

3132 Chapter 11

3133 FFAG, Scaling

3134 **Abstract** This chapter is an introduction to Fixed-Field Alternating Gradient (FFAG)
3135 cyclic accelerators, and to the theoretical material needed for the simulation exercises.
3136 It relies on charged particle optics and acceleration concepts introduced in the
3137 previous cyclotron and synchrotron chapters and further addresses
3138 - design aspects of scaling FFAGs,
3139 - beam dynamics in radial- and spiral-sector rings,
3140 - synchrotron acceleration and various other acceleration techniques.
3141 Simulations introduce dedicated keywords providing an analytical modeling of the
3142 field: FFAG (radial sector dipole) and FFAG-SPI (spiral sector). They otherwise use
3143 optical elements met in the previous chapters: DIPOLE[S], TOSCA, CAVITE, data
3144 input/output keywords such as FAISCEAU, FAISTORE, the SYSTEM keyword, etc.
3145 Beam dynamics simulations include
3146 - particle trajectories through multiple-dipole FFAG cells,
3147 - closed-orbit finding from multi-turn raytracing, or using FIT,
3148 - finding dynamical aperture,
3149 - deriving ancillary outcomes from rays, such as
3150 . transport matrices using MATRIX,
3151 . periodic optical functions and their transport using TWISS,
3152 . etc.

3153 **Notations used in the Text**

A	sector angle of a dipole
$\mathbf{B}; B_0$	magnetic field at radius R ; field value at reference radius R_0
$B_{x,y,s}$	components of \mathbf{B} in the moving frame
$B\rho; B\rho_0$	particle rigidity: $B\rho = p/q$; for reference momentum p_0
$C; C_0$	closed orbit length: $C = \oint ds = 2\pi\bar{R}$; for reference momentum p_0
ds	path length increment: $ds = R d\theta$
$E; E_{extr}; E_{inj}; E_s$	particle energy: $E = \gamma m_0 c^2$; at extraction; injection; synchronous
EFB	effective field boundary
$f; \mathcal{F}(\theta), \mathcal{F}(r, \theta)$	flutter; field form factor
f_{rev}, f_{rf}	revolution and accelerating voltage frequencies
h	harmonic number, an integer, $h = f_{rf}/f_{rev}$
I_1	fringe field integral
k	geometric field index: $k = \frac{R}{B} \frac{\partial B}{\partial R} \approx -n \frac{R}{\rho}$
\mathcal{L}	magnetic length
$m_0; m; M$	particle rest mass; mass; mass in eV/c^2 units
N	number of cells in a ring
n	local focusing index: $n = -\frac{\rho}{B} \frac{\partial B}{\partial x}$
pf	packing factor: $pf = \mathcal{L}/C$
$p; p_0; \delta p, \Delta p$	particle momentum; reference momentum; offset
q	particle charge
$R; R_0$	radial coordinate, from center of ring; reference
\bar{R}	average closed orbit radius: $\bar{R} = C / 2\pi$
3154 RF	Radio-Frequency: as per the accelerating voltage technology
s	path variable
v	particle velocity
$V_{rf}; \hat{V}_{rf}$	acceleration voltage; peak value
x, x', y, y'	particle coordinates in the moving frame [$(*)' = d(*)/ds$]
<i>Greek symbols</i>	
α	momentum compaction, or trajectory deviation
$\beta = v/c; \beta_0; \beta_s$	normalized velocity; reference; synchronous
$\beta_u, \alpha_u, \gamma_u; \eta_{x,y}$	optical functions ($u = x, y, l$); dispersion function
γ	Lorentz factor: $\gamma = E/m_0 c^2 = E[eV]/M$
δ	relative momentum offset: $\delta = \delta p/p$
ε	wedge angle
ϵ_R	strength of a depolarizing resonance
ε_u/π	Courant-Snyder invariant: $\gamma_u u^2 + 2\alpha_u u u' + \beta_u u'^2 = \varepsilon_u/\pi$
$\varepsilon_{u,rms}$	beam emittance
ζ	spiral angle of a spiral sector dipole EFB
η	phase-slip factor
θ	local polar angle
κ	gap shape index
λ	fringe field extent
ω_{rf}	acceleration voltage angular frequency: $\omega_{rf} = 2\pi f_{rf}$
ρ	local curvature radius
φ	scallop angle
ϕ_s	synchronous RF phase

11.1 Introduction

The Fixed field alternating gradient (FFAG) concept was devised in the early 1950's [1, 2, 3, 4]. Electrostatic accelerators, cyclotrons, betatrons, synchrotrons were part of the landscape at the time, as instruments for nuclear physics research, medical and industrial applications, X-ray generators, etc. Higher energies were driving accelerator technology R&D, and strong focusing, pulsed synchrotron cascades and collider rings on their way to take over. The FFAG concept was explored as an alternate implementation of strong focusing, liable to allow high intensity beams due to their - synchrocyclotron-like - capability of very fast cycling allowed by the fixed magnetic field, and to the large momentum and geometrical acceptance of strong focusing scaling FFAG optics and magnets. Three electron models were built and operated in the 1953-1967 period, by the Midwestern Universities Research Association [1]. These early FFAG studies produced a wealth of theoretical and computational contributions to beam theory and beam manipulation in cyclic accelerator magnets and RF systems.

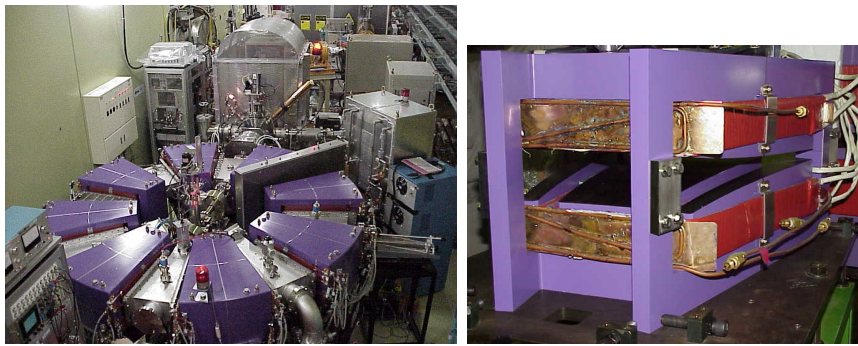


Fig. 11.1 Left: PoP, the first proton FFAG, a 500 keV Proof-of-Principle 8-period ring operated at KEK from 1999 on [5]. Beam delivered by the 50 kV H^+ source (seen behind the ring) is steered across the ring vacuum chamber onto the inner radius injection orbit. The high gradient RF cavity can be seen between two dipole triplets to the right, with its power supply to its right. Right: dipole-triplet cell; injection orbit is in the wide gap region, extraction orbit in the narrow gap region

The interest in FFAG technologies resurrected in the late 1990s in the context of high energy physics R&D programs and the acceleration of short-lived beams, with potential spin-offs including medical accelerators and high power proton and electron beam accelerators [6, 7, 8] (Fig. 11.1). Several proton and electron machines were built in Japan from the 1990s on [6], including a prototype ADS-Reactor installation, and other internal target and beam cooling experiments [9, 10]. A prototype of a FFAG spiral sector dipole was later built as part of a multiple-beam protontherapy ring design study [11] (Fig. 11.2).

Orbits spiral out in FFAGs during acceleration, as a consequence of the fixed magnetic field, as in cyclotrons and synchrocyclotrons. FFAGs optics is non-isochronous:



Fig. 11.2 Prototype, full scale, and pole, of the spiral sector dipole of a 200 MeV proton therapy scaling FFAG design [11]

the radial field index k is constant to ensure constant tunes as the beam spirals out (isochronism requires $k = \beta^2 \gamma^2$, *see Chapt. 5, Eq. 5.1*). FFAGs are normally operated as synchrocyclotrons: the RF is cycled, voltage frequency modulated during the ramp; repetition rates of 10s of kHz are potentially achievable with today's RF system technologies. High power and fast acceleration R&D have produced alternate acceleration and RF manipulation techniques, including quasi-isochronous optics and CW acceleration [12, 13, 14, 15, 16].

11.2 Basic Concepts and Formulæ

Consider Hill's equations

$$\begin{cases} \frac{d^2 x}{d\theta^2} + \frac{R^2}{\rho^2} (1 - n)x = 0 \\ \frac{d^2 y}{d\theta^2} + \frac{R^2}{\rho^2} ny = 0 \end{cases} \quad (11.1)$$

(these equations assume that orbit scalloping is marginal, *i.e.* a quasi-circular closed orbit [17]). At a given angle θ in an FFAG sector, constant radial and axial focusing is equivalent to

$$\left. \frac{d}{dp} \left((1 - n) \frac{R^2}{\rho^2} \right) \right|_{\theta} = 0 \quad \text{and} \quad \left. \frac{d}{dp} \left(n \frac{R^2}{\rho^2} \right) \right|_{\theta} = 0 \quad (11.2)$$

A sufficient condition for Eq. 11.2 is

$$\left. \frac{\partial}{\partial p} \left(\frac{R}{\rho} \right) \right|_{\theta} = 0 \quad \text{and} \quad \left. \frac{\partial n}{\partial p} \right|_{\theta} = 0 \quad (11.3)$$

The first condition yields constant ratio *particle radial position / local curvature radius*: the geometrical scaling property, orbits of different momenta scale with energy, the center of similitude is the center of the ring. The second condition yields momentum-independent local focusing index: the zero-chromaticity property.

Geometrical similarity results in a constant geometrical field index

$$k = \frac{R}{B(R)} \left. \frac{\partial B(R)}{\partial R} \right|_R = -\frac{R}{\rho} n = \text{constant} \quad (11.4)$$

which, by integration, yields the R-dependence of the field in an FFAG dipole,

$$B(R) = B_0 \left(\frac{R}{R_0} \right)^k \quad (11.5)$$

From $k = \text{constant}$ (Eq. 11.4) it results that reversing the sign of the curvature radius ρ reverses the sign of the field index n . Radial FFAG lattices combine such alternating index dipoles, Fig. 11.3 and Sect. 11.2.1. A way to obtain such radial field distribution is by shaping the dipole gap, following

$$g(R) \approx g_0 \left(\frac{R_0}{R} \right)^\kappa \quad \text{with } \kappa \approx k \quad (11.6)$$

with greater (lower) gap at lower (greater) energy and radius (Fig. 11.3). Another way is by distributed current coils along the poles of a parallel gap dipole [1, 18, 19]. More generally, in a lattice comprised of bends and field-free sections, the magnetic

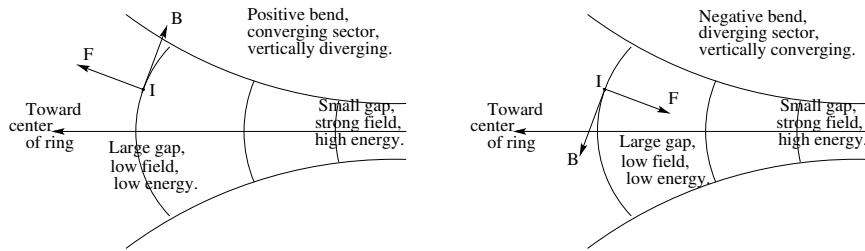


Fig. 11.3 Focusing and defocusing FFAG sectors

field along an orbit in the median plane ($y=0$) satisfies

$$B(R, \theta) = B_0 \left(\frac{R}{R_0} \right)^k \mathcal{F}(R, \theta) \quad (11.7)$$

where the $2\pi/N$ -periodic flutter factor $\mathcal{F}(R, \theta)$ describes the azimuthal variation of the field along an orbit (in a similar way to the modeling of the AVF cyclotron, Chap. 5, Eqs. 5.4, 5.10). A flutter can be defined and quantifies the departure of the azimuthal variation of the field from a step function (Eq. 5.5).

Orbits

It results from the scaling field (Eq. 11.5) that the average orbit radius and the orbit length satisfy the momentum dependence, respectively,

$$\frac{R(p)}{R_0} = \left(\frac{B\rho}{B\rho_0} \right)^{1/(k+1)} = \left(\frac{p}{p_0} \right)^{1/(k+1)} \quad \text{and} \quad C(p) = C_0 \left(\frac{p}{p_0} \right)^{\frac{1}{k+1}} \quad (11.8)$$

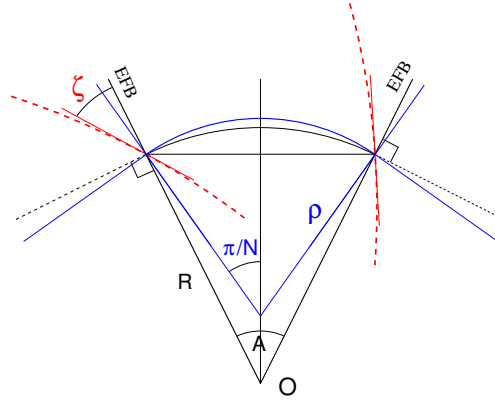
The R - and ρ -radius arcs share a common cord (Fig. 11.4), which writes

$$R \sin(A/2) = \rho \sin(\pi/N) \quad (11.9)$$

A packing factor can be defined,

$$pf = \frac{\text{magnetic length}}{\text{orbit length}} = \frac{\mathcal{L}}{C} = \frac{N \times A}{2\pi} \quad (11.10)$$

Fig. 11.4 Geometrical parameters in a radial (straight EFBs) or spiral (dashed lines; spiral angle ζ) FFAG sector dipole. O is the center of the ring and the EFBs form a sector angle A . The R -radius arc is a line of constant field (Eq. 11.5). The closed orbit is approximately along the ρ -radius arc. Both arcs share the same cord



In a general manner, closed orbits have to be computed numerically, searching for the momentum-dependent closed solution over a period. They feature small amplitude scalloping in the vicinity of an average circular path with radius $R(p)$ (Eq. 11.8), thus the initial radius value for a numerical search can be taken as $R \approx R(p)$, whereas the incidence dR/ds is null with proper choice of the origin.

The orbit excursion, from injection momentum to extraction momentum, satisfies (Eq. 11.8)

$$R_{xtr} - R_{inj} = R_0 \left(1 - \left(\frac{p_{inj}}{p_{xtr}} \right)^{\frac{1}{1+k}} \right) \quad (11.11)$$

3224 Focusing

3225 There are two ways that the FFAG technique implements strong focusing,
 3226 - one consists in alternating strong transverse gradients (large $|n|$, Eq. 11.4), which
 3227 is achieved by alternating positive- and negative-bend magnets (Sect. 11.2.1), with
 3228 the detrimental effect of increased circumference of the ring (Eq. 11.8) and decreased
 3229 packing factor (Eq. 11.10);

3230 - a second method consists in using positive bend only, and rely on spiral EFBs
 3231 and Thomas (AVF) focusing: a large spiral angle (strong axial focusing, radially
 3232 defocusing) compensates the large field index (strong radial focusing, axially de-
 3233 focusing). A logarithmic spiral edge [3] has the virtue of ensuring constant wedge
 3234 angle (Sect. 11.2.2).

3235 Fringe fields may have a noticeable effect on the effective axial focusing
 3236 (Sect. 18.3.1): Eq. 18.20 indicates that, in the case of constant wedge angle (spi-
 3237 ral scaling dipoles), the axial focusing correction for the fringe field extent, ψ , is
 3238 constant *iff* $\lambda \propto R$, which requires λ (a measure of the gap height) to increase
 3239 linearly with radius. In the gap shaping method (Eq. 11.6) the gap decreases with
 3240 radius instead (Figs. 11.1, 11.3), thus leading to an increase in axial wave number
 3241 with energy: overcoming that effect requires proper counter-measures such as for
 3242 instance a specific design of the chamfers, and field clamps [20].

3243 Wave numbers

3244 The cyclotron approximations to the radial and axial wave numbers (Sects. 5.2.1, 5.2.2)
 3245 still hold, namely

$$\nu_R \approx \sqrt{1+k}, \quad \nu_y \approx \sqrt{-k + F^2(1 + 2 \tan^2 \zeta)} \quad (11.12)$$

3246 with $\zeta = 0$ in the case of a radial sector. These approximations, not necessarily
 3247 accurate, are helpful in evaluating the relative effects of a small change of value of
 3248 the flutter F , of the geometrical field index k , or of the spiral angle ζ in addition in
 3249 the case of a spiral sector.

3250 11.2.1 Radial Sector

3251 A radial sector scaling FFAG facility is displayed in Fig. 11.5 [21]: a 150 MeV
 3252 ring built and operated at KEK in the early 2000s. The ring is comprised of 12
 3253 Defocusing-Focusing-Defocusing (DFD) dipole triplets. The radial dependence of



Fig. 11.5 Left: A 150 MeV 12-cell scaling FFAG ring, and its cyclotron injector [5]. Right: Its lattice cell magnet, a DFD dipole triplet. The gap shape follows Eq. 11.6 so ensuring the scaling field law (Eq. 11.5) [21]

Table 11.1 Design parameters of the a radial sector 150 MeV proton scaling FFAG.

Injection - extraction energy	MeV	12 - 150
Injection - extraction radius	m	4.7 - 5.2
Lattice		DFD
Number of cells (N)		12
Maximum β_R ; β_z max.	m	3.8; 1.3
Wave Numbers, ν_R ; ν_z		3.7; 1.2
<i>Magnet</i>		
Type		radial sector DFD triplet
Sector angle A_D ; A_F	deg	3.43; 10.24
Injection - extraction gap height	cm	20 - 4
Scaling index $k_D = k_F$		7.6
B_D ; B_F , at 150 MeV	T	-1.21745; 1.69056
<i>Acceleration</i>		
Frequency swing	MHz	1.5 - 4.6
Harmonic		1
Voltage, peak-to-peak	kV	19
Cycle time	ms	4
Maximum repetition rate	Hz	250
Equivalent dB/dt	T/s	280
Synchrotron tune ν_s		0.039 - 0.012

the magnetic field in the D and F sectors satisfies Eq. 11.5, as a result of a gap shape following Eq. 11.6 (as in the prototype PoP dipole, Fig. 11.1). The main parameters of the ring are summarized in Table 11.1.

Hall-probe measurements of an isolated dipole triplet are displayed in Fig. 11.6. Mutual influence in the ring actually produces a 200 Gauss field across the drift between two triplets; Fig. 11.6 shows the field from OPERA computation in the periodic hypothesis [22].

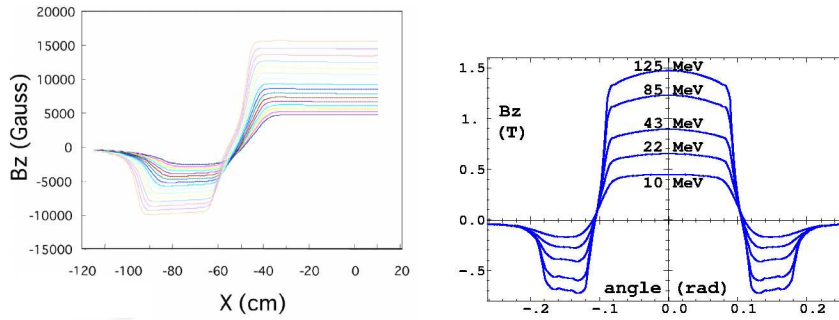


Fig. 11.6 Left: measured vertical magnetic field $B_z(X)$, along $R=\text{constant}$ arcs across one half of the radial sector dipole triplet [21]. The X coordinate is along an axis normal to the vertical symmetry plane of the triplet (the $X=0$ plane). The field on the plateau accurately follows the r^k scaling law (Eq.11.5), lower (greater) field at lower (greater) energy, greater (lower) dipole gap. Right: field along the periodic orbits across the cell at various energies (proton), from an OPERA field map of the KEK FFAG dipole triplet cell [22]

3261 Transverse acceptance

3262 Scaling FFAG optics features large dynamical transverse acceptance [23], see the
3263 case of KEK FFAG ring in Fig. 11.7.

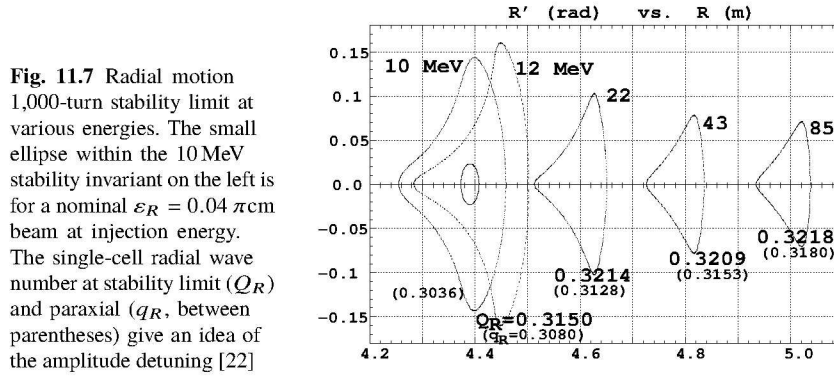


Fig. 11.7 Radial motion 1,000-turn stability limit at various energies. The small ellipse within the 10 MeV stability invariant on the left is for a nominal $\varepsilon_R = 0.04 \pi \text{ cm}$ beam at injection energy. The single-cell radial wave number at stability limit (Q_R) and paraxial (q_R , between parentheses) give an idea of the amplitude detuning [22]

3264 11.2.2 Spiral Sector

3265 A typical design of a spiral sector scaling FFAG is shown in Fig. 11.8: a variable
3266 energy and multiple extraction 230 MeV ring, aimed at cancer tumor treatment [24,
3267 25]. Table 11.2 summarizes the parameters of the FFAG magnet and ring. The ring

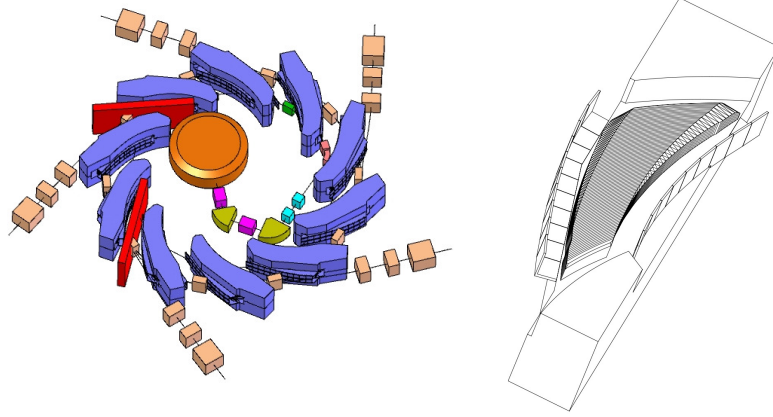


Fig. 11.8 Left: RACCAM proton therapy scaling FFAG ring design, including a variable energy H^- cyclotron injector. Right: a scheme of its spiral dipole half-yoke, showing the gap shaping pole piece with its variable width chamfers and the EFB field clamps, two features that result in quasi-constant axial wave number [24]. The EFB has a constant spiral angle $\zeta = 53.7^\circ$

Table 11.2 Design parameters of the RACCAM proton therapy spiral sector scaling FFAG ring. Some of the parameter values vary with variable operation energy: values given here concern the extraction energy range 70 to 180 MeV

		Injection	Extraction
energy, variable	MeV	5.55 \rightarrow 15	70 \rightarrow 180
$B\rho$	T.m	0.341 \rightarrow 0.562	1.231 \rightarrow 2.030
$B\rho_{\text{extr.}}/B\rho_{\text{inj.}}$			3.612
$\beta\gamma$		0.109 \rightarrow 0.180	0.393 \rightarrow 0.648
Lattice type		spiral, scaling	
Number of cells (N)		10	
Packing factor (pf)		0.34	
Drift length	m	1.15	1.42
Orbit radius (R)	m	2.794	3.460
Orbit excursion (Eq. 11.8)	m	0.667	
Wave Numbers ν_R (constant); ν_y		2.76; 1.55 \rightarrow 1.60	
Transition gamma (γ_{tr})		2.45	
<i>Magnet</i>			
Type		spiral sector	
Sector angle (A)	deg.	12.24	
Spiral angle (ζ)	deg.	53.7	
Scaling index k		5	

is comprised of 10 spiral sector cells. The radial dependence of the magnetic field in the spiral dipole satisfies Eq. 11.5 and results from the gap shape which follows Eq. 11.6. The dipole can be operated up to 2 T on the extraction radius, corresponding to 230 MeV extraction energy [20, 26]. Hall-probe field measurements are displayed in Fig. 11.9, together with fields from OPERA computation which are in accord

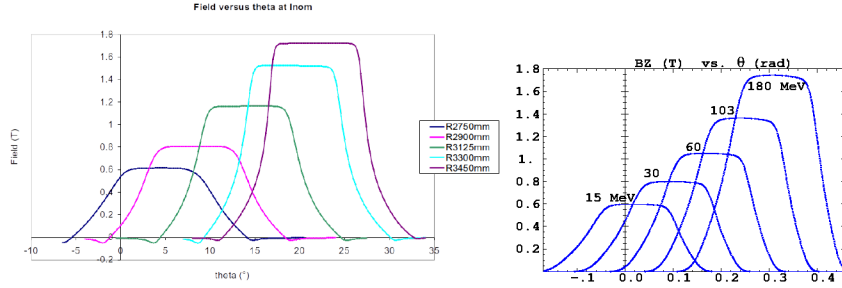


Fig. 11.9 Left: measured vertical magnetic field $B_z(\theta)$, along $R=\text{constant}$ arcs across RACCAM spiral sector dipole [26]. The field on the plateau satisfies the R^k scaling law (Eq.11.5), lower (greater) field at lower (greater) energy, greater (lower) dipole gap. Right: field along closed orbits across the dipole, from OPERA field map computations

within a few percent.

Constant wedge angle

A spiral EFB ensures constant wedge angle and thus R -independent focusing, while compensating the axially defocusing effect of a strong field index (Eq. 11.12). A spiral sector field boundary is defined by

$$\theta - \tan \zeta \ln \frac{R}{R_0} = \text{constant}, \quad \text{i.e.,} \quad R = R_0 \exp \left(\frac{\theta}{\tan \zeta} \right) \quad (11.13)$$

Note that an $\frac{R}{R_0}$ -homothety, $\frac{2\pi}{N}$ -rotation orbit similarity results (which reduces to a simple homothety in a radial lattice as $\zeta = 0$). The median plane field in a spiral sector can be written under the form

$$B(R, \theta) = B_0 \left(\frac{R}{R_0} \right)^k \mathcal{F} \left(\tan \zeta \ln \frac{R}{R_0} - \theta \right) \quad (11.14)$$

wherein $\mathcal{F} \left(\tan \zeta \ln \frac{R}{R_0} - \theta \right)$ is a $\frac{2\pi}{N}$ -periodic function of θ . A convenient model for the azimuthal modulation assumes a sinusoidal dependence of the field,

$$\mathcal{F}(R, \theta) = 1 + f \sin \left(N \left(\tan \zeta \ln \frac{R}{R_0} - \theta \right) \right) \quad (11.15)$$

3283 *Transverse acceptance*

3284 Spiral sector scaling FFAG optics features large dynamical transverse acceptance.
 3285 As an illustration of that property, the radial dynamical acceptance of RACCAM
 3286 spiral sector FFAG ring (Fig. 11.8) is displayed in Fig. 11.10. The latter has been
 3287 obtained from raytracing in a theoretical field model built from the EFB geometry
 3288 and the R^k dependence of the field, whereas the azimuthal dependence is modeled
 3289 using Eq. 11.7 and Enge's style fall-off (Eq. 18.14) [25].

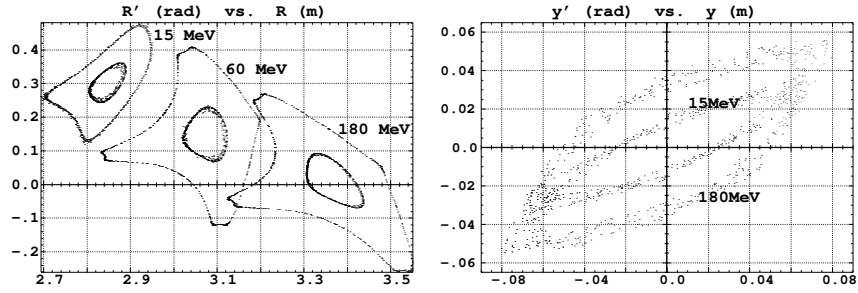


Fig. 11.10 The large stability limit (1,000-turn), at various energies, in a spiral sector FFAG. Left: radial motion; the outer invariants are for pure radial motion, they are several $10^3 \pi \text{ mm mrad}$. inner invariants are the stability limit in the presence of small amplitude axial motion, dynamical acceptance decreases to $\approx 10^3 \pi \text{ mm mrad}$, an effect of non-linear coupling. Right: 15 MeV case (inner, elliptical shaped distribution) and 180 MeV case (outer distribution); the dynamical acceptance is about $600 \pi \text{ mm mrad}$ and $2000 \pi \text{ mm mrad}$, respectively

3290 **11.2.3 Longitudinal motion, acceleration**

3291 Given the orbit length (Eq. 11.8), the revolution period can be written

$$T_{\text{rev}} = \frac{C}{\beta c} = T_{\text{rev},0} \left(\frac{p}{p_0} \right)^{\frac{1}{k+1}} \frac{\beta_0}{\beta} = T_{\text{rev},0} \left(\frac{p}{p_0} \right)^{\frac{-k}{k+1}} \frac{E}{E_0} \quad (11.16)$$

3292 The momentum compaction and transition γ write, respectively,

$$\alpha = \frac{\Delta C/C}{\Delta p/p} = \frac{1}{1+k} \quad \text{and} \quad \gamma_{tr} = \sqrt{1/\alpha} = \sqrt{1+k} \quad (11.17)$$

3293 Longitudinal focusing and synchronous acceleration in a scaling FFAG proceed
 3294 as in synchro-cyclotrons, (Chap. 8), the synchrotron frequency is given by Eq. 8.4,
 3295 the momentum acceptance of the lattice is given by Eq. 8.7.

3296 A practical injection to extraction cycle includes single-bunch or multibunch injection, RF capture, synchronous acceleration, and single-turn kicker-septum extraction.
 3297 Fixed-field allows fast cycling, with repetition rate up to hundreds of Hz provided
 3298 an appropriate amount of accelerating voltage.
 3299

3300 Other modes of acceleration have been devised as part of FFAG studies, with various
 3301 goals such as fast acceleration of short-lived particles, high average current, rapid
 3302 phase rotation. Several have been subject to a proof-of-principle, including quasi-
 3303 synchronous serpentine acceleration [12], bucket acceleration [13, 14], multiple-
 3304 bunch acceleration by multiple RF wave [15], longitudinal phase rotation [16].
 3305 induction acceleration using a betatron core [18], hybrid betatron-synchrotron ac-
 3306 celeration [27, 28], harmonic-jump [14].

3307 *Betatron damping*

3308 In the presence of acceleration the equations of transverse motion write [29]

$$\begin{cases} x'' + \frac{(\gamma\beta)'}{(\gamma\beta)} x' + \frac{1-n}{\rho^2} x = 0 \\ y'' + \frac{(\gamma\beta)'}{(\gamma\beta)} y' + \frac{n}{\rho^2} y = 0 \end{cases} \quad (11.18)$$

3309 In the adiabatic approximation (slow damping, compared to betatron frequency) the
 3310 solutions can be written

$$\frac{x(s)}{y(s)} = \frac{1}{\sqrt{|h_x|}} \frac{1}{\sqrt{\beta\gamma}} \left[A_x \exp\left(\int h_x ds\right) + B_x \exp\left(-\int h_x ds\right) \right] \quad (11.19)$$

3311 with

$$\left. \begin{aligned} h_x^2(s) &= -\frac{1-n}{\rho^2} \\ h_y^2(s) &= -\frac{n}{\rho^2} \end{aligned} \right\} + \frac{1}{2} \frac{d}{ds} \left[\frac{(\gamma\beta)'}{(\gamma\beta)} \right] + \frac{1}{4} \left[\frac{(\gamma\beta)'}{(\gamma\beta)} \right]^2 \quad (11.20)$$

3312 and A_x and B_x constants depending upon the initial conditions. Considering that
 3313 $\rho \propto R$ (Eq. 11.4), assuming stable periodic motion, and dropping the $(\beta\gamma)'$ terms in
 3314 Eq. 11.20 ($h(s)$ slowly varying), it results from Eq. 11.19 that the transverse particle
 3315 oscillations satisfy

$$x, y \propto \frac{\sqrt{R}}{\sqrt{\beta\gamma}}, \quad x', y' \propto \frac{1}{\sqrt{R}\sqrt{\beta\gamma}} \quad (11.21)$$

3316 thus the damping of betatron oscillations is R -dependent. An invariant ensemble
 3317 average results,

$$\beta\gamma \varepsilon_{rms} = \beta\gamma \left[\langle x^2 \rangle - \langle x'^2 \rangle - \langle xx' \rangle^2 \right]^{1/2} = \text{constant} \quad (11.22)$$

i.e., betatron damping of the transverse emittances $\varepsilon_{rms} \propto 1/\beta\gamma$.

11.3 Exercises

The following exercises address the two types of scaling FFAG lattices discussed above: radial sector and spiral sector. Because scaling optics dipoles have a wide gap, fringe field extent and overlapping may be a concern: the technique described in Sect. 18.2.6 is used to handle this aspect of the optics.

11.3.1 A 150 MeV, Proton, Radial Sector FFAG

The 150 MeV radial sector FFAG operated at KEK in the early 2000 (Fig. 11.5) is the subject of the simulations in this series of exercises. Its parameters are given in Tab. 11.1 [21], the cell geometry is sketched in Fig. 11.11, the ring geometry and a few orbits (an outcome of the present exercises) are displayed in Fig. 11.12.

Fig. 11.11 Geometry of a 30 degree DFD cell. The center of the ring is at O . F and D are the focusing and defocusing sectors of the dipole triplet, respectively 10.24 degree and 3.43 degree. The shadowed 4.75 degree “E” regions represent a half of the interval between two dipole triplets, a region of ≈ 200 G stray field [22, 30]

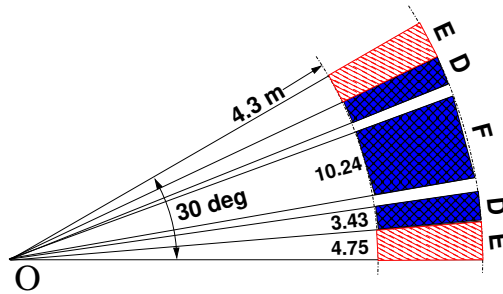
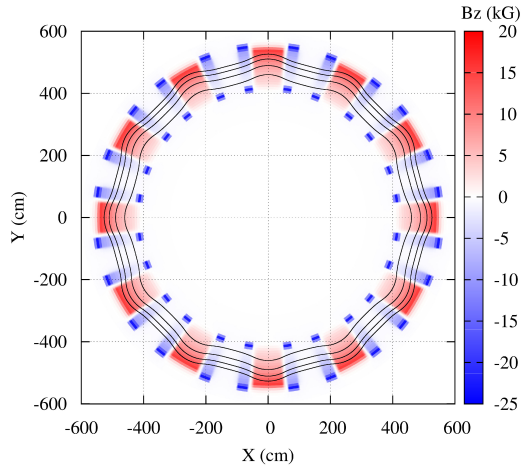


Fig. 11.12 A sketch of KEK 150 MeV FFAG ring, and a few closed orbits obtained using the keyword FFAG in zgoubi. A graph obtained using gnuplot: geometrical data taken from zgoubi.dat, orbit coordinates are read from zgoubi.plt [29]



11.1 Field in a Radial Sector Dipole Triplet

Solution: page 401.

The FFAG keyword is based on Eqs. 11.7 and 18.18 to provide the combined field from N neighboring dipoles, at particle location during the stepwise integration of motion. The field flutter factor $\mathcal{F}_i(R, \theta)$ (Eq. 18.18) is based on the fringe field model described in Sect. 18.2.6 (Eq. 18.14).

(a) Using FFAG keyword, and accounting for the magnet and cell parameters of Tab. 11.1, produce an input data file for the simulation of a cell.

(b) Produce a graph of the median plane field $B_Z(R, \theta)$ in the dipole triplet. The keyword OBJET[KOBJ=1] can be used to generate a dense set of parallel trajectories in the median plane, and OPTIONS[CONSTY=ON] to force them on constant radii as they are pushed through the dipoles. Use option FFAG[IL=2] for a record of step by step trajectory data in zgoubi.plt. Field data can be read from the latter, to produce a 2D graph of the field $B_Z(R, \theta)$.

(c) While we are here... Using the process in (b) it is possible to generate a mid-plane field map, on an even 2D meshing, which TOSCA in turn can use and track through. This requires (i) proper particle sampling for constant ΔR between trajectory arcs so ray-traced, (ii) proper integration step size FFAG[XPAS] to cover in an evenly fashion the 30 degree angular sector.

Field data can subsequently be read from zgoubi.plt and re-written in a field map ascii file with proper formatting for TOSCA to handle.

Work this out, and re-do question (b) to check the identity of the raytracing outcomes.

11.2 Orbits, Scalloping

Solution: page 403.

The input data file of exercise 11.1 can be used as a starting point in this exercise.

(a) Compute a scan of the periodic orbits $R(\theta)$ across the cell, for a few proton energies ranging in $12 \leq E \leq 200$ MeV. REBELOTE can be used to loop on the energy (by changing the relative particle rigidity D under OBJET), preceded by FIT to find the periodic orbit at the energy of concern.

Give a graph of these orbits $R(\theta)$, and on a separate graph the field $B(\theta)$ along the orbits. These data can be read from zgoubi.plt, filled using FFAG[IL=2].

(b) Give a graph of the previous orbits around the ring. Show graphically that these orbits are similar, check the similarity ratio.

(c) By tracking, show that orbit excursion over an energy range $12 \leq E \leq 200$ MeV (average radius spans from R_{inj} to R_{xtr}), satisfies Eqs. 11.8, 11.11. Particle coordinates at some azimuth along the ring can be logged in that aim in zgoubi.res using FAISCEAU (a linux “grep” can then grab them for plotting), or in an ancillary zgoubi.fai file using FAISTORE.

(d) Evaluate the orbit scalloping, *i.e.*, the maximum value of $|R(\theta) - R|/R$. Give a graph of the latter as a function of energy.

11.3 Zero-Chromaticity

Solution: page 406.

This exercise investigates the momentum dependence of the wave numbers.

(a) Compute and give a graph of the momentum dependence of the radial and axial wave numbers in the 12-cell ring (Fig. 11.5). Use for that either one of the following two methods to obtain the wave number values:

(a.1) From the cell transport matrix, using MATRIX. REBELOTE can be used in that case to repeat on momentum values.

(a.2) from Fourier analysis of small amplitude motion.

Compare the results with theory (Eq. 11.12).

(b) It can be observed that the radial wave number is constant with momentum/orbit radius R , this is expected from the scaling law (Eq. 11.5); however the axial wave number is R -dependent.

In the field model, introduce a R -dependence of the gap of the form Eq. 11.6: this is equivalent to introducing an R -dependence of the fringe field extent, or equivalently of the field form factor $\mathcal{F}(\theta)$ (Eq. 11.7), proper to change the R -dependence of the axial focusing. Find the value of κ which minimizes the change of ν_y over the energy interval $12 \leq E \leq 150$ MeV, provide a simulation to show the efficiency of the method.

(c) Compute the value of the momentum compaction and transition γ_{tr} at two sample energies, 12 and 150 MeV. TWISS can be used for that, with OBJET[KOBJ=5]. Check their relationship to the radial wave number.

11.4 Beam Envelopes; Phase Space

Solution: page 410.

(a) Produce a graph of the trajectories of a beam bundle across the cell, at 12 and 150 MeV. Take initial coordinates evenly distributed on initial paraxial invariants. OBJET[KOBJ=8] can be used to define that set of particles.

- 3397 (b) Perform single particle tracking, over many turns, using REBELOTE. Con-
 3398 sider two cases, separately: paraxial motion, and large excursion motion. Show that
 3399 large excursion phase space motion features non-linear coupling.

3400 **11.5 Acceleration: Transverse Betatron Damping**

- 3401 (a) Produce a simulation of the transverse and longitudinal motions of a particle
 3402 taken on a small initial invariant, over a $10 \rightarrow 150$ MeV acceleration cycle in the
 3403 12-cell ring. Assume the following RF parameters: peak voltage $\hat{V} = 40$ kVolts,
 3404 synchronous phase $\phi_s = 20^\circ$, harmonic $h=1$. Acceleration uses CAVITE[IOPT=6],
 3405 which imposes defining the particle type, with PARTICUL; multiturn is obtained
 3406 using REBELOTE. SCALING takes care of having magnetic fields ramped to follow
 3407 momentum increase by CAVITE.
- 3408 (b) Show graphically that the transverse betatron oscillation damping satisfies the
 3409 R -dependence of Eq. 11.21.
- 3410 (c) Accelerate a bunch of a few tens of particles. Check the beam emittance
 3411 damping of Eq. 11.22.

11.3.2 RACCAM Proton Therapy Spiral Sector FFAG

This series of exercises is based on the 180 MeV spiral sector FFAG design of Fig. 11.8. The parameters of concern are given in Tab. 11.2 [20, 24, 25, 26]. The cell geometry is sketched in Fig. 11.13.

Fig. 11.13 A sketch of RACCAM spiral sector dipole and $2\pi/10$ cell. O is the center of the ring and the EFBs form a sector angle A . Note that the reference orbit is not strictly circular, the bending radius is not constant along the trajectory over the $2\pi/N$ arc (a line of constant field is an R-radius arc, centered on O).

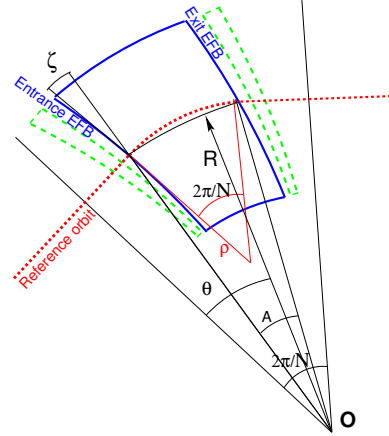
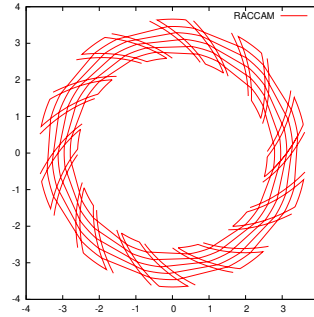


Fig. 11.14 A simulation of RACCAM FFAG ring in zgoubi, including a few orbits, using the keyword FFAG-SPI. A graph obtained using gnuplot, geometrical data taken from zgoubi.dat, and particle data read from zgoubi.plt



11.6 Field in a Spiral Sector Dipole

The FFAG-SPI keyword is based on Eq. 11.14 to generate the field from a spiral sector dipole (or several sectors side-by-side within an AT angular extent [31, Sect. FFAG-SPI]) at particle location, while motion proceeds across the magnet. FFAG-SPI has provision for the modeling of the azimuthal form factor $\mathcal{F}_i(R, \theta)$, and the overlapping of neighboring fringe fall-offs, based on the method described in Sect. 18.2.6.

(a) Using FFAG-SPI keyword, produce a graph of the median plane field $B_z(R, \theta)$ in RACCAM spiral sector dipole. OBJET[KOBJ=1] can be used to generate a trajectory sample, and OPTIONS[CONSTY=ON] to force these trajectories on constant radii. Option FFAG-SPI[IL=2] will log in zgoubi.plt the step by step trajectory field data; the latter can subsequently be plotted.

(b) CYCLOTRON keyword could be used as well: it allows some sophistication in field modeling compared to using FFAG-SPI, such as accounting for an R-dependence of the geometrical field index k (a capability which is used in exercise 5.6 - Relativistic Cyclotron Chapter, to adjust the isochronism), and of the fringe field extent, via an R-dependent gap shape index $\kappa(R)$ in Eq. 11.6.

Move the FFAG modeling of (a) to CYCLOTRON. Try some radial dependence of both k and of fringe extent, with the constraint of maintaining constant radial and axial tunes over the spiral orbit radial excursion. FIT can be used for this optimization of tune constancy.

(c) While we are here... Using the process in question (a), it is possible to generate a mid-plane field map, on an even 2D meshing, which TOSCA in turn can use and track through. This requires (i) proper particle sampling in OBJET for constant ΔR between trajectory arcs so ray-traced, (ii) proper integration step size FFAG[XPAS] to cover in an evenly fashion the 30 degree angular sector.

Field data can subsequently be read from zgoubi.plt and logged in a field map file with proper formatting for TOSCA to handle.

Work this out, and re-do question (b) using TOSCA, to check the identity of the raytracing outcomes with the CYCLOTRON case.

11.7 Orbits, Scallop

Characterizing the focusing properties of the lattice (say, over the radial span of the accelerated orbit) first requires finding the periodic orbits over that radial extent. The radius - or momentum - dependence of optical functions may then be found (exercise 11.9), as well as the radius dependence of time of flight for further acceleration (exercise 11.14), etc.

(a) Compute a scan of the periodic orbits $R(\theta)$ across the cell, for a few proton energies ranging in $15 \leq E \leq 180$ MeV. REBELOTE can be used to loop on the energy (by changing the relative particle rigidity D under OBJET), preceded by FIT to find the periodic orbit at the energy of concern.

Give a graph of these orbits $R(\theta)$, and on a separate graph the field $B(\theta)$ along the orbits. These data can be logged in zgoubi.plt during ray-tracing, using FFAG-SPI[IL=2].

(b) Show graphically the homothety-rotation of the orbits.

(c) By tracking, show that orbit excursion over an energy range $15 \leq E \leq 180$ MeV (average radius spans from R_{inj} to R_{xtr}), satisfies Eqs. 11.8, 11.11.

11.8 Zero-Chromaticity

(a) Compute and give a graph of the momentum dependence of the radial and axial wave numbers in the 10-cell ring (Fig. 11.8). Use for that either one of the following two methods to obtain the wave number values:

(a.1) from the cell transport matrix,

(a.2) from Fourier analysis of paraxial motion.

Compare with expectations (Eq. 11.12).

(b) It can be observed that the radial wave number is constant with momentum, or equivalently with the orbit radius R , this is expected from the scaling law (Eq. 11.5). However the axial wave number is R -dependent. Explain why.

(c) In the field model, introduce a R -dependence of the gap of the form Eq. 11.6. Note: this is equivalent to introducing a R -dependence of the fringe field extent, or equivalently of the field form factor $\mathcal{F}(\theta)$ (Eq. 11.7), proper to change the R -dependence of the axial focusing. Using the FIT procedure, compute the value of κ which minimizes the change of ν_y over the energy interval $15 < E < 180$ MeV.

(d) Compute the value of the momentum compaction and transition γ_{tr} , at 12 and 150 MeV. Check their relationship to the radial wave number.

11.9 Beam Envelopes, Optical Functions

Produce graphs of radial and axial beam bundles across the cell, at 15 and 180 MeV. Derive from these the envelope values and the betatron function amplitudes.

11.10 Periodic Stability Domain

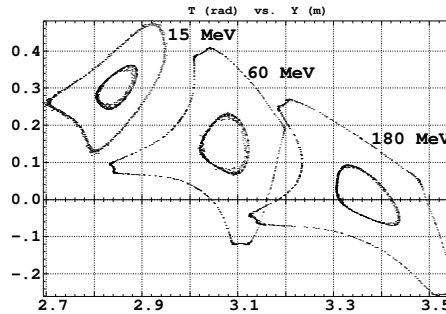
Vary the scaling index k and spiral angle ζ of the spiral dipole, in FFAG-SPI:

- produce a two-dimensional (ν_R, ν_y) wave number scan diagram, covering the motion stability area resulting from varying k and ζ .
- produce the corresponding (k, ζ) stability limit diagram.

11.11 Motion Stability Limit

Tracking single particle radial motion in the OPERA field map of the FFAG dipole triplet, yields at stability limit the phase space portrait of Fig. 11.15 [22]. Re-produce a similar phase space graph at stability limit, using the analytical field model FFAG-SPI.

Fig. 11.15 Radial motion stability limits at three different energies, with either zero Z -motion (outer limits) or very small initial Z -motion (inner limits): non-linear coupling is responsible for the reduction of the dynamical acceptance



11.12 Dynamic Aperture

Extend the previous stability limit search (exercise 11.11) to produce the dynamic aperture in (Y, Z) space, at 15, 57 and 180 MeV.

3496 **11.13 Bucket height, Synchrotron Tune**

3497 Check the value of the synchrotron wave number (Eq. 8.4) and of the momentum
3498 acceptance (Eq. 8.6),

- 3499 (a) in stationary bucket mode (synchronous phase $\phi_s = 0$), at 15 and 180 MeV,
3500 (b) in accelerating bucket mode (say, synchronous phase $\phi_s = 30$ deg).

3501 **11.14 Acceleration, Transverse Betatron Damping**

3502 Produce a simulation of a 15 \rightarrow 180 MeV acceleration cycle in RACCAM ring, for
3503 a single particle with paraxial radial and axial motions. Take an acceleration rate of
3504 10 kVolts per turn. Acceleration uses CAVITE[IOPT=6], which imposes defining the
3505 particle type, with PARTICUL; multiturn is obtained using REBELOTE. SCALING
3506 takes care of having magnetic fields ramped to follow the momentum boost by
3507 CAVITE.

3508 Show the betatron damping, graphically, check Eqs. 11.21, 11.22.

3509 **11.3.3 FFAG Acceleration Methods**

3510 Regarding the lattice, the following three exercises are based on a very similar radial
3511 sector triplet FFAG to that studied in detail in the Sect. 11.3.1 exercise series. Thus
3512 earlier simulation input data files can be used here, and will only require minor
3513 adaptations.

3514 Regarding beam acceleration, the input data files and methods developed in the
3515 previous exercises (exercises 11.5, 11.13, 11.14) can be used to set up the present
3516 acceleration simulation input data.

3517 **11.15 Hybrid Acceleration**

3518 Produce a simulation of hybrid acceleration in the 35 keV \rightarrow 7 MeV, C^{4+} , FFAG
3519 injector addressed in Ref. [27, Slides 17-18]. It is suggested to proceed with staged
3520 simulations in the following order:

- 3521 (a) Build the input data file for a $k = 0.7$, radial sector DFD cell, and subsequently
3522 for an 8-cell ring. The methods of the exercises in Sect. 11.3.1 can be used to construct
3523 the cell.
3524 (b) Add acceleration, using a single, 5 kV RF cavity, simulated using CAVITE;
3525 (c) add betatron-style acceleration, using CAVITE[IOPT], with proper choice of
3526 IOPT option.

3527 **11.16 Bucket Acceleration**

3528 Produce a simulation of bucket acceleration of a short-lived muon bunch, from
3529 3.6 to 12.6 GeV, following Ref. [13, pp. 4507-4508]. It is suggested to proceed with
3530 staged simulations in the following order:

- 3531 (a) Set up a 225-cell, $k=1390$, DFD ring. The methods of the exercises in
3532 Sect. 11.3.1 can be used to construct the cell.
3533 (b) Add acceleration, 1.8 GV per turn, using 225 (one per cell), 8 MV, 200 MHz
3534 RF cavities, harmonic $h=675$. Re-produce Figs. 5, 6 of Ref. [13, p. 4508].

3535 (c) Add in-flight decay (MCDESINT keyword): give the muon survival rate over
3536 the acceleration cycle. Check against theory.

3537 **11.17 Serpentine Acceleration**

3538 Produce a simulation of 0.38 to 1.1 GeV serpentine acceleration, following
3539 Ref. [12]. It is suggested to proceed with staged simulations in the following se-
3540 quence:

3541 (a) Set up a 225-cell, $k=1390$, DFD ring. The methods of the exercises in
3542 Sect. 11.3.1 can be used to construct the cell.

3543 (b) Add acceleration, using a single, 60 MV RF cavity, harmonic $h=10$. Re-
3544 produce Figs. 5, 6 of Ref. [13, p. 4508].

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