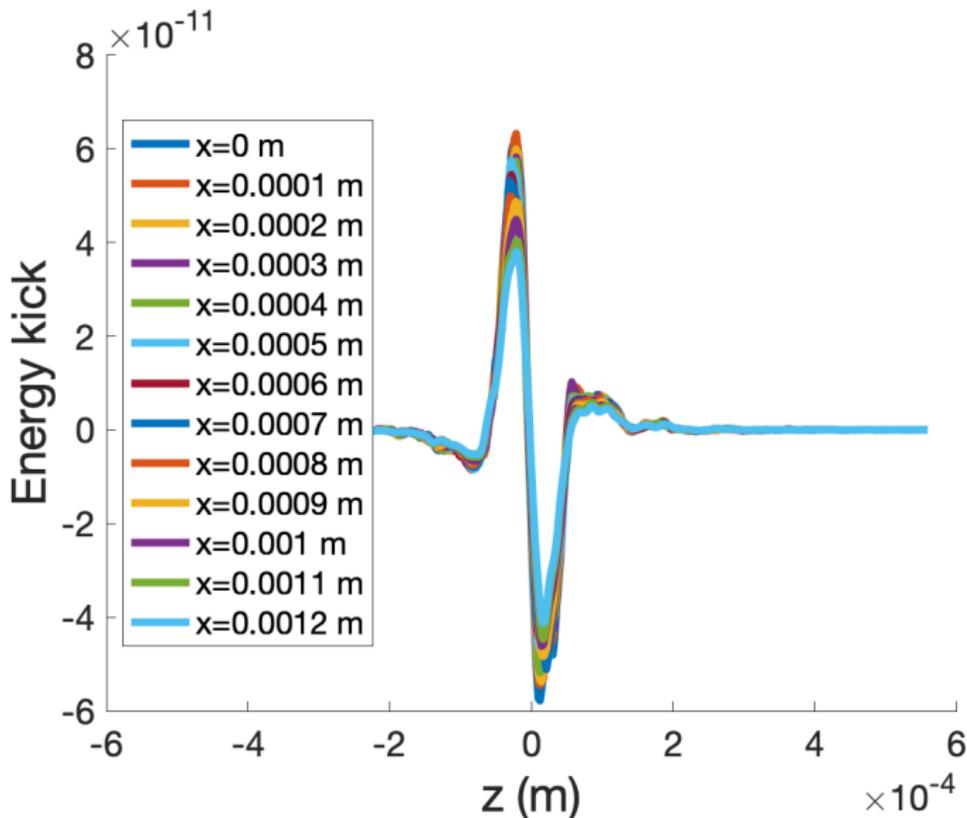


(d) Kicker

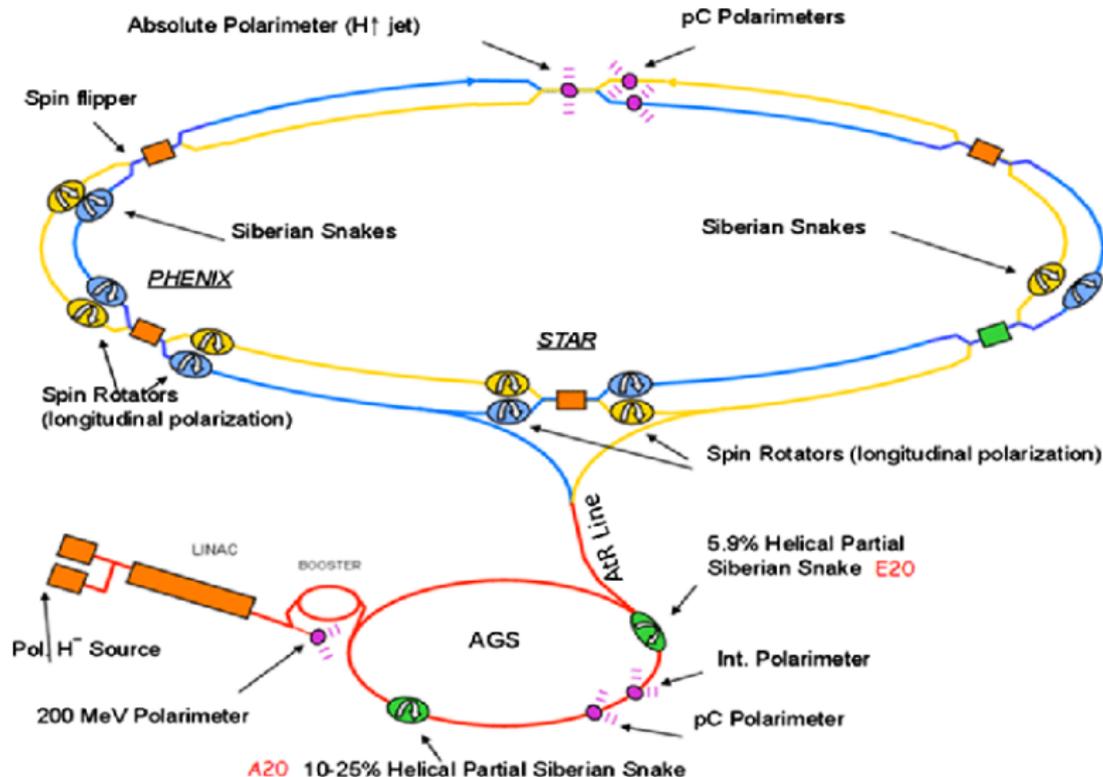
# MBEC cooling force



# MBEC cooling force



## The Relativistic Heavy Ion Collider at the Brookhaven National Laboratory

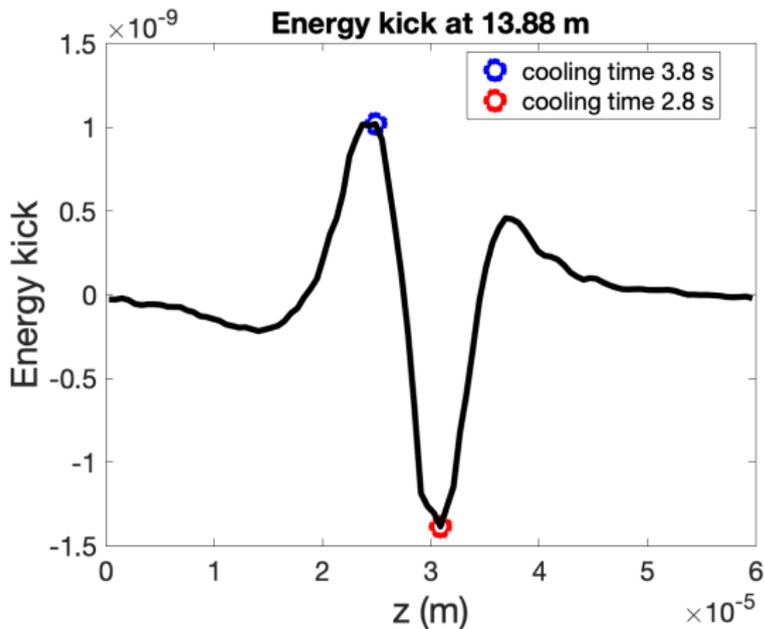


$$\frac{1}{\tau_{v_i}} \equiv -\frac{1}{v_i} \frac{dv_i}{dt}$$

If  $\tau$  is independent of  $t$

$$v_i(t) = v_i(0) \exp\left(-\frac{t}{\tau_{v_i}}\right)$$

$\tau$  is cooling time,  $1/\tau$  is cooling rate.



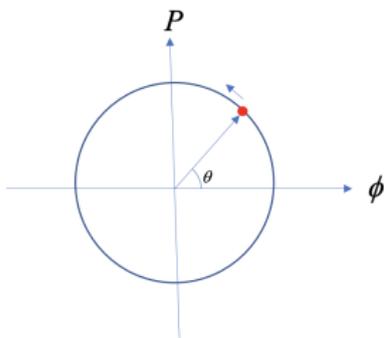
(a) Cooling force

x-axis  $z = R_{56} * \delta\gamma$

y-axis  $\Delta\delta\gamma = f(\delta\gamma) \approx k_0\delta\gamma$

$$\begin{aligned}\frac{1}{\tau} &= -\frac{1}{\delta\gamma} \frac{d\delta\gamma}{dt} \\ &= -\frac{1}{\delta\gamma} \frac{\Delta\delta\gamma}{T_{rev}} \\ &\approx -\frac{1}{\delta\gamma} \frac{k_0\delta\gamma}{T_{rev}} \\ &= -\frac{k_0}{T_{rev}}\end{aligned}$$

# Averaging longitudinal cooling over synchrotron oscillation (linear cooling force)



Action-angle variable:  $P \equiv -h \frac{|\eta| \Delta p}{v_s P} = \sqrt{2I} \sin \theta \quad \phi \equiv \omega_{rf} \tau = \sqrt{2I} \cos \theta$

Reduction of action due to cooling:  $\Delta I_c = \frac{1}{2} \Delta(P^2 + \phi^2) = P \Delta P_c \quad \Delta P_c = \frac{h|\eta|}{v_s \gamma} \Delta \delta \gamma_c$

Assuming cooling force is linear,  $\Delta \delta \gamma_c = -\zeta_0 T_{rev} \delta \gamma \quad \Delta P_c = -\zeta_0 T_{rev} P$

the action reduction becomes  $\Delta I_c = -\zeta_0 T_{rev} P^2 = -2I \zeta_0 T_{rev} \sin^2 \theta$

The average cooling rate is given by  $\zeta(I) = -\frac{1}{I} \left\langle \frac{\Delta I_c}{T_{rev}} \right\rangle_T = \zeta_0 \bar{\zeta}(I)$

$$\bar{\zeta}(I) = \frac{1}{2\pi} \int_0^{2\pi} \sin^2 \theta d\theta = \frac{1}{2}$$

For the linear cooling force, synchrotron motion reduces the averaged cooling rate by a factor of 2.

# Ion bunch profile evolution with cooling

G. Wang. Physical Review Accelerators and Beams 22.11 (2019): 111002  
1D Fokker-Planck equation

$$\frac{\partial}{\partial t} F(I, t) - \frac{\partial}{\partial I} [\zeta(I) \cdot I \cdot F(I, t)] - \frac{\partial}{\partial I} \left( I \cdot D(I) \cdot \frac{\partial F(I, t)}{\partial I} \right) = 0,$$

$$\zeta(I) = -\frac{1}{I} \left\langle \frac{\Delta I_c}{T_{\text{rev}}} \right\rangle_{T_s} \quad D(I) = \frac{1}{I} \frac{\langle \Delta I_d^2 \rangle_{T_s}}{2T_{\text{rev}}}$$

$F(I, t)$  is longitudinal phase space density,  $\zeta(I)$  is cooling rate,  $D(I)$  is diffusion coefficient.

$$\phi = \sqrt{2I} \sin w,$$

$$P \equiv -h \frac{|\eta| \Delta p}{\nu_s p}$$

$$H_0 = \frac{1}{2} \omega_0 \nu_s P^2 + \omega_0 \nu_s \frac{1}{2} \phi^2 = \omega_0 \nu_s I,$$

# Fokker-Planck equation

$$\int_0^{\infty} F(I, t) dI = \frac{N}{2\pi},$$

$$\begin{aligned} \int_{-\infty}^{\infty} F\left(\frac{P^2 + \phi^2}{2}, t\right) dP d\phi &= \int_{-\infty}^{\infty} F\left(\frac{P^2 + \phi^2}{2}, t\right) \frac{\partial(P, \phi)}{\partial(I, w)} dI dw \\ &= \int_0^{2\pi} \int_0^{\infty} F(I, t) dI dw \\ &= N, \end{aligned}$$

$$K(P, \phi, t) = F\left(\frac{1}{2}P^2 + \frac{1}{2}\phi^2, t\right).$$

$$F_{\text{eq}}(I) = A \exp\left\{-\int \frac{\zeta(I)}{D(I)} dI\right\}.$$

$$\frac{\partial}{\partial t} \tilde{F}(I, t) - \alpha(I) \frac{\partial}{\partial I} \tilde{F}(I, t) = 0,$$

$$\tilde{F}(I, t) \equiv \zeta(I) \cdot I \cdot F(I, t),$$

$$\alpha(I) \equiv I \cdot \zeta(I).$$

$$F(I, t) = \frac{h^{-1}(C) \zeta[h^{-1}(C)] F_0[h^{-1}(C)]}{\zeta(I) \cdot I},$$

$$C = t - t_0 + \int \frac{dI}{\alpha(I)},$$

$$h(I) \equiv \int \frac{dI}{\alpha(I)}.$$

$$\zeta(I) = \zeta_0 \frac{I_e}{I + I_e},$$

$$h(I) = \frac{1}{\zeta_0} \int \frac{1 + \frac{I}{I_e}}{I} dI = \frac{1}{\zeta_0} \ln \left[ \frac{I}{I_e} \exp \left( \frac{I}{I_e} \right) \right],$$

$$h(I) = \frac{1}{\zeta_0} \ln \left[ \frac{I}{I_e} \exp \left( \frac{I}{I_e} \right) \right] = C.$$

$$I = h^{-1}(C) = I_e P_{\log}[\exp(\zeta_0 C)],$$

$P_{\log}(x) = w^{-1}(x)$  is the inverse function of  $w(x) = xe^x$

$$F(I, t) = \left(1 + \frac{I_e}{I}\right) \cdot \frac{P_{\log}[\exp(\zeta_0 C)] F_0\{I_e P_{\log}[\exp(\zeta_0 C)]\}}{1 + P_{\log}[\exp(\zeta_0 C)]}$$

$$F_0(I) = \frac{N}{2\pi I_{\text{ion}}} \exp\left(-\frac{I}{I_{\text{ion}}}\right),$$

$$C = t + \frac{1}{\zeta_0} \ln \left[ \frac{I}{I_e} \exp\left(\frac{I}{I_e}\right) \right].$$

$$F(I, t) = \frac{N}{2\pi I_{\text{ion}}} g\left(\frac{I}{I_e}\right),$$

$$g(\eta, t) = \left(1 + \frac{1}{\eta}\right) \frac{P_{\log}[\eta \exp(\zeta_0 t + \eta)]}{1 + P_{\log}[\eta \exp(\zeta_0 t + \eta)]} \\ \times \exp\left(-\frac{I_e}{I_{\text{ion}}} P_{\log}[\eta \exp(\zeta_0 t + \eta)]\right).$$

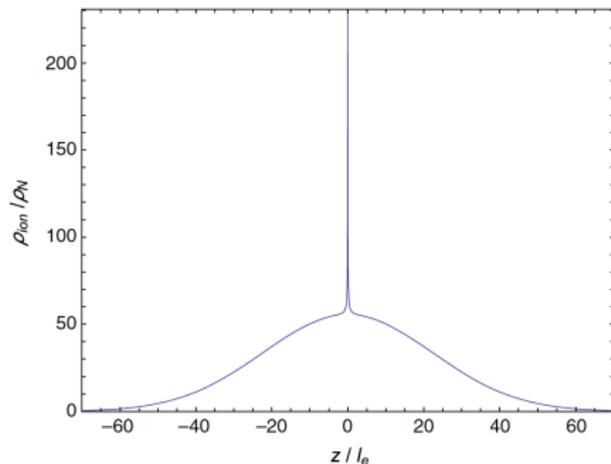
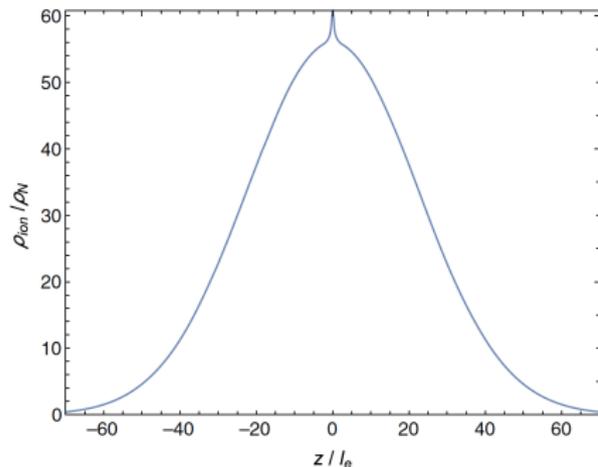
$$\rho_{\text{ion}}(t, z) = k_{rf} \int_{-\infty}^{\infty} F\left(\frac{1}{2}k_{rf}^2 z^2 + \frac{1}{2}P^2, t\right) dP$$

$$= \rho_N \int_{-\infty}^{\infty} g\left(\frac{z^2}{l_e^2} + y^2, t\right) dy,$$

$$k_{rf} = 2\pi/\lambda_{rf}$$

$$\rho_N \equiv \frac{N l_e}{2\pi \sigma_i^2}.$$

# Zero diffusion



Ion profile after  $t = 2\zeta_0^{-1}$  (left) and  $t = 10\zeta_0^{-1}$  (right).

$$\bar{D}(r) = D(r^2 l_e) / D(0)$$

$$\bar{\zeta} = \zeta(r^2 l_e) \zeta_0$$

$$\bar{D}_0 = D(0) / (\zeta_0 l_e)$$

$$\bar{t} = t \zeta_0$$

$$R(r, \bar{t}) = \frac{2\pi l_{ion}}{N} F(r^2 l_e, \bar{t} \zeta_0^{-1})$$

$$r = \sqrt{l/l_e}$$

$$r \frac{\partial R(r, \bar{t})}{\partial \bar{t}} + \alpha(r) \frac{\partial R(r, \bar{t})}{\partial r} + \beta(r) \frac{\partial^2 R(r, \bar{t})}{\partial r^2} + \gamma(r) R(r, \bar{t}) = 0,$$

$$\alpha(r) = -\frac{r^2}{2} \bar{\zeta}(r) - \frac{\bar{D}_0}{4} \bar{D}(r) - \frac{\bar{D}_0 r}{4} \frac{d\bar{D}(r)}{dr},$$

$$\beta(r) = -\frac{\bar{D}_0 r}{4} \bar{D}(r),$$

$$\gamma(r) = -\frac{r^2}{2} \frac{d\bar{\zeta}(r)}{dr} - r\bar{\zeta}(r).$$

# Finite diffusion, numerical solution

$2 \leq j < N$ :

$$\frac{\beta_j}{\Delta r^2} R_{j-1}^{n+1} + \left( \frac{r_j}{\Delta \bar{t}} - \frac{\alpha_j}{\Delta r} - 2 \frac{\beta_j}{\Delta r^2} + \gamma_j \right) R_j^{n+1} + \left( \frac{\alpha_j}{\Delta r} + \frac{\beta_j}{\Delta r^2} \right) R_{j+1}^{n+1} = \frac{r_j}{\Delta \bar{t}} R_j^n$$

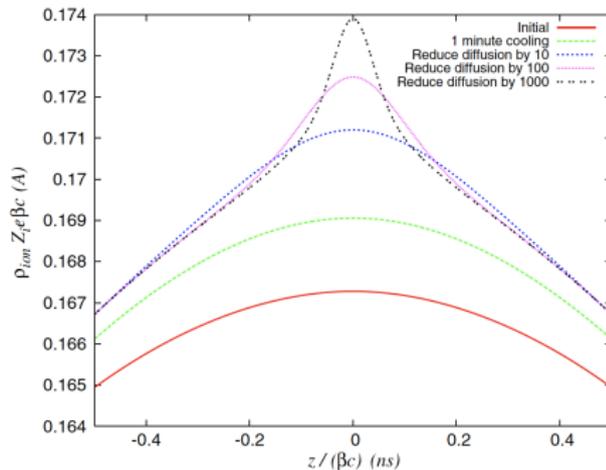
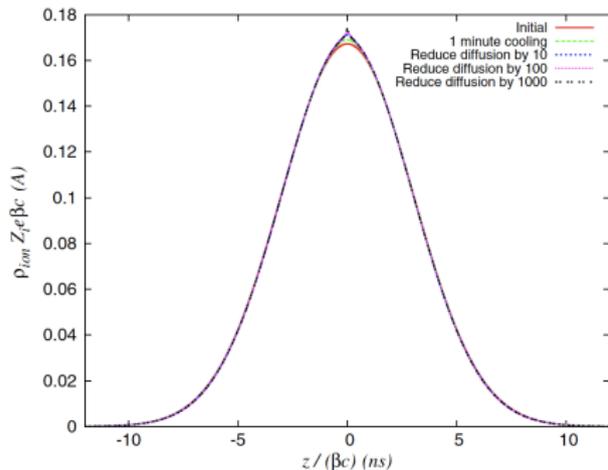
$j = 1$ :

$$-\frac{\alpha_j}{\Delta r} R_1^{n+1} + \frac{\alpha_j}{\Delta r} R_2^{n+1} = 0$$

$j = N$ :

$$\frac{\beta_N}{\Delta r^2} R_{N-1}^{n+1} + \left( \gamma_N + \frac{r_N}{\Delta t} - \frac{\alpha_N}{\Delta r} - 2 \frac{\beta_N}{\Delta r^2} \right) R_N^{n+1} = \frac{r_N}{\Delta \bar{t}} R_N^n$$

$$\rho(z, t) = \rho_N \int_{-\infty}^{\infty} R\left(\sqrt{y^2 + \frac{z^2}{l_e^2}}, t\zeta_0\right) dy.$$



Ion profile after 1 min of cooling.